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30 **ABSTRACT**

31 **Background:** The pneumococcus is a major otitis media (OM) pathogen but data are
32 conflicting on whether otitis-prone children have impaired humoral immunity to
33 pneumococcal antigens. We and others have shown that otitis-prone and healthy children
34 have similar antibody titres to pneumococcal proteins and polysaccharides (vaccine and non-
35 vaccine type), however the quality of antibodies from otitis-prone children has not been
36 investigated. Antibody function, rather than titre, is considered to better correlate with
37 protection from pneumococcal disease. Therefore, we compared the capacity of antibodies
38 from otitis-prone (cases) and healthy children (controls) to neutralise pneumolysin, the
39 pneumococcal toxin currently in development as a vaccine antigen, and to opsonise
40 pneumococcal vaccine and non-vaccine serotypes.

41 **Methods:** The pneumolysin neutralising assay was conducted on cholesterol-depleted
42 complement-inactivated sera from 165 cases and 61 controls. Multiplex opsonophagocytosis
43 assay (MOPA) was conducted on sera from 20 cases and 20 controls. Neutralising and
44 opsonising titres were calculated with antigen-specific IgG titres to determine antibody
45 potency for pneumolysin, pneumococcal conjugate vaccine (PCV) polysaccharides and non-
46 PCV polysaccharides.

47 **Results:** There was no significant difference in antibody potency between cases and controls
48 for the antigens tested. Anti-pneumolysin neutralising titres increased with number of
49 episodes of acute OM but antibody potency did not. Pneumolysin antibody potency was
50 lower in children colonised with pneumococci when compared with non-carriers, and this
51 was significant in the otitis-prone group, $p < 0.05$.

52 **Conclusions:** Production of functional anti-pneumococcal antibodies in otitis-prone children
53 demonstrates that they respond to current PCV and are likely to respond to pneumolysin-
54 based vaccines as effectively as healthy children.

55

56 **1. INTRODUCTION**

57 Otitis media (OM), middle ear infection, is responsible for the greatest number of general
58 practitioner visits, antibiotic prescriptions, and surgical procedures for children in
59 industrialised countries (1). *Streptococcus pneumoniae* (the pneumococcus) is a major OM
60 pathogen (1). Current pneumococcal conjugate vaccines (PCVs) are composed of capsule
61 polysaccharides from up to 13 of the 95 immunologically-distinct pneumococcal serotypes.
62 PCVs have significantly reduced OM caused by the serotypes included in the vaccine (2, 3)
63 but the overall reduction in OM has been negligible due to replacement disease with non-
64 vaccine serotypes and other bacterial species (3-5). To address the limitations of serotype
65 specific vaccines including the issue of replacement disease, research efforts are focusing on
66 development of pneumococcal vaccines that confer species-wide protection, either by using
67 whole-cell formulations or multicomponent recombinant pneumococcal proteins (6-11).

68

69 An attractive vaccine candidate is the highly conserved pneumococcal toxin pneumolysin
70 (Ply). Immunisation of animals with native or non-toxic derivatives of Ply elicits production
71 of neutralising antibodies that confer serotype-independent protection from pneumococcal
72 pneumonia and bacteraemia (12-15). Recent clinical trials with Ply-based vaccines have
73 demonstrated that they are safe (16, 17) and elicit high circulating titres of neutralising anti-
74 Ply antibodies in humans (16). Ply-induced protection against OM in humans remains to be
75 demonstrated for these vaccines but fusion of Choline binding protein A (CbpA) peptides to a
76 Ply toxoid has been shown to enhance protection against pneumococcal OM in mice (11).
77 The role of Ply in pneumococcal OM is not fully understood but direct instillation of Ply into
78 the cochlea of guinea pigs damages the inner and outer hair cells (18), suggesting that Ply
79 may contribute to permanent hearing loss that can occur in severe cases of pneumococcal
80 OM. Ply is involved in early biofilm development (19), a key feature of OM pathogenesis

81 (20) that contributes to recurrence of infections and bacterial resistance to antibiotic
82 treatment. Together these data indicate that Ply-containing vaccines may have the potential to
83 reduce the burden of pneumococcal OM.

