

1 **Establishment of an aerosol challenge model of tuberculosis in rhesus**
2 **macaques, and an evaluation of endpoints for vaccine testing**

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22

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24 **Abstract**

25 The establishment of an aerosol challenge model in non-human primates
26 (NHPs) for the testing of vaccines against *Mycobacterium tuberculosis* (*M.*
27 *tuberculosis*) would assist the global effort in optimising novel vaccination
28 strategies. The endpoints used in preclinical challenge studies to identify
29 measures of disease burden need to be accurate and sensitive enough to
30 distinguish subtle differences and benefits afforded by different TB vaccine
31 regimens when group sizes are inevitably small. This study sought to assess
32 clinical and non-clinical endpoints in a challenge study using rhesus
33 macaques as potentially sensitive measures of disease burden, using a new
34 protocol of aerosol administration of *M. tuberculosis*. Immunological and
35 clinical read-outs were assessed for utility in vaccine evaluation studies. This
36 is the first example of TB vaccine evaluation in rhesus macaques where long
37 term survival was one of the primary endpoints. However, we found that in
38 NHP vaccine efficacy studies with maximum group sizes of six, survival did
39 not provide a valuable endpoint. Two approaches used in human clinical trials
40 for the evaluation of the IFN- γ response to vaccination (ELISpot, ELISA), were
41 included in this study. The IFN- γ profiles induced following vaccination were
42 found not to correlate with protection, nor did the level of PPD-specific
43 proliferation. The only readout to reliably distinguish vaccinated and
44 unvaccinated NHPs was determination of lung lesion burden using Magnetic
45 Resonance imaging (MR) combined with stereology at the end of the study.
46 Therefore, the currently proposed key markers were not shown to correlate
47 with protection, and only imaging offered a potentially reliable correlate.

48

49

50 **(249 used, 250 words max)**

51 **Introduction**

52 Tuberculosis (TB) is a re-emerging infectious disease, and is responsible for
53 nearly 2 million deaths and 9 million new cases each year, (37). The global TB
54 pandemic has been exacerbated by the emergence of drug resistant strains of
55 *M. tuberculosis* which render treatment less effective, and by the HIV
56 epidemic, where co-infection with HIV greatly increases the risk of reactivation
57 of latent TB and susceptibility to active TB disease.

58 The most effective means of controlling this global epidemic would be by
59 prophylactic immunization. *Mycobacterium bovis* Bacille Calmette Guerin
60 (BCG), the only licensed TB vaccine, is administered to neonates in high-risk
61 populations as part of the WHO Expanded Programme on Immunisation. BCG
62 consistently protects against TB meningitis and disseminated TB in childhood
63 (28, 31) but its efficacy wanes with time and it affords only variable protection
64 against pulmonary disease (10). A new, more effective TB vaccine is a major
65 global health priority and is an important part of the WHO STOP TB
66 partnership strategy.

67 A large international effort is underway to develop a more effective vaccine.
68 The leading TB vaccine development strategy involves vaccinating with BCG
69 followed by a heterologous subunit vaccine boost designed to enhance
70 protective immunity. One such subunit vaccine is the viral-vectored subunit
71 candidate TB vaccine developed at Oxford University, MVA85A (19) (live,
72 replication-deficient modified vaccinia virus Ankara (MVA) (7), expressing the
73 highly conserved, immunodominant mycobacterial antigen 85A). Enhancing
74 BCG with systemically administered (intradermal) MVA85A has been
75 evaluated in several pre-clinical animal models and is currently subject to
76 ongoing evaluation in several clinical trials. This BCG-MVA85A vaccination

77 regime induces a high magnitude of cellular immunity in mice and cattle (19,
78 33) and can protect against *M. tuberculosis* in guinea pigs (34), non-human
79 primates (NHPs) (32) and cattle (34). It is safe and highly immunogenic in
80 healthy adults, adolescents, children and infants, and in HIV and *M.*
81 *tuberculosis* infected adults (6, 20, 22), and has recently entered a large scale
82 efficacy trial in South African infants (21).

