

1 An opsonic phagocytosis assay for *Plasmodium falciparum* sporozoites

2

3 Ryan W. J. Steel,^a Brandon K. Sack,^a Moriya Tsuji,^b Stefan H. I. Kappe,^{a,c}#

4

5 Center for Infectious Disease Research, formerly Seattle Biomedical Research Institute, Seattle,
6 Washington, USA^a; HIV and Malaria Vaccine Program, Aaron Diamond AIDS Research Center, Affiliate of
7 The Rockefeller University, New York, New York, USA^b; Department of Global Health, University of
8 Washington, Seattle, Washington, USA^c

9

10 Running Head: Opsonization assay for *P. falciparum* sporozoites

11

12 # Address correspondence to Stefan H.I. Kappe, Stefan.Kappe@cidresearch.org

13

14

15

16

17

18

19

20

21

22

23

24

25

26 **Abstract**

27 *Plasmodium falciparum* malaria remains the deadliest parasitic disease worldwide. Vaccines targeting
28 the pre-erythrocytic sporozoite and liver stages have the potential to entirely prevent blood stage
29 infection and disease, as well as onward transmission. Sporozoite surface and secreted proteins are
30 leading candidates for inclusion in a pre-erythrocytic, antibody-based vaccine. Preclinical functional
31 assays to identify humoral correlates of protection *in vitro*, and to validate novel sporozoite protein
32 targets for inclusion in multi-subunit vaccines currently do not consider the interaction of sporozoite-
33 targeting antibodies with other components of the immune system. Here, we describe the development
34 of a simple flow cytometric assay to quantitatively assess the ability of antibodies directed against *P.*
35 *falciparum* sporozoites to facilitate their phagocytosis. We demonstrate that this Sporozoite Opsonic
36 Phagocytosis Assay (SOPA) is compatible with both monoclonal antibodies and human immune serum,
37 and can be performed using cryopreserved *P. falciparum* sporozoites. This simple, accessible assay will
38 aid with assessing antibody responses to vaccination with *Plasmodium* antigens and their interaction
39 with phagocytic cells of the immune system.

40 **1. Introduction**

41 The parasite *Plasmodium falciparum* (Pf) causes hundreds of millions of clinical malaria infections and
42 half a million deaths annually (1). The parasite is transmitted by deposition of sporozoite stages into the
43 skin through the bite of infected *Anopheles* mosquitoes. Motile sporozoites then move in the dermis to
44 locate a capillary, gaining access to the circulation through which they sequester in the liver. Here
45 sporozoites select and infect hepatocytes and the parasite then develops as a liver stage, producing tens
46 of thousands of red blood cell-infectious merozoites that initiate blood stage infection. Stages prior to
47 merozoite emergence from hepatocytes are collectively known as pre-erythrocytic (PE) stages. PE
48 infection is asymptomatic, caused by a relatively small number of parasites, and their elimination
49 prevents progression to blood stages of infection, responsible for the clinical symptoms of malaria and
50 onward transmission of the parasite (2). These features render PE stages attractive vaccine targets.

51

52 Sporozoite surface and secreted proteins are rational antibody targets for vaccines that aim to block
53 sporozoite infection. The most advanced PE vaccine candidate consists of the circumsporozoite protein
54 (CSP), which is abundantly expressed on the sporozoite surface. Immunization with a CSP-based vaccine,
55 “RTS,S/AS01”, a virus-like particle expressing CSP adjuvanted with AS01, achieved modest protection in
56 malaria endemic area phase 3 pediatric clinical trials that was in part mediated by antibodies (3, 4). An
57 alternative approach, vaccination with live-attenuated sporozoites that are unable to progress to blood
58 stage, also engenders sporozoite-neutralizing antibody responses that, together with cellular responses
59 against the liver stages, confer high-level, sterilizing protection in controlled human malaria infection
60 (CHMI) studies (5-7).

61

62 Antibodies against sporozoite proteins can block PE infection in a number of ways, including
63 immobilizing sporozoites in the skin, in the blood, or by directly blocking their entry into the liver
64 parenchyma and subsequent hepatocyte infection (8-10). The effects of PE stage antibodies on these
65 different sporozoite activities can be partially assessed by *in vitro* assays modeling the transition of the
66 sporozoite from the skin to the liver. These assays include the sporozoite gliding motility and cell
67 traversal assays that model parasite activities in the skin and liver (7, 11), sporozoite invasion assays for
68 hepatocyte infection (12-14), and assays measuring liver stage development within primary hepatocytes
69 (15). Antibodies are tested for inhibitory activity in these assays and the collective results are then used

70 to prioritize candidate antigens for further development (16). However, none of the aforementioned
71 assays currently analyze the downstream effects of anti-sporozoite antibodies in conjunction with
72 cellular components of the immune system.