84

85 Pneumococcal carriage and acute OM induces local and systemic production of anti-Ply and
86 anti-capsule antibodies in children within the first years of life (21-28). It has been suggested
87 that children with recurrent episodes of OM (otitis-prone) have impaired naturally-acquired
88 and vaccine-induced antibody responses to pneumococcal antigens with reports of lower anti-
89 Ply IgG (21) and anti-capsule IgG (23), IgG2 and IgA titres (23). In contrast, we and others
90 have observed similar or even higher titres of anti-Ply IgG (25, 28) and anti-capsule
91 polysaccharide IgG, IgG2, IgA (29-32) in serum from otitis-prone children when compared to
92 non-otitis-prone children. Previous studies into humoral immunity in otitis-prone children
93 assess antibody titre rather than function, but high titres of anti-pneumococcal polysaccharide
94 antibodies do not necessarily correlate with antibody function (33, 34) or protection from
95 disease (35). We hypothesised that although otitis-prone children may produce similar serum
96 IgG titres to pneumococcal vaccine and non-vaccine antigens, the functionality of these
97 antibodies may be reduced and thus responsible for susceptibility to recurrent OM. We used
98 the Ply neutralising assay (36) and the multiplexed quadruple-serotype opsonophagocytic
99 killing assay (MOPA4) (37) to compare the functional properties of anti-Ply and anti-capsule
100 antibodies in children with and without a history of recurrent acute OM (rAOM).
101 Investigation into antibody quality in otitis-prone children is important for evaluating current
102 vaccine strategies and the suitability of a Ply-based vaccine to reduce the burden of
103 pneumococcal OM.

104

105 **2. MATERIALS AND METHODS**

106 *2.1 Recruitment of the study cohort and sample collection*

107 Recruitment of children to this cross-sectional study (the GROMIT study) has been
108 previously described (38). Briefly, recruitment was from 2007 to 2009 and children were
109 aged 6 to 36 months. Cases were recruited at the time of surgery and were defined as children
110 with a history of at least 3 episodes of AOM in 6 months or 4 episodes in 12 months and
111 requiring insertion of ventilation tubes. Children with no significant history of OM and who
112 were undergoing general surgery for non-infectious reasons were recruited as healthy age-
113 matched controls. None of the children had signs of acute infection at the time of sample
114 collection. Children with diagnosed immunodeficiency, cystic fibrosis, immotile cilia
115 syndrome, craniofacial abnormalities, and chromosomal or genetic syndromes were excluded.
116 Data on ear disease and host- and environmental risk factors were collected by parental
117 questionnaires and from medical records. Nasopharyngeal swabs and serum samples were
118 collected from all cases and controls as previously described (38). The study was approved
119 by the Princess Margaret Hospital for Children Human Research Ethics Committee, Perth,
120 Western Australia, and by the institutional boards of the private hospitals where recruitment
121 took place.

122

123 *2.2 Measurement of anti-Ply IgG and pneumococcal polysaccharide IgG titres*

124 Serum anti-Ply IgG antibody titres were measured with a bead-based immunoassay as
125 previously described (28). Serum anti-polysaccharide IgG titres to PCV7 vaccine serotypes 4,
126 6B, 14 and 23F and non-PCV7 vaccine serotypes 1, 5, 7F and 19A were also measured using
127 a multiplex bead-based immunoassay as previously described (29).

128

129 *2.3 Cholesterol depletion and complement inactivation of serum*

130 Free cholesterol, which blocks the cytolytic activity of Ply, was depleted from sera by adding
131 10% v/v of a cholesterol depletion working solution (10g/L dextran sulphate/0.5M MgCl₂ in
132 distilled water) (39) (All Sigma-Aldrich). Samples were then vortexed, incubated for 15 min
133 at room temperature (RT), then centrifuged at 1500g for 30 min at RT. The cholesterol-free
134 serum (supernatant) was transferred to a fresh tube and incubated at 56°C for 30 min to
135 inactivate serum complement. Cholesterol depletion was confirmed on a subset of serum
136 samples (n = 10) using the VITROS CHOL slides system (Ortho Clinical Diagnostics,
137 Rochester, NY, USA).

