

1 **Development and use of a serum bactericidal assay using pooled human complement to**  
2 **assess responses to a meningococcal group A conjugate vaccine in African toddlers**

3

4 Margaret C. Bash,<sup>a\*</sup> Freyja Lynn,<sup>a</sup> Brian Mocca,<sup>a</sup> Ray Borrow,<sup>b</sup> Helen Findlow,<sup>b</sup> Musa Hassan-  
5 King,<sup>c</sup> Marie-Pierre Preziosi,<sup>c,d</sup> Olubukola Idoko,<sup>e</sup> Samba Sow,<sup>f</sup> Prasad Kulkarni,<sup>g</sup> Marc  
6 LaForce<sup>c</sup>

7

8 Center for Biologics Evaluation and Research, FDA, Bethesda, Maryland, U.S.A.<sup>a</sup>; Vaccine  
9 Evaluation Unit, Public Health England, Manchester Royal Infirmary, Manchester, United  
10 Kingdom<sup>b</sup>; Meningitis Vaccine Project, PATH, Ferney-Voltaire, France<sup>c</sup>; Meningitis Vaccine  
11 Project, Initiative for Vaccine Research, World Health Organization, Geneva, Switzerland<sup>d</sup>;  
12 Medical Research Council, Basse, The Gambia<sup>e</sup>; Centre pour le Développement des Vaccins,  
13 Bamako, Mali<sup>f</sup>; Serum Institute of India, Pune, India<sup>g</sup>

14

15 Running title: Human complement SBA after PsA-TT in African toddlers

16

17 Keywords: Immunization, bactericidal activity, assay development, *Neisseria meningitidis*,  
18 clinical trials

19

20 \*Address correspondence to Margaret C. Bash, [margaret.bash@fda.hhs.gov](mailto:margaret.bash@fda.hhs.gov)

21

22

23 **Abstract**

24 Background: A meningococcal group A polysaccharide conjugate vaccine (PsA-TT) has been  
25 developed for African countries affected by epidemic meningitis caused by *Neisseria*  
26 *meningitidis*. Complement-mediated serum bactericidal antibody (SBA) assays are used to assess  
27 protective immune responses to meningococcal vaccination. Human complement (hC') was used  
28 in early studies demonstrating antibody mediated protection against disease, but it is difficult to  
29 obtain and standardize. We developed and evaluated a method for sourcing hC', then used the  
30 SBA assay with hC' (hSBA) to measure bactericidal responses to PsA-TT vaccination in 12 to  
31 23 month-old African children. Methods: Sera with active complement from 100 unvaccinated  
32 blood donors were tested for intrinsic bactericidal activity, SBA titer using rabbit complement  
33 (rSBA), and anti-group A PS antibody concentration. Performance criteria and pooling strategies  
34 were examined then verified by comparisons of three independently prepared hC' lots in two  
35 laboratories. hSBA titers of clinical trial sera were then determined using this complement  
36 sourcing method. Results: Two different functional antibody tests were necessary for screening  
37 hC'. hSBA titers determined using three independent lots of pooled hC' were within expected  
38 assay variation among lots and between laboratories. In African toddlers, PsA-TT elicited higher  
39 hSBA titers than meningococcal polysaccharide or Hib vaccines. PsA-TT immunization or PS  
40 challenge of PsA-TT primed subjects resulted in vigorous hSBA memory responses, and titers  
41 persisted in boosted groups for over a year. Conclusions: Quantifying SBA using pooled hC' is  
42 feasible and showed that PsA-TT was highly immunogenic in African toddlers.

43

44 **BACKGROUND**

45 Group A *Neisseria meningitidis* has caused devastating recurrent epidemics in countries of the  
46 African Meningitis Belt. A vaccine has been developed with the goal of eliminating epidemic  
47 meningitis from the region (1, 2). Human responses to new meningococcal vaccines are  
48 evaluated serologically by several methods. Clinical efficacy for a group A polysaccharide (PS)  
49 vaccine was originally correlated with anti-polysaccharide Ig antibody  $\geq 2$   $\mu\text{g/mL}$  as quantitated  
50 by radioimmunoassay (RIA) (3). Since specific antibody-dependent, complement-mediated  
51 bactericidal killing is the primary mechanism of human immunity to *N. meningitidis* (4),  
52 functional antibody responses, as measured in serum bactericidal antibody (SBA) assays, have  
53 been and are currently used to evaluate new meningococcal vaccines.

54

55 Several types of SBA assays have been used to assess natural or vaccine induced immunity to *N.*  
56 *meningitidis*. Intrinsic SBA assays do not require the addition of externally sourced complement  
57 but instead rely on the active complement in the individual test serum. These assays are  
58 performed with limited dilution of the serum, usually 1:4, and require serum collection and  
59 storage methods that preserve complement activity. Quantitative SBA assays use serially diluted  
60 heat-inactivated sera with an exogenous complement source. Exogenous sources include active  
61 human (hSBA) or animal sera; the most common animal source being baby rabbit complement  
62 (rSBA). The development of novel meningococcal PS-protein conjugate vaccines for use in  
63 infants and young children, and recognition that some complement and complement regulatory  
64 components, such as human factor H, are species-specific, have made complement source an  
65 important consideration for SBA assays (5). The original studies of human immunity were based  
66 on bactericidal activity in the presence of human complement (hC'), both intrinsic SBA and

67 hSBA (4, 6), whereas for the licensure of monovalent group C conjugate vaccines in Europe,  
68 rSBA was utilized (7). The correlation and agreement between rSBA and hSBA titers are not  
69 strong, especially for sera from pediatric populations (8, 9). Unfortunately, hSBA assays are  
70 challenging because of the difficulty of obtaining suitable hC' and the observation that assay  
71 results can vary depending on the individual complement source.

72

73 To identify hC' sources and improve the consistency and reliability of hSBA assays, we assessed  
74 the prevalence of suitable complement sources among sera collected from a group of healthy  
75 adult blood donors, and we evaluated pooling as an approach to provide consistency between lots  
76 of hC' when used in hSBA assays. Using the screening criteria and pooling methods we  
77 identified, we then assessed the hSBA responses of randomized subsets of subjects in a study of  
78 PsA-TT vaccine in African toddlers 12-23 months of age (2).