73

74 In addition to the blocking and neutralizing properties of antibodies, phagocytic cells in the skin and liver
75 have the potential to engulf sporozoites that are decorated with antibodies, eliminate them, and initiate
76 an adaptive immune response through antigen presentation to T-cells (17). Indeed, opsonization of
77 sporozoites by antibodies has been shown to increase their phagocytosis and elimination by Kupffer
78 cells of the liver (18), and opsonic phagocytosis of recombinant *Pf*CSP has been reported to correlate
79 with protection using plasma from RTS,S immunized individuals (19). However, existing assays do not
80 measure this potentially important role of antibodies and thus this aspect is not included in guiding PE
81 vaccine development for *Pf*, despite an increasing awareness of the importance of antibody-mediated
82 phagocytosis of blood stage parasites. Here, we have developed a novel Sporozoite Opsonic
83 Phagocytosis Assay (SOPA) that can be included in candidate vaccine antigen screening. The SOPA uses
84 the human Fc receptor positive monocytic cell line THP1 suitable for phagocytosis studies (20), and live
85 or cryopreserved *Pf* sporozoites. We demonstrate that the rapid, flow cytometry based assay can be
86 used with monoclonal antibodies (mAbs) against CSP, as well as blood sera and purified IgG from
87 individuals immunized with a *Pf* genetically attenuated parasite (*Pf*GAP 3KO)(21). The resulting assay will
88 be useful in preclinical analysis of antibody function against sporozoites, and analysis of humoral
89 responses to PE vaccination in clinical trials.

90

91 **2. Materials and Methods**

92

93 **2.1 THP1 cell culture**

94 THP1 cells were maintained in RPMI 1640 complete media containing 10% FBS (Thermo), 200 U/mL
95 penicillin-streptomycin (Thermo) and 2.5 µg/mL amphotericin B (Thermo) at a cell density between 0.1 –
96 1.0×10^6 cells per mL. Pretreatment of THP1 cells with Cytochalasin D (Sigma) or its vehicle (DMSO) to
97 inhibit actin polymerization in some experiments was performed for 30 minutes at 37°C, followed by
98 two washes in complete media to remove residual compound before the addition of cells to sporozoites.

99

100 **2.2 Mosquito rearing, sporozoite production and isolation**

101 Mosquito rearing and *Pf* sporozoite production were performed as previously described (22). Briefly, *Pf*
102 NF54 gametocyte cultures were fed to adult female *A. stephensi* mosquitoes 3 – 7 days after their
103 emergence. Blood-fed mosquitoes were then maintained according to standard protocols for 14 days,
104 after which their salivary glands were dissected and infectious sporozoites isolated by grinding and
105 centrifugation. In some experiments cryopreserved sporozoites were used. Following their dissection,
106 sporozoites to be cryopreserved were first purified using a discontinuous density gradient (23), then
107 resuspended in Schneider's insect media (Lonza) containing 300 mM trehalose and 200 µg/mL DOPC.
108 Resuspended sporozoites were incubated at room temperature for 10 minutes before addition of
109 hydroxyethylstarch to a final concentration of 1.7% v/v followed by rapid freezing by immersion in
110 liquid nitrogen. Prior to their use in the SOPA, cryopreserved sporozoites were thawed at room
111 temperature, pelleted by centrifugation, and cryopreservation media removed.

112

113 **2.3 Generation of *Pf*GAP immunized clinical samples**

114 Naïve and immune sera from human volunteers immunized with a *Pf* genetically attenuated parasite
115 (*Pf*GAP 3KO) in a phase Ia clinical trial were collected as described elsewhere (21). Briefly, volunteers
116 received a single exposure of 150 – 200 bites from *Pf*GAP infected *A. stephensi* mosquitoes. Sera was
117 collected from volunteers before infection (naive sera), and 13 days after infection (immune sera), and
118 purified IgG (pIgG) isolated from these sera using Protein G columns (GE, cat #28-9852-55).

119

120 **Generation and human chimerization of 3C1 monoclonal antibody against *P. falciparum* CSP**

121 The coding sequence of *Pf*CSP (3D7 strain) excluding C-terminal GPI anchor was cloned into the pET20b
122 vector, expressed in the BL21(DE3) *E. coli* strain and protein purified by Ni-Affinity Chromatography.
123 Subsequent immunization of mice and hybridoma fusion was performed by Green Mountain Antibodies,
124 Inc. (Burlington, VT). Briefly, mice were primed with 50 µg of *Pf*CSP emulsified with Complete Freund's
125 Adjuvant (CFA), followed by weekly immunization of 50 µg of *Pf*CSP emulsified with TiterMax[®] (Sigma) or
126 SAS[®] (Sigma). One week following the seventh immunization, B cells were isolated from lymph nodes,

127 fused with a mouse myeloma cell line, and positive clones secreting anti-*Pf*CSP IgG selected by
128 ELISA. The leading clone 3C1 was selected for expression as a chimeric antibody with human constant
129 regions. The DNA sequences encoding the heavy- and light-chain variable genes for antibody 3C1 were
130 amplified by polymerase chain reaction and cloned into expression vectors containing the human κ light-
131 chain constant region and human γ 1 heavy-chain constant region. The expression vectors
132 were transfected into HEK293 cells for transient production of chimeric 3C1-hIgG1. The cells were grown
133 in chemically-defined medium and the full-length 3C1-hIgG1 was purified from the cell culture
134 supernatant by protein A affinity chromatography (GE Healthcare Protein A resin).