138

139 *2.4 Ply neutralisation assay*

140 The ability of cholesterol-depleted complement-inactivated sera to neutralise the cytolytic
141 activity of Ply was measured as previously described (12). Briefly, cholesterol-depleted
142 complement inactivated serum samples were serially diluted 1:2 in phosphate-buffered saline
143 (PBS, Sigma) in a U-bottom 96-well plate. The haemolytic activity of the recombinant Ply
144 was measured (14) and 50µl of 80 Haemolytic Units (HU)/ml of Ply added to each well to
145 give 4HU/well. The plate was incubated at 37°C for 15min to allow anti-Ply antibodies to
146 bind to and potentially neutralise Ply. A 1% washed human red blood cell solution in PBS
147 was added to reach a final concentration of 0.25% in each well. The plate was incubated at
148 37°C for 30min and centrifuged for 5min at 200g at RT to facilitate precipitation of intact
149 erythrocytes. The endpoint of the assay (neutralising titre, NT) was taken as the reciprocal of
150 the serum dilution at which complete inactivation of 4HU of Ply was observed (no
151 haemolysis). An adult reference serum, a negative haemolysis control (saline) and a positive
152 haemolysis control (4HU Ply) were run on each plate.

153

154 To confirm that the observed neutralising activity was antibody-mediated, IgG was depleted
155 from the adult reference serum using Protein G sepharose columns (Invitrogen, Victoria,
156 Australia) and serum neutralising titres were compared before and after IgG depletion. IgG
157 depletion of the serum was confirmed by nephelometry (data not shown).

158

159 The potency of the anti-Ply antibodies present was calculated as the ratio of neutralising titre
160 to anti-Ply IgG antibody concentration and gives a measure of the quality of anti-Ply
161 antibodies in serum. Potency has previously been used to describe the quality of anti-
162 polysaccharide responses in PCV vaccinated adults (40).

163

164 *2.5 Multiplexed quadruple-serotype opsonophagocytic killing assay (MOPA4)*

165 MOPA was conducted as previously described (37), using eight antibiotic resistant
166 pneumococcal serotypes (serotypes 1, 4, 5, 6B, 7F, 14, 19A and 23F) that were kindly
167 provided by Professor Moon Nahm. Each serotype was resistant to one of four antibiotics
168 (optochin, streptomycin, spectinomycin and trimethoprim) and susceptible to the other three.

169 A detailed protocol is available at www.vaccine.uab.edu. MOPA was conducted on a subset
170 of sera from 20 children with a history of rAOM (cases) and 20 healthy controls due to
171 limitations of available sample volumes and the impact of antibiotic use on MOPA. To assess
172 potential differences in natural versus vaccine-elicited responses in otitis prone children when
173 compared to healthy controls, MOPA was conducted on 4 selected PCV7 serotypes and 4
174 non-PCV7 serotypes. Opsonisation titres (OT) were expressed as the serum dilution that kills
175 50% of the specific pneumococcal serotype. Serum samples were used at a 1:2 dilution in
176 opsonisation buffer B and then underwent 3-fold dilutions to reach a final dilution of
177 1:26,000. Samples with a titre below the detection limit of 2 were assigned a value of 1 for
178 data analysis.

179

180 The potency of the anti-polysaccharide antibodies was calculated as the ratio of opsonisation
181 titre to anti-polysaccharide IgG antibody titre for each pneumococcal serotype tested (40).

182 This gives a measure of the quality of opsonising antibodies in each serum sample.

183

184 *2.6 Statistical analyses*

185 Host and environmental risk factors were compared between cases and controls using
186 Student's *t* tests for continuous variables (age) and Pearson Chi-square analyses (p-value
187 asymptotic significant 2-sided) for categorical variables (gender, day-care attendance, PCV7
188 vaccination status, current antibiotic use, AOM category and pneumococcal carriage).

189 Spearman's rank correlation coefficient was used to determine the correlation between anti-
190 Ply IgG titres and the neutralising titre. A general linear regression model (on LOG-
191 transformed data and adjusting for confounders of age, gender and day-care attendance) was
192 used to analyse differences in anti-pneumococcal (anti-Ply and serotype specific anti-
193 polysaccharide IgG) antibody levels between otitis-prone and non-otitis-prone children. The
194 IBM SPSS Statistics 22 for Windows software package (IBM, New York, USA) was used for
195 all statistical analyses and data were plotted using GraphPad Prism 6 (GraphPad Software
196 Inc, California, USA).