79

## 80 **METHODS**

81 **Human complement source preparation and qualification.** Whole blood (3 x 10 mL) from  
82 healthy adult blood donors who met routine blood donation screening at the National Institutes of  
83 Health, was placed on ice for transportation, allowed to clot at 37°C for 15 minutes, centrifuged  
84 at 5°C to separate serum, aliquoted, immediately frozen on dry ice, and stored at -80°C. These  
85 individual hC' sources were tested for intrinsic bactericidal activity, rSBA titer, total anti-A PS  
86 IgG IgA and IgM (IgGAM) antibody concentration, and total hemolytic complement activity  
87 (RC001, The Binding Site Group Ltd, Birmingham, UK) as described below. Each of the first  
88 100 blood donations was assigned sequentially by enrollment order to one of three groups (A, B  
89 or C) and individual sera within each group were selected if they exhibited lack of intrinsic

90 killing, rSBA titer  $\leq 8$ , IgGAM concentration  $< 30 \mu\text{g/mL}$  (the upper 95% confidence interval of  
91 the geometric mean concentration for the first 100 donor sera), and normal complement activity.  
92 Equal volumes of individual hC' sources that met screening criteria were pooled on ice,  
93 aliquoted and stored at  $-80^\circ\text{C}$  until use (hC' lots C11, C12 and C13 from groups A, B and C  
94 respectively). Sera that met the intrinsic and rSBA criteria but had high total anti-A PS antibody  
95 concentrations of  $> 30 \mu\text{g/ml}$  were evaluated as a separate hC' pool (hC' lot C14). Pooled hC'  
96 was qualified by applying the same screening criteria as the individual sera. Subsequent hC'  
97 pools created for testing clinical study samples were further qualified by using the hC' pool as a  
98 complement source in hSBA assays testing negative, low-titer positive and high-titer positive  
99 control sera with the requirement that the assigned hSBA titers ( $\pm$  one 2-fold dilution) were  
100 obtained.

101

102 **Intrinsic SBA growth curve assay.** The *N. meningitidis* group A target strain CDC lab no.  
103 F8238 (phenotype A:4,21:P1.20,9, L11) as previously described by Maslanka et al. (10) was sub-  
104 cultured onto brain-heart infusion with horse serum (BHI-HS) agar and incubated overnight with  
105 5%  $\text{CO}_2$  at  $37^\circ\text{C}$ , was streaked for confluence onto BHI-HS agar, and incubated with 5%  $\text{CO}_2$  at  
106  $37^\circ\text{C}$  for four hours. Cells were scraped from plates and suspended in Dulbecco's phosphate  
107 buffered saline with calcium and magnesium (BioWhittaker, Walkersville, MD), 0.1% glucose  
108 (Sigma, St. Louis, MO), and 0.1% gelatin (Bio-Rad, Hercules, CA) (DPBSGG); and adjusted to  
109  $\sim 80\%$  transmittance at 530 nm, then diluted  $1:5 \times 10^4$  to yield  $\sim 2.4 \times 10^4$  colony forming units  
110 (CFU)/ml. A 1:4 final dilution of the complement source, either active or heat inactivated, and a  
111 1:4 final dilution of the bacterial suspension, in DPBSGG was incubated at  $37^\circ\text{C}$  with shaking.  
112 Ten microliters was plated on BHI-HS agar in duplicate at time 0, 15, 30, 60 and 90 minutes.

113 Plates were incubated overnight and colonies counted. Anti-meningococcal group A/C/Y/W  
114 reference serum pool, CDC 1992 (code no. 99/706, National Institute for Biological Standards  
115 and Control, Potters Bar, Hertfordshire, UK) (11), 1:20 dilution was added to the hC' source as a  
116 bactericidal positive control. Active hC' sources that supported growth of strain F8238  
117 equivalently when compared to a known negative serum or the heat inactivated sample were  
118 considered intrinsically negative. Sera that did not support growth were qualitatively labeled as  
119 bacteriostatic when the growth curve was flat or bactericidal when the growth curve showed  
120 distinct killing.

121

122 **Exogenous complement SBA assay.** The rSBA and hSBA assays performed in the CBER  
123 laboratory were conducted using the microtiter plate with agar overlay assay described by  
124 Maslanka et al. (10) adapted to a 40  $\mu$ L reaction volume with the following modifications: 10  $\mu$ L  
125 of strain F8238 diluted to  $1.2 \times 10^5$  cfu/mL in DPBSGG (50-80 colonies per 10  $\mu$ L) was added to  
126 20  $\mu$ L/well of serial 2-fold dilutions (1:4 to 1:1024) of heat inactivated serum in DPBSGG. Ten  
127 microliters of baby rabbit complement (Pel Freez Biologicals, Milwaukee, Wisconsin) or pooled  
128 hC' was added for rSBA or hSBA respectively. Sealed plates were incubated at 37°C with  
129 shaking at 110 rpm for 90 minutes. Fifty microliters/well warm (48°C) tryptic soy broth (Becton,  
130 Dickinson and Co., Sparks, MD) with 1% noble agar (Becton, Dickinson and Co., Sparks, MD)  
131 (TSB/noble agar) was added, cooled for five minutes, and an additional 25  $\mu$ L/well TSB/noble  
132 agar cap added. CFU per well after overnight incubation at 37°C with 5% CO<sub>2</sub> were counted  
133 using a dissecting microscope. The SBA titer was the reciprocal of the highest dilution resulting  
134 in  $\geq 50\%$  killing compared to the average colony count for active complement only control wells.  
135 Sera were run in duplicate, and the assigned titer was the geometric mean of the duplicates

136 rounded down to the nearest step titer if the duplicate titers were within 4-fold of each other. Sera  
137 with discrepant duplicates or with curves that crossed the 50% killing threshold more than once  
138 were repeated a maximum of two times, and if unresolved were called indeterminate.

139

140 hSBA assays performed at the Public Health England laboratory, Manchester, UK, used the  
141 internationally standardized rSBA drip plate method (10) with pooled human complement lots  
142 prepared at CBER and shipped overnight on dry ice.