135

136 **2.4 Sporozoite opsonic phagocytosis assay (SOPA)**

137 An overview of the assay workflow is shown (Figure 1). Salivary gland *Pf* sporozoites were first prepared
138 in complete media at a concentration of 8×10^5 / mL, then sporozoites opsonized with 3C1 hIgG1, non-
139 specific human IgG (hIgG), or sera or pIgG derived from patients immunized with a *Pf*GAP (21).
140 Sporozoites were then incubated at 37°C for 15 minutes, before 1.5×10^4 opsonized sporozoites were
141 plated into triplicate wells of a 96-well V-bottomed plate. THP1 cells prepared at 1×10^6 / mL in
142 complete media were added to each well at a 1:1 volume with sporozoites to achieve a ratio of
143 sporozoites:cells of 1:3. The plate was then centrifuged at $500 \times g$ for 3 minutes, and incubated at 37°C
144 for 15 minutes. Cells were then immediately fixed without washing in Perm/Fix buffer (BD Biosciences),
145 blocked and permeabilized in Perm/Wash buffer (BD Biosciences), then stained with a monoclonal
146 antibody directed against *Pf*CSP conjugated to Alexa Fluor 647 (clone 2A10). THP1 cells containing
147 sporozoites were identified by flow cytometry using a BD-LSRII flow cytometer (BD Biosciences), and
148 data analysis performed using FlowJo software (TreeStar). In some experiments, a F(ab')₂ fragment of
149 3C1 generated by pepsin digestion of the Fc region (Thermo, Cat # 44688) was used in place of the full
150 length 3C1.

151

152 **2.5 Statistical Analysis**

153 Data were analyzed using Graphpad Prism (version 6) for Windows, and considered significant when
154 $p < 0.05$.

155

156 **3. Results**

157

158 **3.1 Development and validation of the SOPA**

159 We developed a flow cytometry-based assay to measure the capacity of antigen-specific antibodies to
160 facilitate opsonic phagocytosis of sporozoites by the Fc-receptor positive THP-1 cell line (Figure 1). In
161 developing the assay, we determined that a ratio of sporozoites:cells of 1:3 with a 15-minute incubation
162 was optimal (Figure S1). Using the sporozoite surface marker CSP, the assay measures changes in the
163 frequency of intracellular parasites when *Pf* sporozoites are opsonized with either monoclonal
164 antibodies or clinical immune sera and then phagocytosed. Incubation of *Pf* sporozoites with a human
165 chimeric monoclonal antibody reactive against *Pf* CSP (3C1 hIgG1) (Figure S2), but not control non-
166 specific hIgG, increased the frequency of intracellular parasites at concentrations as low as 1 ng/mL
167 ($p < 0.05$) with an EC_{50} of 7.1 ng/mL (Figure 2A, 2B). THP1 cells not incubated with sporozoites were
168 negative for CSP, and used as an additional negative control in all assays (data not shown).

169

170 Having shown that antigen-specific antibodies facilitated an increase of phagocytic cells positive for the
171 sporozoite marker CSP, we set out to further substantiate that this was due to opsonic phagocytosis of
172 sporozoites. We generated F(ab')₂ fragments of 3C1 and verified digestion of the Fc region by PAGE
173 under non-reducing conditions (data not shown). When used in the SOPA, these 3C1 F(ab')₂ fragments
174 were unable to mediate the uptake of sporozoites by phagocytic cells when used at the same
175 concentration as full length 3C1, instead showing low uptake levels similar to control hIgG (Figure 2C).
176 Phagocytosis is an active cell-mediated process, requiring actin polymerization in the phagocyte that can
177 be inhibited by cytochalasin D. To verify that the assay in fact measures active phagocytosis of
178 sporozoites in the presence of 3C1 mAb, we pretreated phagocytic cells with cytochalasin D or its
179 vehicle for 30 minutes, followed by washing out the drug to prevent inhibition of sporozoite motility.
180 Cells treated with cytochalasin D, but not its vehicle, showed a significant 3.1-fold reduction in the
181 number of sporozoite-containing phagocytic cells (Fig. 2D). Finally, we performed the SOPA on
182 permeabilized, as well as unpermeabilized cells (Fig. 2E). While hIgG opsonized sporozoites showed
183 similar levels of CSP⁺ cells regardless of permeabilization (indicating some surface-associated sporozoites
184 are detected in the assay), an increase in CSP⁺ events with 3C1 mAb was seen only in permeabilized
185 cells. Together, these data show that the increase in CSP⁺ phagocytic cells we observe in the SOPA

186 requires an antigen-specific antibody (Fig. 2A-B) and antibody interaction with phagocytic cells via their
187 Fc region (Fig. 2C) that is dependent on actin polymerization in the THP1 cells (Fig. 2D), resulting in an
188 increase in intracellular sporozoites (Fig. 2E). These data are consistent with the SOPA measuring
189 opsonic phagocytosis of *Pf* sporozoites by THP1 cells.

190

191 **3.2 Measurement of SOPA response with sera of whole sporozoite-immunized volunteers**

192 Having demonstrated proof of concept for the SOPA using a *Pf*CSP-specific mAb, we next sought to
193 determine the compatibility of the assay with sera from humans immunized with whole *Pf* parasites
194 (21).