197

198 **3. RESULTS**

199 *3.1 Study population*

200 Table 1 details host and environmental risk factors for children in this study. Age, gender and
201 day-care attendance were adjusted for in all analyses as we had previously found these to be
202 confounding factors for pneumococcal polysaccharide and protein IgG titres in this cohort
203 (28, 29). Ply IgG antibody titre and neutralising titre was measured in serum from 165 cases

204 and 61 controls. The mean age of cases was higher than the healthy controls (21.2 months
205 versus 18.7 months, $p=0.03$). Although males are more likely to be otitis-prone than females,
206 there was no difference in gender distribution in this cohort (62% of cases and 72% of
207 controls were male, $p=0.15$). Otitis-prone children were significantly more likely to attend
208 a day-care facility for ≥ 4 hrs/week than healthy controls (62% versus 32%; $p<0.001$). Almost
209 all children were fully PCV7-vaccinated (99% of cases and 97% of controls). Antibiotic use
210 was similar, with 22% of cases and 20% of controls taking antibiotics at the time of surgery
211 ($p=0.77$). Otitis-prone children in the Ply study had experienced an average of 7.3 AOM
212 episodes, with 33% of children having had 3-4 episodes, 18% 5-7 episodes, 35% with 8-9
213 episodes and 15% having experienced more than 10 AOM episodes. Significantly more cases
214 were colonised with *S. pneumoniae* at the time of sample collection than controls (42%
215 versus 26%; $p = 0.03$).

216

217 MOPA was conducted on a subset of sera from 20 cases and 20 controls. No differences were
218 observed in risk factors between the cases and controls (Table 1). Antibiotic use and PCV7
219 vaccination affect the opsonophagocytosis assay, therefore children were excluded if they had
220 received antibiotics within the month prior to surgery and all children were fully PCV7
221 vaccinated. Otitis-prone children in the MOPA study experienced an average of 5.6 AOM
222 episodes, with 50% of children having had 3-4 episodes, 10% 5-7 episodes, 25% with 8-9
223 episodes and 10% having experienced more than 10 AOM episodes. There was no significant
224 difference in the number of cases and controls that were colonised with *S. pneumoniae* at the
225 time of sample collection (50% versus 35%, $p=0.34$).

226

227 *3.2 The potency of anti-Ply neutralising antibodies is similar between otitis-prone and*
228 *healthy children*

229 The geometric mean Ply IgG titre (Figure 1A), neutralising titre (Figure 1B) and antibody
230 potency (Figure 1C) was similar between otitis-prone and healthy children ($p=0.394$, $p=0.592$
231 and $p=0.069$ respectively). There was a strong and significant correlation between Ply IgG
232 titre and neutralising titre in all cohorts ($r=0.842$, $p<0.001$). This significant positive
233 correlation remained when cases and controls were assessed separately ($r=0.888$ and $r=0.728$;
234 $p<0.001$) and also for those colonised or not colonised with *S. pneumoniae* ($r=0.883$ and
235 $r=0.817$, $p<0.001$); Figure 2. Colonising serotypes were identified for all children in this
236 study that had *S. pneumoniae* isolated from their nasopharyngeal swab. There were mostly
237 non-vaccine serotypes with the most common serotypes isolated from the cases being 19A
238 ($n=23$), 11A ($n=9$), 6A ($n=5$) and 15B ($n=5$), whilst the most common colonising serotypes in
239 the controls were 19A ($n=5$), 6A ($n=4$) and 23B ($n=2$). The colonising serotype did not
240 influence the potency of Ply antibodies but this would be expected as Ply is conserved and
241 expressed by virtually all serotypes.