143

144 **Anti-A PS ELISA.** Anti-group A PS IgG and total IgGAM antibody concentrations were  
145 determined using a standardized ELISA as previously described (12), except antigen coating was  
146 at 4°C, the wash buffer was PBS/0.05% Tween-20 (Sigma, St. Louis, MO), serum/conjugate  
147 buffer was PBS/0.05% Tween-20/5% normal calf serum (Hyclone, Logan, Utah), and alkaline  
148 phosphatase labeled goat anti-human IgG (Sigma, St. Louis, MO) or alkaline phosphatase  
149 labeled goat anti-human IgG, IgA, IgM (Sigma, St. Louis, MO) were used. The optical density  
150 was read at 405nm using uQuant Universal Microplate Spectrophotometer (Bio-Tek Instruments,  
151 Inc., Winooski, VT) and antibody concentration determined by 4-parameter logistical regression  
152 using serum CDC 1992 (11) as the reference serum.

153

154 **Test sera.** Centers for Disease Control and Prevention (CDC) meningococcal quality control  
155 sera (collected after meningococcal ACWY polysaccharide vaccination) (11), standard reference  
156 serum (CDC1992) (11), de-identified sera from vaccinated laboratory personnel obtained as part  
157 of routine laboratory safety surveillance, and sera obtained 28 days after vaccination with PS or  
158 PsA-TT during the Phase 1 study conducted in young adults in India (13) were used in the

159 evaluation of complement screening and pooling methods. To assess the feasibility of the  
160 methods in supporting clinical trials, sera from a randomized subset of subjects (n=180) from the  
161 Phase 2 study of PsA-TT conducted in African toddlers 12-23 months of age (2) were tested. The  
162 clinical study subjects received a primary immunization with PsA-TT, quadrivalent  
163 polysaccharide vaccine (PsACWY), or a *Haemophilus influenzae* type b conjugate vaccine  
164 (Hib). Each primary immunization group was randomized to receive PsA-TT, 1/5 dose of  
165 PsACWY or Hib at 10 months following the primary immunization (Supplemental Figure 2).  
166 Aliquots of sera drawn 28 days following the primary immunization, 10 months following the  
167 primary immunization prior to the second immunization, 28 days following the second  
168 immunization, and at 24 months after the primary vaccination, coded and blinded to vaccine  
169 group, were heat inactivated at 56°C for 30 min prior to testing then stored at -20°C.

170

171 **Statistical analysis.** For the methods development assays using different lots of pooled hC', fold  
172 differences between the titers of an individual serum for each complement lot tested within an  
173 individual laboratory were compared. For inter-laboratory comparisons, the median titer of  
174 values reported for each sample within each laboratory were compared. Negative samples were  
175 assigned a value of 2 (1/2 of the reciprocal of the limit of detection). Titers  $\geq 1024$  were defaulted  
176 to 1024 for analysis.

177

178 hSBA results from the Phase 2 study subsets were compared by vaccine group for sera obtained  
179 at 28 days after primary vaccination and 10 months later, prior to the second immunization,  
180 using a t-test for the GMTs and Fisher's Exact Test for the proportion of subjects with hSBA  
181 titer  $\geq 8$ . The threshold titer of 8 was used to define a positive sample to allow for assay



182 variability around the starting dilution of 1:4. GMT and proportion with hSBA titers  $\geq 8$  for the  
183 time points of 28 days after the second immunization and two years after primary immunizations  
184 are shown with 95% confidence intervals (CI) but are observational only due to the small sample  
185 size following subdivision of the initial study subset groups each into three different secondary  
186 vaccination groups (n = 13 to 22 per group). Negative values were assigned a value of 2 as above  
187 for GMT calculations.

188

189 **Ethics.** These studies were reviewed and exempted by the FDA Research Involving Human  
190 Subjects Committee. Blood donor sera were de-identified except for age, sex, and race of the  
191 donor, and were assigned a unique number that was used by the National Institutes of Health  
192 blood bank to exclude receipt of samples from the same donor. PsA-TT trial sera were encoded  
193 by an accession number with no identifiers. Appropriate ethics approvals were obtained for the  
194 clinical trials from which the test sera originated (2, 13).

195

## 196 **RESULTS**

197 **Demographics of blood donors.** One hundred sequential blood donors were used to develop our  
198 complement source screening and pooling methods. These donors were 18 to 77 years of age,  
199 (average 41.3 years), 32% female, 68% male, 74% Caucasian, 21% African American, 4%  
200 Hispanic, and 1% Native American. All donors met standard screening tests for blood donations  
201 and were excluded if they had previously received a meningococcal vaccine. Each blood  
202 donation was assigned sequentially by enrollment order to one of three groups (A, B, or C) for  
203 use in one of three independent complement lots. Demographic characteristics were similar  
204 between the three groups (Supplemental Table 1).

205

206 **Serologic evaluation of blood donor complement sources.** Overall, 51 of 100 individual  
207 samples lacked intrinsic killing of F8238, 48 samples had an rSBA titer  $\leq 8$ , and 31 sera met both  
208 functional screening criteria. Concentrations of anti-group A PS IgG (Supplemental Figure 1)  
209 and IgGAM (data not shown) did not predict rSBA titer or intrinsic killing. Within each of the  
210 three donor groups, samples that were intrinsically negative, had an rSBA titer  $\leq 8$ , and had an  
211 IgGAM anti-A PS antibody concentration below the upper 95% CI of the geometric mean  
212 concentration (GMC) for all 100 complement source samples were pooled (lots C11, C12, and  
213 C13). Lot C14 was comprised of samples from the three donor groups that met the intrinsic and  
214 rSBA criteria but had anti-A PS IgGAM concentrations of  $>30$   $\mu\text{g/ml}$ .

215

216 **Pooled hC' performance.** In the CBER laboratory, performance of the hC' lots was initially  
217 tested in hSBA assays of 21 sera comprising seven CDC QC sera (11), CDC1992, and 13 de-  
218 identified sera from laboratory personnel obtained before or after meningococcal quadrivalent  
219 polysaccharide vaccination. These assays indicated that low ( $<4$  to 32) but similar titers ( $\pm$  one  
220 2-fold dilution) were obtained for the majority of sera using each complement pool lot (data not  
221 shown). Based on these data, performance of the complement lots across the range of expected  
222 post-vaccination titers was tested using post-immunization sera from the PsA-TT Phase 1 clinical  
223 study (13) in both the CBER and PHE laboratories.