195

196 Sera from two volunteers that had received a single high dose of a triple gene deletion-attenuated
197 sporozoite (*Pf*GAP 3KO) (21) was tested in a dilution series in the SOPA to identify the dilution range
198 yielding the greatest fold change between naive and immune sera (Figure 3). Compared to untreated
199 sporozoites, we observed differences in the response of the volunteers' naive sera, as well as the
200 maximum fold change between matched naive and immune sera in the SOPA. One volunteer showed a
201 negligible increase in CSP⁺ cells with naive sera at all tested dilutions (Fig. 3A), while in the other
202 volunteer a significant increase in CSP⁺ cells was observed at naive sera dilutions of less than 160-fold
203 (Fig. 3B). In both volunteers, the greatest fold change between naive and immune sera was observed at
204 80 to 160-fold dilutions, and at the earliest dilution where naive sera had a negligible effect in the assay.
205 We observed similar differences between volunteers when purified IgG, rather than whole sera was
206 used in the SOPA (Figure S3).

207

208 To test the reproducibility of the assay, as well as determine its capacity to measure differences in the
209 SOPA response between individuals, we screened the sera of five *Pf*GAP 3KO immunized volunteers at
210 an 80-fold dilution in the assay (Figure 4). The maximum fold change between naive and immune sera
211 from these five volunteers revealed significant differences between the responses of their samples (Fig.
212 4A-B). These differences were reproduced in a second, independently performed assay, and a significant
213 correlation was observed between the two assays runs demonstrating reproducibility of results (Fig. 4C).
214 Although protection data is not yet available for the *Pf*GAP 3KO, these reproducible differences

215 demonstrate that the SOPA could be a useful assay for identifying correlates of protection with human
216 clinical samples. Importantly, the magnitude of response of clinical samples in the SOPA did not
217 necessarily correlate with titers of antibodies against *Pf*CSP (Fig. 4D) (21), suggesting that sporozoite
218 antigens other than CSP might be able to facilitate opsonic phagocytosis in this assay.

219

220 The above experiments were performed using freshly isolated *Pf* sporozoites, the production of which
221 requires specialized insectary facilities and personnel not available in many laboratories. Methods to
222 cryopreserve *Pf* sporozoites have been developed, making them more broadly available for research.
223 We thus tested cryopreserved *Pf* sporozoites in the SOPA to determine their compatibility with the
224 assay (Figure 5). Opsonization of cryopreserved *Pf* sporozoites with 3C1 or immune sera from *Pf*GAP
225 3KO immunized volunteers resulted in an increase in sporozoite-containing phagocytic cells similar to
226 that seen with fresh sporozoites, indicating that the assay will not be restricted to fresh sporozoites.

227

228 **4. Discussion and Conclusion**

229 The contribution of sporozoite-targeted antibodies to protective PE immunity is increasingly recognized
230 for both subunit- and whole attenuated sporozoite vaccination (5, 24). These antibodies can act at
231 multiple points during the journey of sporozoites from the dermis to the liver, and a number of *in vitro*
232 assays are available to measure inhibition of distinct processes at multiple steps in this journey. These
233 include assays for inhibition of motility in the skin as sporozoites search for a capillary, traversal of
234 multiple cell types as sporozoites gain access to the circulation and subsequently to the liver
235 parenchyma, as well as ultimately the invasion of hepatocytes by sporozoites (16). While these assays
236 are certainly important tools in the analysis of humoral responses against subunit and whole sporozoite
237 vaccination, they measure only neutralization of sporozoite protein functions and do not consider the
238 interaction of these parasite-bound antibodies with other immune components.

239

240 The significance of antibody effector functions, mediated by the interaction of antibody Fc regions with
241 other immune components, has been recognized in functional assays against the blood stages of malaria
242 infection. The growth inhibition assay (GIA) quantifies reductions in merozoite invasion of red blood cells
243 with antibodies against merozoite proteins (25). The addition of complement or phagocytic cells to the

244 GIA increases inhibition by most antibodies in this assay, and increases the capacity of the assay to
245 predict protection (26-31). Recently, complement fixation by antibodies recognizing glycan residues on
246 sporozoites has been shown to be protective *in vivo* (32), suggesting that the role of Fc-mediated
247 functions should be more carefully considered in PE immunity and vaccine development as well. The
248 sporozoite opsonic phagocytosis assay (SOPA) that we report here is the first description of a functional
249 assay using *Pf* sporozoites that considers the interaction of antibodies with other immune components
250 via their Fc-mediated effector functions.