242

243 *3.3 The number of AOM episodes experienced does not affect anti-Ply antibody potency*

244 Increasing number of AOM episodes resulted in an overall trend towards higher anti-Ply IgG
245 titres (Figure 3A) and neutralising titres (Figure 3B) but overall there was no difference in
246 anti-Ply antibody potency (Figure 3C), regardless of number of AOM episodes. When raw
247 data was corrected for confounding factors including age, which differed between the cases in
248 the different #AOM categories, children experiencing 10+ AOM episodes had a downward
249 but not significant trend in geometric mean anti-Ply IgG titre (207 AU/mL) compared with
250 children experiencing 8-9 AOM episodes (260 AU/mL) and similar anti-Ply IgG titres in
251 children experiencing 5-7 AOM episodes (209 AU/mL). There was a significant increase in
252 adjusted geometric mean neutralising titre of serum from children with 8-9 episodes of AOM

253 compared with 3-4 episodes (* $p < 0.05$) but this did not remain when antibody potency was
254 calculated.

255

256 *3.4 Otitis-prone children colonised with S. pneumoniae have high circulating levels of poor*
257 *quality anti-Ply antibody*

258 Otitis-prone children colonised with *S. pneumoniae* at the time of sample collection ($n = 70$)
259 had significantly higher anti-Ply IgG (Figure 4A) and Ply neutralising titres (Figure 4B) but
260 lower antibody potency (Figure 4C) than non-colonised otitis-prone children ($n = 95$);
261 $p < 0.01$, $p = 0.01$ and $p = 0.046$ respectively. This difference was not observed in healthy
262 children, where anti-Ply antibody titre, neutralising titre and potency was similar between
263 colonised ($n = 16$) and non-colonised ($n = 45$) controls (Figure 4). The anti-Ply IgG titre was
264 significantly higher in *S. pneumoniae* colonised cases versus colonised controls (Figure 4A;
265 $p = 0.01$), whereas the neutralising titre was significantly higher in non-colonised controls
266 versus non-colonised cases (Figure 4B, $p = 0.01$). There was no significant difference in
267 antibody potency between colonised cases and controls or non-colonised cases and controls
268 (Figure 4C).

269

270 *3.5 The potency of antibodies against pneumococcal capsule polysaccharides is similar*
271 *between otitis-prone and healthy children*

272 There was no significant difference between cases and controls for anti-capsular
273 polysaccharide IgG concentration (Figure 5A) and opsonisation titre (Figure 5B) for 7 of the
274 8 pneumococcal polysaccharides tested. Serotype 7F IgG concentration and opsonisation titre
275 was significantly higher in the cases compared with the controls, $p = 0.03$ and $p = 0.02$
276 respectively. However, when antibody potency was calculated (opsonisation titre/IgG titre),

277 there was no significant difference between cases and controls for any of the pneumococcal
278 polysaccharide antibodies (Figure 5C).

279

280 Both cases and controls had higher antibody titre, opsonisation titre and antibody potency to
281 vaccine serotypes compared with the non-vaccine serotypes (Figure 5). The geometric mean
282 IgG concentration and opsonising titre for antibodies against all PCV7 serotypes tested was
283 above the conservative correlates of protection of 0.35µg/mL IgG (41) (Figure 5A) and an
284 OPA titre >8 (34) (Figure 5B) for all children, regardless of OM status.

285

286 **DISCUSSION**

287 This is the first investigation into whether otitis-prone children have deficiencies in their
288 functional antibody responses to pneumococcal antigens. We have shown that otitis-prone
289 children produce neutralising antibodies to the pneumococcal toxin Ply and opsonising
290 antibodies to vaccine and non-vaccine capsule polysaccharides. The potency of these
291 antibodies was comparable to those produced by healthy age-matched controls, indicating
292 that anti-pneumococcal antibody function is not impaired in otitis-prone children. Whether
293 this is true for the stringently-defined otitis-prone child, in which anti-Ply IgG titres are lower
294 than in non-otitis-prone children (22), remains to be determined.