224

225 In the CBER laboratory (Figure 1A), 15 sera were tested; three sera were tested with each of four  
226 complement lots, and 12 sera were tested using lots C12, C13, and C14. Valid titers were  
227 obtained in 44 of the 48 tests, while two were indeterminate (sample 22015 with C12 and C13),

228 and two were  $\geq 64$  but had insufficient sample remaining to test at higher dilutions (samples  
229 11013 and 22006 with C12). Overall, results between different lots for 43/44 tests and 14/15 sera  
230 were within one 2-fold dilution of the median titer which is the expected assay variability.

231

232 In the PHE laboratory, twenty PsA-TT Phase 1 clinical study sera (the 15 sera tested in the  
233 CBER laboratory and five additional) were tested using lots C11, C12, and C13, and then each  
234 serum was repeated a fourth time with one of the three complement lots (four assays for each  
235 serum). Valid titers were determined in 71 of the 80 assays. Of the 16 sera with two valid titers  
236 from the same complement lot, two differed by more than 4-fold, one had titers that were 4-fold  
237 different, and 13 were within a single 2-fold dilution of each other (data not shown). The  
238 repeated complement lot titer was used for lot comparison only if the first assay with the same  
239 lot was not valid. Data for 18 samples with valid titers from more than one complement lot are  
240 shown in Figure 1B. Overall, of 52 valid tests among 18 sera, 45 (87%) were within expected  
241 assay variability regardless of lot. Due to limited complement volume, indeterminate or  
242 inconsistent results were not repeated.

243

244 The results obtained in the CBER and the PHE laboratories were compared by using the median  
245 value for the 15 PsA-TT Phase 1 clinical study sera tested in both laboratories. Median titers  
246 were similar: of 14 samples, two samples were discrepant between laboratories, being  $<4$  and 4  
247 in one laboratory and mid-range positive (16 and 64) in the other (Figure 1C). One sample was  
248 excluded because the two valid titers within one laboratory were too discrepant ( $<4$  and 128) to  
249 assign a meaningful median titer.

250

251 **hSBA immune responses in clinical study sera.** We utilized the same screening and pooling  
252 methods to prepare hC' for testing hSBA responses in the PsA-TT vaccine study conducted in  
253 African children 12 to 23 months of age (2). The clinical study design and hSBA sample subsets  
254 are shown in Supplemental Figure 2. Samples collected at four time points from 180 randomly  
255 selected study participants were tested. hSBA GMTs at 28 days after primary vaccination, and 10  
256 months later are shown in Figure 2, and the proportions of subjects with hSBA titers  $\geq 8$  are  
257 shown in Table 1. Similar to the reported results of rSBA and anti-A PS IgG concentration (2),  
258 PsA-TT was immunogenic in toddlers following a single dose: the hSBA GMT at 28 days after  
259 the primary immunization was higher for the PsA-TT group ( $p < 0.0001$ ) and remained higher  
260 than the PsACWY group 10 months later ( $p < 0.005$ ). Also, a higher proportion of subjects who  
261 received the PsA-TT vaccine had titers  $\geq 8$  ( $p < 0.0001$ ).

262

263 All subjects had been randomized to one of three secondary immunization groups and samples  
264 drawn for immunogenicity testing at 28 days after secondary immunization and two years after  
265 study enrollment. The analysis of hSBA responses following the second immunization was  
266 limited by the small number of hSBA subset subjects in each secondary group. However, the  
267 hSBA GMTs for the groups that received PsA-TT or 1/5<sup>th</sup> dose PsACWY following a primary  
268 dose of PsA-TT (PP, PM; Figure 2,) were consistent with a memory response in primed subjects.  
269 At two years following study enrollment, the majority of PsA-TT primed subjects who received  
270 either a PsA-TT or 1/5<sup>th</sup> PsACWY as their second immunization had hSBA titers  $\geq 8$  (28/37,  
271 76%; Table 1). hSBA GMTs at 28 days following PsA-TT or 1/5<sup>th</sup> PsACWY administered 10  
272 months after PsACWY (MP, MM; Figure 2) were less than those of the PsA-TT primed groups.  
273 The 4 groups that received a single meningococcal vaccine (MH, PH, HM, HP) all had GMT

274 point estimates at 28 days post the second vaccination that were lower than the MP group and all  
275 were similar to HH at 2 years following study enrollment (data not shown). The reverse  
276 cumulative distribution curves for the PsA-TT primary immunization group at each time point  
277 are consistent with a strong booster response in PsA-TT primed subjects and persistence of  
278 hSBA at the 2-year time point for the majority of PsA-TT primed and boosted subjects (Figure  
279 3).

280

## 281 **DISCUSSION**

282 In this study we successfully developed a strategy for screening and pooling human complement  
283 sources and produced three independent lots of complement that gave reproducible results in  
284 group A hSBA assays of adult sera. The strategy was based on excluding donor sera with  
285 functional antibody activity combined with pooling sera from six to nine individuals as the final  
286 complement source. Both of the functional antibody screening tests appeared to provide data on  
287 which possible complement sources to exclude. Less than half of the excluded sera failed both  
288 the intrinsic and rSBA criteria, so a single functional screening test would not have identified all  
289 excluded sources. Those that failed one functional screening test also could not be distinguished  
290 from the suitable complement sources by anti-A PS IgG or IgGAM concentration. Although it is  
291 not clear why some complement source sera with high rSBA titers were intrinsically negative  
292 and some intrinsically bactericidal sera were not positive in the rSBA assay, our approach of  
293 using both functional assays as screening criteria likely contributed to the successful  
294 identification of sera that could be used to create pools of complement that remained negative in  
295 terms of direct bacterial killing and performed consistently among lots.

296

297 The presence of measurable anti-A PS antibody was common (92%) among our population of  
298 adult blood donors. The results of the fourth complement lot (C14), comprised of functionally  
299 negative sera with high anti-group A PS antibody concentrations, suggest that anti-PS IgGAM,  
300 when not functional in either killing assay, is not a necessary exclusion criterion; however  
301 further study is needed. Anti-A PS antibody in this population is most likely through exposure to  
302 cross-reacting polysaccharides found in various enteric bacteria (14, 15).