251

252 Opsonic phagocytosis of sporozoites could be expected to augment clearance of the parasite from the
253 host, as well as enhance the initiation of adaptive immune responses by phagocytic cells. Sporozoite
254 traversal of the sinusoidal barrier in the liver has been shown to facilitate their evasion of phagocytosis
255 and clearance by Kupffer cells (33), and incubation of sporozoites with specific antibodies increases
256 parasite clearance by Kupffer cells *in vitro* (18). These studies indicate that antibodies bound to
257 sporozoites could enhance their clearance at the parasites' final barrier to the establishment of liver
258 stage infection. In the skin draining lymph nodes, CD11c⁺ and CD8α⁺ dendritic cells appear important for
259 the initiation of protective CD8⁺ T-cell responses observed in whole sporozoite immunizations (34).
260 Enhanced phagocytosis and antigen presentation has been described for a variety of pathogens with
261 opsonizing antibodies (17), and antibodies recognizing sporozoites could be expected to enhance
262 adaptive immunity during *Pf* infection. Finally, opsonic phagocytosis has been investigated in the context
263 of RTS,S immunization. In that study, plasma from immunized volunteers was able to facilitate uptake of
264 recombinant *Pf*CSP and this correlated with protection (19). It is noteworthy to highlight that this
265 previous assay used a protein corresponding to the immunogen of RTS,S immunization, whereas the
266 SOPA that we describe uses whole *Pf* sporozoites. The use of sporozoites in our assay constitutes a
267 significant improvement over previous approaches, as all sporozoite antigens are present in their natural
268 parasite context and the activity of antibodies to proteins other than CSP can be investigated.

269

270 Here, we have developed a simple, medium throughput *in vitro* assay able to reproducibly measure the
271 antibody-mediated opsonic phagocytosis of whole *Pf* sporozoites. This sporozoite opsonic phagocytosis
272 assay (SOPA) is efficient with both clinical sample (1 – 4 μL of sera per sample is required per sample per
273 experiment) and sporozoites (1.5 x 10⁶ sporozoites is sufficient to test 14 clinical samples with matched

274 naïve and immune serum), and can be completed in less than one day. Using the SOPA we observed
275 significant differences in opsonic phagocytosis between five volunteers immunized with a PfGAP 3KO
276 vaccine that did not correlate with anti-PfCSP titers. While the small samples size in this study is a
277 limitation, these data suggest that antigens other than CSP may be able to contribute to opsonic
278 phagocytosis of sporozoites. It is also possible that the difference in SOPA response observed between
279 these volunteers is related to the specific IgG subclasses of the opsonizing antibodies present in the
280 samples, an analysis not performed here. It will be important to explore these possibilities in future
281 studies. Nevertheless, the SOPA we have developed appears sensitive enough to enable correlative
282 analyses to be performed in the future using samples from subjects with known protection outcomes.

283

284 We have performed our analysis using the fold-change between naïve and immune sera, although it is
285 important to note that alternative methods of analysis are possible. For example, the percent CSP⁺ cells
286 in immune sera at a given dilution could be employed. Indeed, the worst performer amongst analyzed
287 volunteers, where fold change is reported, also exhibited the highest percent of CSP⁺ cells using immune
288 sera at an 80-fold dilution (Fig. 4). As protection was not assessed in this PfGAP 3KO phase Ia study, we
289 are unable to resolve which method best reflects the contribution of opsonic phagocytosis to protection
290 *in vivo*, and the predictive power of the assay remains to be determined.

291

292 **5. Acknowledgements**

293 The 3C1 monoclonal antibody used in this research was provided as a chimeric 3C1 hIgG1 by the PATH
294 Malaria Vaccine Initiative. We thank the insectary at the Center for Infectious Disease Research for
295 infected and uninfected mosquito preparation.

296

297 **6. Funding Information**

298 Work by the authors is funded by the PATH Malaria Vaccine Initiative, and the National Institute of
299 Allergy and Infectious Disease (NIAID) (grant number NIH 5 F32 AI114113-03).