295

296 The neutralising antibody assay is the standard test to assess functional antibody responses to
297 vaccines containing Ply. As current Phase II clinical trials are underway with a Ply containing
298 pneumococcal conjugate vaccine (17) and whole cell pneumococcal vaccine
299 [NCT02097472], the anti-Ply neutralising test will become even more relevant for
300 determining immunogenicity of Ply. Whilst numerous studies have assessed neutralising
301 activity in serum following immunisation of animals with Ply (12, 14, 36), this is the first

302 report describing Ply neutralising titres in children and only the second report in humans. The
303 first assessment of human anti-Ply neutralising antibodies is from a recent Phase I clinical
304 trial with a Ply toxoid (PlyD1) in adults (16). The trial demonstrated that injection with
305 PlyD1 was tolerated and induced neutralising antibody titres that were 4-fold higher than
306 baseline, thereby validating the use of Ply as a vaccine antigen. Interestingly, the authors
307 found only a weak to moderate association between Ply IgG titre and neutralising titre in the
308 placebo adult sera ($r=0.490$), compared to the strong correlation we observed for the children
309 in our study ($r=0.842$, $p<0.001$). This may suggest that naturally acquired anti-Ply antibodies
310 are more functional and of higher quality in children than in adults. Indeed opsonising
311 activity, but not concentration of anti-capsule antibodies, has been shown to diminish with
312 age (33). It is important to note that Vero cell cytotoxicity rather than inhibition of
313 haemolysis was used in the PlyD1 study, therefore neutralising titres cannot be directly
314 compared between studies.

315

316 Consideration of the timing of sample collection and number of previous AOM episodes is
317 important when comparing anti-Ply antibody titres and function between otitis-prone and
318 healthy children, as anti-Ply serum IgG titres may diminish during an episode of
319 pneumococcal AOM in naïve children but not in children with multiple AOM episodes (21,
320 25). Another possibility is that recurrent AOM may induce antigen-specific immune
321 tolerance, as indicated in this study where children experiencing 10+ AOM episodes had
322 lower age-adjusted geometric mean anti-Ply IgG titres than children experiencing 8-9 AOM
323 episodes. Our data indicate that it is also important to know whether children are colonised
324 with *S. pneumoniae* at the time of serum collection, as the anti-Ply antibody titre was higher
325 in otitis-prone children that were colonised with *S. pneumoniae* but the potency of these
326 antibodies was lower in comparison with non-colonised otitis-prone children. This may be

327 due to the generation of low-affinity antibodies as part of a primary mucosal response to the
328 colonisation event and prior to avidity maturation. Whether anti-Ply antibodies are protective
329 against pneumococcal OM remains to be determined. It is also possible that pneumococcal
330 colonisation (and disease) induces production of ineffective anti-Ply antibodies, possibly to
331 decoy epitopes, particularly in children experiencing recurrent episodes of pneumococcal
332 AOM. Future studies involving epitope mapping of anti-Ply antibodies from otitis-prone and
333 healthy children are warranted, particularly in the context of using Ply-based vaccines for
334 otitis-prone children.

335

336 To validate our finding that anti-Ply antibody function was not impaired in otitis-prone
337 children we also measured the opsonising activity of antibodies to vaccine and non-vaccine
338 pneumococcal polysaccharides in a subset of children using MOPA (34). MOPA is
339 considered to be the gold standard to measure anti-capsule antibody quality and is
340 recommended by the W.H.O. for full evaluation of pneumococcal conjugate vaccine efficacy
341 (42). Demonstration that otitis-prone children in our study produced anti-capsule
342 polysaccharide antibody that was of similar quality to healthy controls, both in response to
343 PCV7 vaccination and from natural acquisition, adds to the increasing evidence that humoral
344 immunity may not be impaired in otitis-prone children. Indeed, evidence is mounting that
345 cell-mediated immune defences and mucosal antibody, rather than circulating antibody, may
346 be important for limiting pneumococcal colonisation, transmission and OM (43-47). The
347 higher opsonising titres observed for serotype 7F IgG in the cases compared to the controls
348 are not able to be explained in this study. Serotype 7F, and the cross-protective serotype 7A,
349 was not isolated from this cohort indicating that this serotype was not circulating at the time
350 of sample collection (38).

351 In summary, we have shown that otitis-prone and healthy children have similar antibody
352 potency to pneumococcal vaccine and non-vaccine antigens. This suggests that otitis-prone
353 children respond as well as their healthy counterparts to current PCV immunisation and
354 should also respond well to immunisation with Ply-derived vaccines. Whether protein-based
355 pneumococcal vaccines protect against OM remains to be determined.