303

304 We found that hSBA titers obtained using different pooled complement lots were within the  
305 expected 4-fold assay variability (a single 2-fold dilution above or below a given titer). These  
306 data suggest that using suitable pooled human complement sources is a feasible approach for  
307 development of a reproducible group A hSBA assay. Since serum is a highly complex biologic  
308 reagent, establishing the similarity of individual single sources could be difficult. Pooling likely  
309 averages many unmeasured factors, reducing complement lot to lot variability, simplifying  
310 complement qualification, and thereby increasing the ability of the assay to generate data that are  
311 reproducible and consistent among studies.

312

313 The hSBA responses observed in African toddlers following one or two doses of PsA-TT  
314 indicate that a strong functional immune response followed immunization with the conjugate  
315 vaccine. Based on a threshold titer of 8, the results from 28 days after the primary vaccination for  
316 subjects who did not receive a meningococcal vaccine identify approximately 87% of that  
317 population below the threshold. Vaccination with the conjugate vaccine decreased the proportion  
318 of the population with hSBA titers <8 to below 26%, while a much smaller vaccine effect was  
319 observed in this young population with the PS vaccine. These serologic observations are

320 consistent with what would be expected immunologically. In PsA-TT primed subjects, the hSBA  
321 results suggest a vigorous response to a second PsA-TT immunization or to PS challenge, and  
322 hSBA in these two groups at two years after primary immunization was clearly distinct from the  
323 persistence of hSBA in the remaining groups. Although sample sizes were small in each group,  
324 these data suggest persistence of functional antibody responses in this young population  
325 following a second dose.

326

327 The rapid decline in hSBA titers following a single dose has been observed with other group A  
328 meningococcal conjugate vaccines (16-20), and is consistent with observations reported in this  
329 population for rSBA GMT and IgG GMC. However, the magnitude of hSBA response is much  
330 less, and by threshold analyses, hSBA persists in a smaller proportion of the immunized group  
331 than indicated by rSBA or IgG ELISA immunoassays (2). Differences among the assays were  
332 also observed in our previous report of hSBA responses to PS or conjugate vaccination in the  
333 MVP PsA-TT Phase 1 study. hSBA determined using pooled human complement as described  
334 here was compared with rSBA, anti-PS antibody, and opsonophagocytosis (21). In that study, the  
335 greatest correlation between assays was for the hSBA and IgG ELISA concentration in the  
336 conjugate vaccinated group. Without efficacy data for group A conjugate vaccines in this age  
337 group, the clinical significance of differences between serologic assays is currently not known.  
338 Vaccine efficacy of polysaccharide vaccines in young children has been examined (22), but a  
339 Cochrane study of meningococcal polysaccharide vaccine efficacy concluded that the existing  
340 data are inadequate to evaluate age differences or duration of efficacy following PS vaccination  
341 (23). Therefore, historical clinical efficacy information does not help in interpretation of  
342 differences between the serologic results for the PS arm of this clinical study. Expanded

343 immunologic studies and careful epidemiologic surveillance after vaccine implementation in  
344 African nations may contribute to the interpretation of differences between these immunoassays.

345

346 In this study, methods were successfully developed for processing, screening, and pooling  
347 human sera for use as a complement source in *N. Meningitidis* group A hSBA assays. The PsA-  
348 TT monovalent conjugate vaccine was highly immunogenic in African toddlers 12-23 months of  
349 age, and persistence of hSBA activity was seen for over a year following a second dose. The  
350 hSBA assay using a pooled human complement source is a practical, reliable method for  
351 assessing immune responses to meningococcal vaccines and can generate data that may have  
352 substantive relevance in evaluating vaccine use.

353

#### 354 **ACKNOWLEDGEMENTS**

355 The authors would like to acknowledge the Meningitis Vaccine Project for scientific and  
356 administrative support, the NIH blood bank and donors, the study participants of PsA-TT 002,  
357 and the study investigators at Centre pour le Développement des Vaccins in Bamako, Mali, and  
358 at the Medical Research Council (MRC) in Basse, The Gambia.

359

360 This study was funded in part by the Meningitis Vaccine Project, a partnership between PATH  
361 and the World Health Organization (<http://www.meningvax.org/>) supported by a grant from the  
362 Bill & Melinda Gates Foundation.

363



364 M.-P.P. is a staff member of the World Health Organization. The author alone is responsible for  
365 the views expressed in this publication, and they do not necessarily represent the decisions,  
366 policy, or views of the World Health Organization.

367

368 Helen Findlow has received assistance to attend scientific meetings from Baxter Bioscience. Ray  
369 Borrow and Helen Findlow have performed contract research on behalf of Public Health England  
370 (funded by Pfizer, Novartis Vaccines, Baxter Bioscience, GlaxoSmithKline, Sanofi Pasteur, and  
371 Merck). Prasad S. Kulkarni is employed by Serum Institute of India Ltd., the manufacturer of the  
372 study vaccine. F. Marc LaForce has received honoraria and research support from Merck for  
373 earlier work on pneumococcal polysaccharide vaccine. The remaining authors have no conflicts  
374 to declare.

375

376

377 **REFERENCES**

- 378 1. **Lee CH, Kuo WC, Beri S, Kapre S, Joshi JS, Bouveret N, LaForce FM, Frasch CE.** 2009.  
379 Preparation and characterization of an immunogenic meningococcal group A conjugate vaccine  
380 for use in Africa. *Vaccine*. **27**:726-32
- 381 2. **Sow SO, Okoko BJ, Diallo A, Viviani S, Borrow R, Carlone G, Tapia M, Akinsola AK,**  
382 **Arduin P, Findlow H, Elie C, Haidara FC, Adegbola RA, Diop D, Parulekar V, Chaumont**  
383 **J, Martellet L, Diallo F, Idoko OT, Tang Y, Plikaytis BD, Kulkarni PS, Marchetti E,**  
384 **LaForce FM, Preziosi MP.** 2011. Immunogenicity and safety of a meningococcal A conjugate  
385 vaccine in Africans. *N Engl J Med*. **364**:2293-304.
- 386 3. **Peltola H, Mäkelä H, Käyhty H, Jousimies H, Herva E, Hällström K, Sivonen A,**  
387 **Renkonen OV, Pettay O, Karanko V, Ahvonen P, Sarna S.** 1977. Clinical efficacy of  
388 meningococcus group A capsular polysaccharide vaccine in children three months to five years  
389 of age. *N Engl J Med*. **297**:686-91.
- 390 4. **Goldschneider I, Gotschlich EC, Artenstein MS.** 1969. Human immunity to the  
391 meningococcus. I. The role of humoral antibodies. *J Exp Med*. **129**:1307-26.
- 392 5. **Welsch JA, Ram S.** 2008. Factor H and Neisserial pathogenesis. *Vaccine*. **26** (Suppl 8):I40-5.
- 393 6. **Goldschneider I, Gotschlich EC, Artenstein MS.** 1969. Human immunity to the  
394 meningococcus. II. Development of natural immunity. *J Exp Med*. **129**:1327-48.
- 395 7. **Miller E, Salisbury D, Ramsay M.** 2002. Planning, registration, and implementation of an  
396 immunisation campaign against meningococcal serogroup C disease in the UK: a success story.  
397 *Vaccine* **20**:S58-S67.