300

301 **References**

302

- 303 1. **Organization WH.** 2015. World Malaria Report 2015.
- 304 2. **Vaughan AM, Aly ASI, Kappe SHI.** 2008. Malaria parasite pre-erythrocytic stage infection:
305 Gliding and hiding. *Cell Host & Microbe* **4**:209-218.
- 306 3. **White MT, Bejon P, Olotu A, Griffin JT, Riley EM, Kester KE, Ockenhouse CF, Ghani AC.** 2013.
307 The relationship between RTS,S vaccine-induced antibodies, CD4(+) T cell responses and
308 protection against *Plasmodium falciparum* infection. *PLoS One* **8**:e61395.
- 309 4. **RTS SCTP.** 2015. Efficacy and safety of RTS,S/AS01 malaria vaccine with or without a booster
310 dose in infants and children in Africa: final results of a phase 3, individually randomised,
311 controlled trial. *The Lancet* **386**:31-45.
- 312 5. **Ishizuka AS, Lyke KE, DeZure A, Berry AA, Richie TL, Mendoza FH, Enama ME, Gordon IJ, Chang
313 L-J, Sarwar UN, Zephir KL, Holman LA, James ER, Billingsley PF, Gunasekera A, Chakravarty S,
314 Manoj A, Li M, Ruben AJ, Li T, Eappen AG, Stafford RE, K C N, Murshedkar T, DeCederfelt H,
315 Plummer SH, Hendel CS, Novik L, Costner PJM, Saunders JG, Laurens MB, Plowe CV, Flynn B,
316 Whalen WR, Todd JP, Noor J, Rao S, Sierra-Davidson K, Lynn GM, Epstein JE, Kemp MA, Fahle
317 GA, Mikolajczak SA, Fishbaugher M, Sack BK, Kappe SHI, Davidson SA, Garver LS, Bjorkstrom
318 NK, Nason MC, Graham BS, Roederer M, Sim BKL, Hoffman SL, Ledgerwood JE, Seder RA.** 2016.
319 Protection against malaria at 1 year and immune correlates following PfSPZ vaccination. *Nat*
320 *Med* **22**:614-623.
- 321 6. **Epstein JE, Richie TL.** 2013. The whole parasite, pre-erythrocytic stage approach to malaria
322 vaccine development: a review. *Curr Opin Infect Dis* **26**:420-428.
- 323 7. **Behet MC, Foquet L, van Gemert GJ, Bijker EM, Meuleman P, Leroux-Roels G, Hermesen CC,
324 Scholzen A, Sauerwein RW.** 2014. Sporozoite immunization of human volunteers under
325 chemoprophylaxis induces functional antibodies against pre-erythrocytic stages of *Plasmodium*
326 *falciparum*. *Malar J* **13**:136.
- 327 8. **Vanderberg JP, Frevert U.** 2004. Intravital microscopy demonstrating antibody-mediated
328 immobilisation of *Plasmodium berghei* sporozoites injected into skin by mosquitoes. *Int J*
329 *Parasitol* **34**:991-996.
- 330 9. **Kebaier C, Voza T, Vanderberg J.** 2009. Kinetics of mosquito-injected *Plasmodium* sporozoites in
331 mice: fewer sporozoites are injected into sporozoite-immunized mice. *PLoS Pathog* **5**:e1000399.
- 332 10. **Sack BK, Miller JL, Vaughan AM, Douglass A, Kaushansky A, Mikolajczak S, Coppi A, Gonzalez-
333 Aseguinolaza G, Tsuji M, Zavala F, Sinnis P, Kappe SH.** 2014. Model for in vivo assessment of
334 humoral protection against malaria sporozoite challenge by passive transfer of monoclonal
335 antibodies and immune serum. *Infect Immun* **82**:808-817.
- 336 11. **Mota MM, Pradel G, Vanderberg JP, Hafalla JC, Frevert U, Nussenzweig RS, Nussenzweig V,
337 Rodriguez A.** 2001. Migration of *Plasmodium* sporozoites through cells before infection. *Science*
338 **291**:141-144.
- 339 12. **Seder RA, Chang LJ, Enama ME, Zephir KL, Sarwar UN, Gordon IJ, Holman LA, James ER,
340 Billingsley PF, Gunasekera A, Richman A, Chakravarty S, Manoj A, Velmurugan S, Li M, Ruben
341 AJ, Li T, Eappen AG, Stafford RE, Plummer SH, Hendel CS, Novik L, Costner PJ, Mendoza FH,
342 Saunders JG, Nason MC, Richardson JH, Murphy J, Davidson SA, Richie TL, Sedegah M,
343 Sutamihardja A, Fahle GA, Lyke KE, Laurens MB, Roederer M, Tewari K, Epstein JE, Sim BK,
344 Ledgerwood JE, Graham BS, Hoffman SL.** 2013. Protection Against Malaria by Intravenous
345 Immunization with a Nonreplicating Sporozoite Vaccine. *Science* doi:10.1126/science.1241800.