356

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366

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375

376

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- 541

542 **Table 1.** Host and environmental risk factors for otitis-prone and healthy children assessed in
543 the Ply neutralising assay and MOPA.

	Ply Neutralising Assay			MOPA		
	Otitis-prone N=165	Healthy N=61	<i>p</i> -Value	Otitis-prone N=20	Healthy N=20	<i>p</i> -Value
Mean age in months (<i>range</i>)	21.2 (7.3-36.0)	18.7 (7.3-35.0)	0.03	21.0 (10.9-33.5)	18.3 (7.0-35.0)	0.07
% male	62%	72%	0.15	65%	85%	0.14
At day-care \geq 4h/week	62% ^a	32% ^b	<0.001	50%	30%	0.20
Fully PCV7 vaccinated	99%	97%	0.30	100%	100%	-
Taking antibiotics	22% ^c	20% ^b	0.77	0	0	-
# AOM episodes						
3-4	33%	-		55%	-	-
5-7	18%	-		10%	-	-
8-9	35%	-		25%	-	-
10+	15%	-		10%	-	-
Pneumococcal carriage	42%	26%	0.03	50%	35%	0.34

544 ^aN = 151; ^bN = 59; ^cN = 152.

545

546 **FIGURE LEGENDS**

547 **Figure 1:** Pneumolysin IgG antibody titres (A), neutralising titres (B) and antibody potency
548 (C) in serum from otitis-prone (open squares) and healthy children (closed circles). Data are
549 presented for each individual child, with the horizontal bar depicting the mean. Statistical
550 analysis was conducted on the geometric mean of LOG-transformed data; correcting for age,
551 gender and day-care attendance. AU, arbitrary units; Ig, immunoglobulin; NT, neutralising
552 titre.

553

554 **Figure 2.** Correlation between pneumolysin (Ply) IgG titre (AU/mL) and neutralising titre
555 (NT) for serum from otitis-prone (A) and healthy children (B), and children colonised (C)
556 and not colonised (D) with *S. pneumoniae*. In all groups there was a strong and significant
557 correlation between Ply IgG titre and neutralising titre, $p < 0.0001$. AU, arbitrary units; Ig,
558 immunoglobulin; NPS, nasopharyngeal swab.

559

560 **Figure 3:** Pneumolysin IgG antibody titres (A), neutralising titres (B) and antibody potency
561 (C) in serum from otitis-prone children according to number of AOM episodes experienced.
562 Data are presented for each individual child, with the horizontal bar depicting the mean.
563 Statistical analysis was conducted on the geometric mean of LOG-transformed data;
564 correcting for age, gender and day-care attendance. AU, arbitrary units; Ig, immunoglobulin;
565 NT, neutralising titre.

566

567 **Figure 4:** Pneumolysin antibody IgG titres (A), neutralising titres (B) and antibody potency
568 (C) in serum from otitis-prone (cases) and healthy children (controls) according to
569 nasopharyngeal colonisation with *S. pneumoniae*. Data are presented for each individual
570 child, with the horizontal bar depicting the mean. Statistical analysis was conducted on the

571 geometric mean of LOG-transformed data; correcting for age, gender and day-care
572 attendance. Closed data points represent children colonised with *S. pneumoniae*, open data
573 points represent non-colonised children. ** $p < 0.001$, * $p < 0.05$; AU, arbitrary units; Ig,
574 immunoglobulin; NT, neutralising titre.

575

576 **Figure 5:** Pneumococcal polysaccharide IgG antibody titres (A), opsonisation titres (B) and
577 antibody potency (C) in serum from otitis-prone (open squares) and healthy children (closed
578 circles). Data are presented for each individual child, with the horizontal bar depicting the
579 mean. Statistical analysis was conducted on the geometric mean of LOG-transformed data;
580 correcting for age, gender and day-care attendance. * $p < 0.05$ when cases are compared with
581 controls for each polysaccharide. Ig, immunoglobulin; NVT, non-vaccine serotype; OT,
582 opsonisation titre; Pn, pneumococcal polysaccharide; VT, vaccine serotype. Grey shading in
583 Figure 5A indicates the IgG level ($0.35\mu\text{g/mL}$) above which polysaccharide IgG titres are
584 considered to provide protection against pneumococcal disease.

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