- 398 8. Santos GF, Deck RR, Donnelly J, Blackwelder W, Granoff DM. 2001. Importance of  
399 complement source in measuring meningococcal bactericidal titers. Clin Diagn Lab Immunol.  
400 8:616-23.
- 401 9. Gill CJ, Ram S, Welsch JA, Detora L, Anemona A. 2011. Correlation between serum  
402 bactericidal activity against *Neisseria meningitidis* serogroups A, C, W-135 and Y measured  
403 using human versus rabbit serum as the complement source. Vaccine. 30:29-34.
- 404 10. Maslanka SE, Gheesling LL, Libutti DE, Donaldson KB, Harakeh HS, Dykes JK, Arhin  
405 FF, Devi SJ, Frasch CE, Huang JC, Kriz-Kuzemenska P, Lemmon RD, Lorange M, Peeters  
406 CC, Quataert S, Tai JY, Carlone GM. 1997. Standardization and a multilaboratory  
407 comparison of *Neisseria meningitidis* serogroup A and C serum bactericidal assays. The  
408 Multilaboratory Study Group. Clin Diagn Lab Immunol. 4:156-67.
- 409 11. Elie CM, Holder PK, Romero-Steiner S, Carlone GM. 2002. Assignment of additional  
410 anticapsular antibody concentrations to the *Neisseria meningitidis* group A, C, Y, and W-135  
411 meningococcal standard reference serum CDC1992. Clin Diagn Lab Immunol.  
412 9:725-6.
- 413 12. Carlone GM, Frasch CE, Siber GR, Quataert S, Gheesling LL, Turner SH, Plikaytis  
414 BD, Helsel LO, DeWitt WE, Bibb WF, Swaminathan B, Arakere G, Thompson C, Phipps  
415 D, Madore D, Broome CV. 1992. Multicenter comparison of levels of antibody to the *Neisseria*  
416 *meningitidis* group A capsular polysaccharide measured by using an enzyme-linked  
417 immunosorbent assay. J Clin Microbiol. 30:154-9.
- 418 13. Kshirsagar N, Mur N, Thatte U, Gogtay N, Viviani S, Préziosi MP, Elie C, Findlow H,  
419 Carlone G, Borrow R, Parulekar V, Plikaytis B, Kulkarni P, Imbault N, LaForce FM.

- 420 2007. Safety, immunogenicity, and antibody persistence of a new meningococcal group A  
421 conjugate vaccine in healthy Indian adults. *Vaccine*. **25** Suppl 1:A101-7.
- 422 14. **Myerowitz RL, Gordon RE, Robbins JB**. 1973. Polysaccharides of the genus *Bacillus*  
423 cross-reactive with the capsular polysaccharides of *Diplococcus pneumoniae* type 3,  
424 *Haemophilus influenzae* type b, and *Neisseria meningitidis* group A. *Infect Immun*. **8**:896-900.
- 425 15. **Guirguis N, Schneerson R, Bax A, Egan W, Robbins JB, Shiloach J, Orskov I, Orskov F,**  
426 **el Kholy A**. 1985. Escherichia coli K51 and K93 capsular polysaccharides are cross reactive  
427 with the group A capsular polysaccharide of *Neisseria meningitidis*. *Immunochemical,*  
428 *biological, and epidemiological studies*. *J Exp Med*. **162**:1837-51.
- 429 16. **Khatami A, Snape MD, Davis E, Layton H, John T, Yu LM, Dull PM, Gill CJ, Odrjlin**  
430 **T, Dobson S, Halperin SA, Langley JM, McNeil SA, Pollard AJ**. 2012. Persistence of the  
431 immune response at 5 years of age following infant immunisation with investigational  
432 quadrivalent MenACWY conjugate vaccine formulations. *Vaccine*. **30**:2831-8.
- 433 17. **Borja-Tabora C, Montalban C, Memish ZA, Van der Wielen M, Bianco V, Boutriau D,**  
434 **Miller J**. 2013. Immune response, antibody persistence, and safety of a single dose of the  
435 quadrivalent meningococcal serogroups A, C, W-135, and Y tetanus toxoid conjugate vaccine in  
436 adolescents and adults: results of an open, randomised, controlled study. *BMC Infect Dis*.  
437 **13**:116.
- 438 18. **Klein NP, Baine Y, Bianco V, Lestrade PR, Naz A, Blatter M, Friedland LR, Miller JM**.  
439 2013. One or two doses of quadrivalent meningococcal serogroups A, C, W-135 and Y tetanus  
440 toxoid conjugate vaccine is immunogenic in 9- to 12-month-old children. *Pediatr Infect Dis J*.  
441 **32**:760-7