- 346 13. **Kaushansky A, Rezakhani N, Mann H, Kappe SH.** 2012. Development of a quantitative flow
347 cytometry-based assay to assess infection by *Plasmodium falciparum* sporozoites. *Mol Biochem*
348 *Parasitol* **183**:100-103.
- 349 14. **Finney OC, Keitany GJ, Smithers H, Kaushansky A, Kappe S, Wang R.** 2014. Immunization with
350 genetically attenuated *P. falciparum* parasites induces long-lived antibodies that efficiently block
351 hepatocyte invasion by sporozoites. *Vaccine* **32**:2135-2138.
- 352 15. **Zou X, House BL, Zyzak MD, Richie TL, Gerbasi VR.** 2013. Towards an optimized inhibition of
353 liver stage development assay (ILSDA) for *Plasmodium falciparum*. *Malar J* **12**:394.
- 354 16. **Steel RWJ, Kappe SH, Sack BK.** In Press. An expanding toolkit for preclinical pre-erythrocytic
355 malaria vaccine development: bridging traditional mouse malaria models and human trials.
356 *Future Microbiology*.
- 357 17. **Joller N, Weber SS, Oxenius A.** 2011. Antibody - Fc receptor interactions in protection against
358 intracellular pathogens. *European Journal of Immunology* **41**:889-897.
- 359 18. **Seguin MC, Ballou WR, Nancy CA.** 1989. Interactions of *Plasmodium berghei* sporozoites and
360 murine Kupffer cells in vitro. *J Immunol* **143**:1716-1722.
- 361 19. **Schwenk R, Asher LV, Chalom I, Lanar D, Sun PF, White K, Keil D, Kester KE, Stoute J, Heppner**
362 **DG, Krzych U.** 2003. Opsonization by antigen-specific antibodies as a mechanism of protective
363 immunity induced by *Plasmodium falciparum* circumsporozoite protein-based vaccine. *Parasite*
364 *Immunology* **25**:17-25.
- 365 20. **Chanput W, Mes JJ, Wichers HJ.** 2014. THP-1 cell line: an in vitro cell model for immune
366 modulation approach. *Int Immunopharmacol* **23**:37-45.
- 367 21. **Kublin JG, Mikolajczak SA, Sack BK, Fishbauger M, Seilie A, Shelton L, VonGoederdt T, Firat M,**
368 **Magee S, Fritzen E, Betz W, Kain HS, A. DD, Steel RWJ, Vaughan AM, Sather DN, Murphy SC,**
369 **Kappe SHI.** Complete attenuation of a genetically engineered *Plasmodium falciparum* parasite in
370 humans. *Science Translational Medicine* *In Press*.
- 371 22. **Vaughan AM, Mikolajczak SA, Wilson EM, Grompe M, Kaushansky A, Camargo N, Bial J, Ploss**
372 **A, Kappe SH.** 2012. Complete *Plasmodium falciparum* liver-stage development in liver-chimeric
373 mice. *J Clin Invest* **122**:3618-3628.
- 374 23. **Kennedy M, Fishbaugher ME, Vaughan AM, Patrapuvich R, Boonhok R, Yimamnuaychok N,**
375 **Rezakhani N, Metzger P, Ponpuak M, Sattabongkot J, Kappe SH, Hume JC, Lindner SE.** 2012. A
376 rapid and scalable density gradient purification method for *Plasmodium* sporozoites. *Malar J*
377 **11**:421.
- 378 24. **Olotu A, Lusingu J, Leach A, Lievens M, Vekemans J, Msham S, Lang T, Gould J, Dubois MC,**
379 **Jongert E, Vansadia P, Carter T, Njuguna P, Awuondo KO, Malabeja A, Abdul O, Gesase S,**
380 **Mturi N, Drakeley CJ, Savarese B, Villafana T, Lapierre D, Ballou WR, Cohen J, Lemnge MM,**
381 **Peshu N, Marsh K, Riley EM, von Seidlein L, Bejon P.** 2011. Efficacy of RTS,S/AS01E malaria
382 vaccine and exploratory analysis on anti-circumsporozoite antibody titres and protection in
383 children aged 5-17 months in Kenya and Tanzania: a randomised controlled trial. *Lancet Infect*
384 *Dis* **11**:102-109.
- 385 25. **Persson KE, Lee CT, Marsh K, Beeson JG.** 2006. Development and optimization of high-
386 throughput methods to measure *Plasmodium falciparum*-specific growth inhibitory antibodies. *J*
387 *Clin Microbiol* **44**:1665-1673.
- 388 26. **Boyle MJ, Reiling L, Feng G, Langer C, Osier FH, Aspeling-Jones H, Cheng YS, Stubbs J, Tetteh**
389 **KK, Conway DJ, McCarthy JS, Muller I, Marsh K, Anders RF, Beeson JG.** 2015. Human antibodies
390 fix complement to inhibit *Plasmodium falciparum* invasion of erythrocytes and are associated
391 with protection against malaria. *Immunity* **42**:580-590.
- 392 27. **Kumaratilake LM, Ferrante A.** 2000. Opsonization and phagocytosis of *Plasmodium falciparum*
393 merozoites measured by flow cytometry. *Clin Diagn Lab Immunol* **7**:9-13.

- 394 28. **Tiendrebeogo RW, Adu B, Singh SK, Dziegiel MH, Nébié I, Sirima SB, Christiansen M, Dodoo D,**
395 **Theisen M.** 2015. Antibody-Dependent Cellular Inhibition Is Associated With Reduced Risk
396 Against Febrile Malaria in a Longitudinal Cohort Study Involving Ghanaian Children. *Open Forum*
397 *Infectious Diseases* **2**:ofv044.
- 398 29. **Osier FHA, Feng GQ, Boyle MJ, Langer C, Zhou JL, Richards JS, McCallum FJ, Reiling L,**
399 **Jaworowski A, Anders RF, Marsh K, Beeson JG.** 2014. Opsonic phagocytosis of *Plasmodium*
400 *falciparum* merozoites: mechanism in human immunity and a correlate of protection against
401 malaria. *Bmc Medicine* **12**.
- 402 30. **Hill DL, Eriksson EM, Carmagnac AB, Wilson DW, Cowman AF, Hansen DS, Schofield L.** 2012.
403 Efficient Measurement of Opsonising Antibodies to *Plasmodium falciparum* Merozoites. *Plos*
404 *One* **7**.
- 405 31. **Wilson DW, Fowkes FJI, Gilson PR, Elliott SR, Tavul L, Michon P, Dabod E, Siba PM, Mueller I,**
406 **Crabb BS, Beeson JG.** 2011. Quantifying the Importance of MSP1-19 as a Target of Growth-
407 Inhibitory and Protective Antibodies against *Plasmodium falciparum* in Humans.
408 *PLoS ONE* **6**:e27705.
- 409 32. **Yilmaz B, Portugal S, Tran TM, Gozzelino R, Ramos S, Gomes J, Regalado A, Cowan PJ, d'Apice**
410 **AJ, Chong AS, Doumbo OK, Traore B, Crompton PD, Silveira H, Soares MP.** 2014. Gut
411 microbiota elicits a protective immune response against malaria transmission. *Cell* **159**:1277-
412 1289.
- 413 33. **Tavares J, Formaglio P, Thiberge S, Mordelet E, Van Rooijen N, Medvinsky A, Ménard R, Amino**
414 **R.** 2013. Role of host cell traversal by the malaria sporozoite during liver infection. *The Journal*
415 *of Experimental Medicine* **210**:905-915.
- 416 34. **Cockburn IA, Zavala F.** 2016. Dendritic cell function and antigen presentation in malaria. *Current*
417 *Opinion in Immunology* **40**:1-6.
- 418
- 419
- 420
- 421
- 422
- 423
- 424
- 425
- 426
- 427