- 442 19. **Findlow H, Borrow R.** 2013. Immunogenicity and safety of a meningococcal serogroup A,  
443 C, Y and W glycoconjugate vaccine, ACWY-TT. *Adv Ther.* **30**:431-58.
- 444 20. **Østergaard L, Van der Wielen M, Bianco V, Miller JM.** 2013. Persistence of antibodies  
445 for 42 months following vaccination of adolescents with a meningococcal serogroups A, C, W-  
446 135, and Y tetanus toxoid conjugate vaccine (MenACWY-TT). *Int J Infect Dis.* **17**:e173-6.
- 447 21. **Findlow H, Plikaytis BD, Aase A, Bash MC, Chadha H, Elie C, Laher G, Martinez J,**  
448 **Herstad T, Newton E, Viviani S, Papaspyridis C, Kulkarni P, Wilding M, Preziosi MP,**  
449 **Marchetti E, Hassan-King M, La Force FM, Carlone G, Borrow R.** 2009. Investigation of  
450 different group A immunoassays following one dose of meningococcal group A conjugate  
451 vaccine or A/C polysaccharide vaccine in adults. *Clin Vaccine Immunol.* **16**:969-77.
- 452 22. **Granoff DM, Pelton S, Harrison LH.** 2012. Meningococcal vaccines, p. 388-419. *In*  
453 Plotkin SA, Orenstein WA, Offit PA (ed), *Vaccines*, 6<sup>th</sup> edition, W. B. Saunders, Philadelphia,  
454 PA.
- 455 23. **Patel M, Lee CK.** 2005. Polysaccharide vaccines for preventing serogroup A meningococcal  
456 meningitis. *Cochrane Database Syst Rev.* **25**:CD001093. Review.
- 457

458  
459**Table 1.** Proportion of subjects with hSBA titers  $\geq 8$  - intention to treat population

Primary vaccine	Secondary vaccine	n $\geq 8$ /N;% (95% CI)			
		28 days after primary	10 months after primary	28 days after 2nd vaccine <sup>#</sup>	14 months after 2nd vaccine <sup>#</sup>
PsA-TT		42/57; 73.7*** (60.3, 84.5)	11/55; 20.0** (10.4, 33.0)		
	PsA-TT			11/13; 84.6 (54.6, 98.1)	12/15; 80.0 (51.9, 95.7)
	PsACWY			22/22; 100.0 (84.6, 100.0)	16/22; 72.7 (49.8, 89.3)
	Hib			3/18; 16.7 (3.6, 41.4)	4/19; 21.1 (6.1, 45.6)
PsACWY		20/61; 32.8* (21.3, 46.0)	1/61; 1.6 (0.0, 8.8)		
	PsA-TT			13/19; 68.4 (43.4, 87.4)	7/20; 35.0 (15.4, 59.2)
	PsACWY			9/17; 52.9 (27.8, 77.0)	5/18; 27.8 (9.7, 53.5)
	Hib			2/21; 9.5 (1.2, 30.4)	8/22; 36.4 (17.2, 59.3)
Hib		8/62; 13.1 (5.8, 24.2)	3/59; 5.1 (1.1, 14.1)		
	PsA-TT			6/16; 37.5 (15.2, 64.6)	5/16; 31.3 (11.0, 58.7)
	PsACWY			4/22; 18.2 (5.2, 40.3)	3/20; 15.0 (3.2, 37.9)
	Hib			1/18; 5.6 (0.1, 27.3)	4/19; 26.3 (9.1, 51.2)

460 \*\*\* Fisher's exact test of %  $\geq 8$ : p<0.0001 for PsA-TT vs. PsACWY and vs. Hib at 28 days after  
461 primary immunization.462 \*\* Fisher's exact test of %  $\geq 8$ : p=0.0014 for PsA-TT vs. PsACWY and p=0.0212 for PsA-TT vs.  
463 Hib at 10 months after primary immunization.464 \* Fisher's exact test of %  $\geq 8$ : p=0.0169 for PsACWY vs. Hib at 28 days after primary  
465 immunization466 <sup>#</sup> No significance testing performed

467

468 **FIGURE LEGENDS**

469 **Figure 1.** hSBA titers of serum samples determined using independent pooled human  
470 complement (hC') lots. Two-fold serum dilutions from 1:4 to 1:1024 were tested. Titers <4 are  
471 shown as values of 2. A. Comparison of hSBA titers for 15 samples using hC' lots C12, C13,  
472 and C14 analyzed in the CBER laboratory; data for 3 samples tested with lot C11 are included.  
473 Indeterminate titers (n=2) and titers  $\geq 64$  with insufficient sample to test at higher dilutions (n=2)  
474 are not shown. B. Comparison of reported titers for 18 samples tested using hC' lots C11, C12,  
475 and C13 in the PHE laboratory. Two samples with data available for only one hC' lot are not  
476 shown. C. Comparison of the median values of 14 samples between the CBER and PHE  
477 laboratories. Sample 33005 is not shown as a valid median titer (panel B) was not determined.  
478

479 **Figure 2.** The hSBA geometric mean titers (GMT) are shown by vaccination group at 28 days  
480 and 10 months after primary immunization (H= Hib, M= PsACWY, P=PsA-TT). For groups  
481 that received a second meningococcal immunization, GMTs are shown at 28 days after the  
482 second immunization and at 2 years post enrollment. The first letter designates the first  
483 immunization and the second letter designates the second immunization. Error bars show +/-  
484 one standard deviation.

485  
486 **Figure 3.** Reverse cumulative distribution curves for hSBA responses to PsA-TT vaccination.  
487 Shown is the proportion of subjects with hSBA titers at or above the x-axis value for: the PsA-  
488 TT primary immunization group at 28 days (P 1 mo) and 10 months (P 10 mo) after primary  
489 immunization, PsA-TT primed subjects who received a second PsA-TT dose (PP) or a 1/5<sup>th</sup> dose  
490 PsACWY challenge (PM) at 28 days (PP 11 mo and PM 11 mo, respectively) and 14 months (PP

491 24 mo and PM 24 mo, respectively) after the second immunization. The distribution of hSBA  
492 titers among the reference PsACWY vaccine group is shown at 28 days after primary  
493 immunization (M 1 mo).



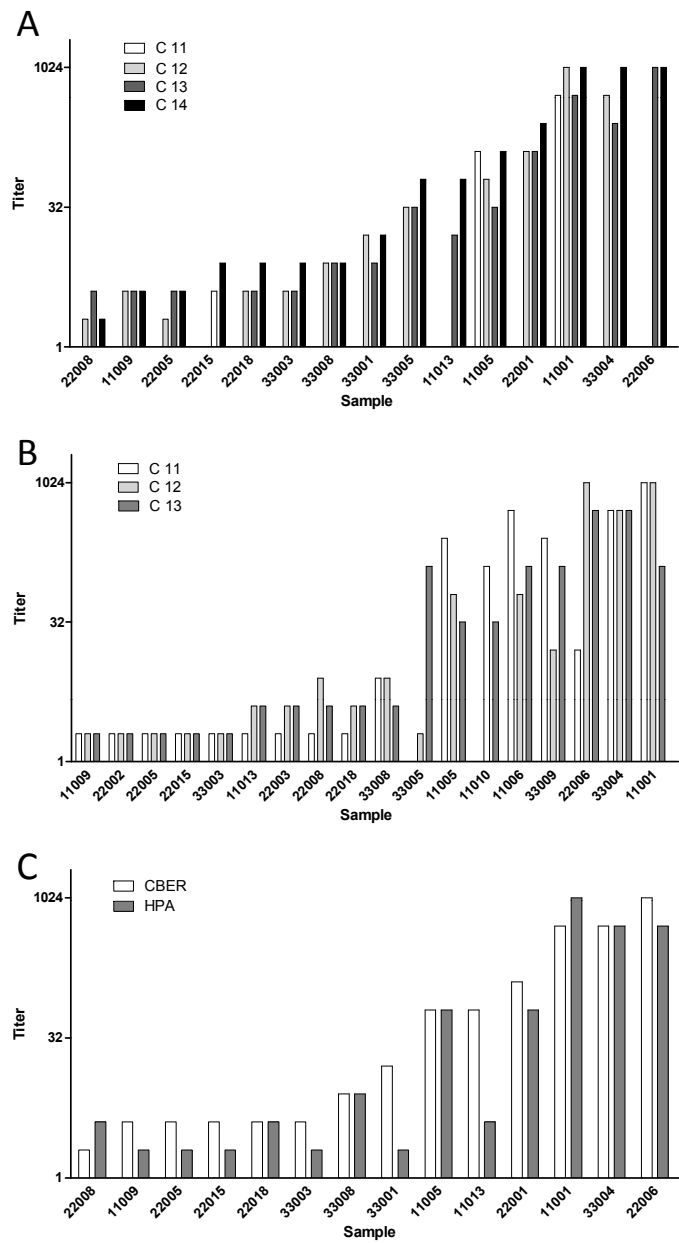


Figure 1

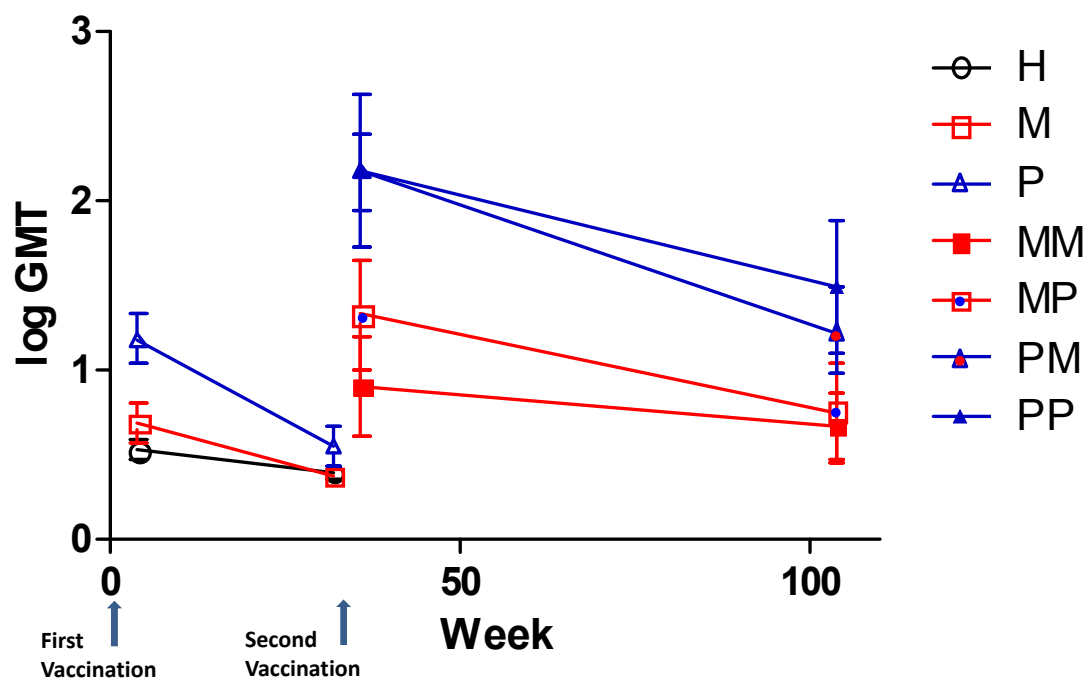


Figure 2

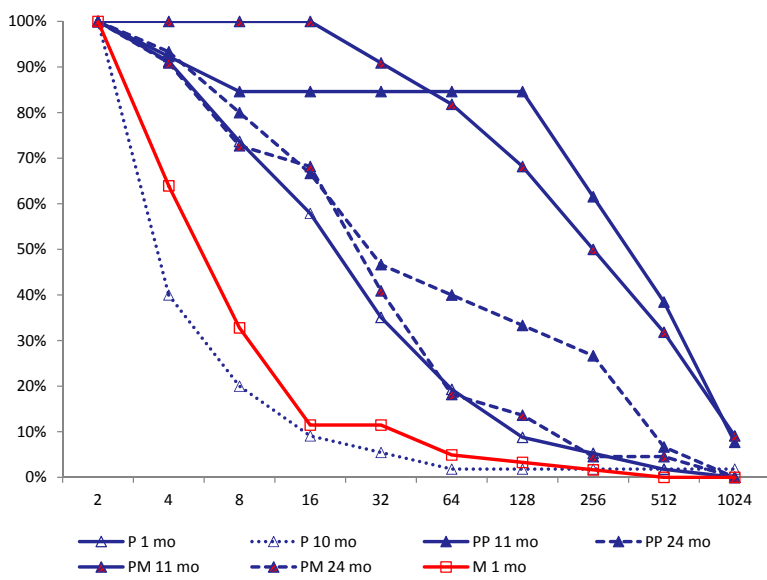


Figure 3