428 **Figure 1: Analysis of the *P. falciparum* sporozoite opsonic phagocytosis assay (SOPA) by flow**
429 **cytometry.** Sporozoites are incubated either alone, in the presence of antibodies or clinical immune sera
430 for 15 minutes before addition of THP1 cells at a ratio of sporozoites:cells of 1:3. Sporozoites and cells
431 are centrifuges briefly, then after a 15-minute incubation cells are fixed, permeabilized, and sporozoites
432 detected using a fluorescently conjugated monoclonal antibody directed against *PfCSP*.

433

434 **Figure 2: Opsonized *P. falciparum* sporozoites are efficiently phagocytosed by THP1 cells. (A)**
435 Sporozoites were incubated in media alone (untreated), human IgG (hIgG), or a monoclonal hIgG
436 antibody directed against *PfCSP* (clone 3C1) before the addition of THP1 cells and analysis by flow
437 cytometry. The presence of 3C1, but not hIgG at 10 $\mu\text{g}/\text{mL}$ facilitated a significant increase in the
438 percent of CSP^+ cells relative to untreated sporozoites. **(B)** The 3C1 and hIgG antibodies were titrated in
439 the SOPA to determine the sensitivity of the assay. Significant increases in CSP^+ cells when sporozoites
440 were opsonized with h3C1 was observed at concentrations of 1 ng/mL and higher, with an EC_{50} of 7.1
441 ng/mL . A negligible effect of hIgG was observed in the assay that performed similarly to untreated
442 sporozoites (dashed line) at all tested concentrations. **(C)** $\text{F}(\text{ab}')_2$ fragments of mAb 3C1 lacking the hIgG Fc
443 region did not facilitate phagocytic uptake of sporozoites **(C)** but uptake in the presence of full 3C1 was
444 reduced by pretreatment of THP1 cells with 200 μM cytochalasin D **(D)**. **(E)** The increase in CSP^+ cells
445 with h3C1-opsonized sporozoites was not observed when non-permeabilized cells were used in the
446 assay, demonstrating the increase observed is due to intracellular sporozoites. Data are the mean \pm SD
447 from representative experiments, analyzed either by One-way ANOVA with Sidak's planned comparison
448 testing (A, C-D) or Two-way ANOVA with Bonferroni's multiple comparison testing; * $p < 0.05$,
449 **** $p < 0.0001$.

450

451 **Figure 3: Determining parameters for use of human sera in the SOPA.** Naive (blue line) and immune
452 (red line) sera from two volunteers (A and B) immunized with *PfGAP* 3KO were tested in the SOPA across
453 a dilution series. For one volunteer naive sera performed comparably to untreated sporozoites (dashed
454 line) at all tested dilutions (A), while in another a background effect of naive sera was observed at
455 dilutions of less than 160-fold (B). In both volunteers the percentage of CSP^+ cells with immune sera
456 peaked at 80-fold dilution, with the greatest fold change between naive and immune sera (yellow line)
457 seen at dilutions of 80-fold or above. Data are the mean \pm SD from a representative experiment.

458

459 **Figure 4: Reproducible differences between clinical sera samples are measured by the SOPA. (A)** Naive
460 (Day 0) and matched immune (Day 13 post immunization) sera from five volunteers immunized with
461 *PfGAP* 3KO were tested in the SOPA (dashed line indicates untreated sporozoites). The fold change
462 between matched sera for each volunteer was calculated, and statistically significant differences
463 between the responses of the volunteers was observed **(B)**. The experiment was performed a second
464 time, and the mean fold change between the naive and immune sera for each of the two independent
465 experiments was significantly correlated using Pearson's Correlation **(C)**. No correlation was seen
466 between CSP antibody titers and fold change in the SOPA for either of these two experiments **(D)**. Data
467 in (A) and (B) represent the mean \pm SD of one representative experiment; analyzed by One-way ANOVA
468 with Bonferroni's post-hoc test; * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$. Data in (C) and (D) are analyzed by
469 Pearson correlation.

470

471 **Figure 5: Use of cryopreserved sporozoites in the SOPA.** Cryopreserved *Pf* sporozoites were tested in
472 the SOPA with the monoclonal antibody 3C1 and hIgG (A), as well as naive and immune sera from a
473 volunteer immunized with *PfGAP* 3KO (B). A significant increase in CSP⁺ cells was seen using both 3C1
474 and immune sera, demonstrating the compatibility of frozen sporozoites with the SOPA. Data are the
475 mean \pm SD from a representative experiment, analyzed by One-way ANOVA (A) and student's t-test (B);
476 **** $p < 0.0001$.