83 The lack of a defined immunological correlate of protection for TB means that,
84 in order to assess efficacy, candidate TB vaccines must enter large clinical
85 trials involving thousands of at-risk individuals in endemic countries. There is,
86 therefore, a need for a validated preclinical animal model that can be utilized
87 to accurately predict the effectiveness of a candidate vaccine in humans and
88 to aid in the identification of correlates of protection through challenge studies.

89 Mouse models are generally used as a first screen of vaccine candidates and
90 are very useful for studying detailed immunological responses (25). Guinea
91 pigs are considered a more stringent model than mice to discriminate between
92 vaccines in terms of protective efficacy, since they show a variety of
93 pulmonary and extra-pulmonary lesion types that are similar to those
94 observed in humans (4, 17). Although small animal models are useful, it is
95 widely accepted that larger animals such as cattle and NHPs are potentially
96 the most relevant model species to predict safety, immunogenicity and
97 protective efficacy of vaccines prior to their large-scale evaluation in humans
98 (8, 18). NHPs are naturally susceptible to infection with *M. tuberculosis* via the
99 respiratory route and develop a disease which clinically closely mimics human
100 disease. As with the other preclinical species, BCG vaccination of NHP
101 provides a limited level of protection against *M. tuberculosis* that can be

102 quantified through a variety of clinical and non-clinical parameters (2, 3, 9, 12,
103 14).

104 The establishment of an aerosol challenge model in NHPs, in which the *M.*
105 *tuberculosis* challenge is delivered by the same route as occurs during natural
106 infection would assist the global effort in optimising novel vaccination
107 strategies. The endpoints used in preclinical challenge studies to identify
108 measures of disease burden need to be accurate and sensitive enough to
109 distinguish subtle differences and benefits afforded by different TB vaccine
110 regimens, including those which enhance the protective efficacy of BCG,
111 where partial protection is already conferred and the power to detect smaller
112 incremental improvements in small numbers of animals is limited. The aims of
113 this study were to establish an aerosol challenge model of TB in rhesus
114 macaques, and to assess clinical and non-clinical end points in an NHP
115 challenge study as potentially sensitive measures of disease burden, following
116 vaccination with BCG or BCG boosted by MVA85A. The currently most widely
117 used challenge model in macaques uses high dose, intra-tracheal
118 administration of *M. tuberculosis* and it has been unclear whether low dose
119 aerosol administration would provide a better model because although it
120 should replicate the route of natural infection (32), larger group sizes may be
121 necessary to compensate for inter-individual heterogeneity to a low dose
122 challenge (8).

123

124 **MATERIALS AND METHODS**

125 Experimental animals

126 The animals used in this study were rhesus macaques of Indian origin
127 obtained from an established UK breeding colony. All animals were 4 years

128 old at the time of challenge and naïve in terms of prior exposure to
129 mycobacterial antigens (*M. tuberculosis* infection or environmental
130 mycobacteria) as demonstrated by a negative tuberculin test whilst in their
131 original breeding colony and by the IFN- γ based Primagam™ test kit (Biocor,
132 CSL, USA) just prior to study start. Monkeys were housed according to the
133 Home Office (UK) guidelines for the care and maintenance of primates and
134 were sedated by intramuscular (i.m.) injection with ketamine hydrochloride
135 (10mg/kg) (Ketaset, Fort Dodge Animal Health Ltd, Southampton, UK) for all
136 procedures requiring removal from their cages. All procedures involving
137 animals were approved by the Ethical Review Committee of the Centre for
138 Emergency Preparedness and Response, Salisbury, UK. None of the animals
139 had been used previously for experimental procedures

140

141 Vaccination

142 A plan of the vaccination strategy and timing of the aerosol challenge is
143 shown in Figure 1. Twelve animals (groups A and B) were immunised
144 intradermally in the upper left arm with 100 μ l BCG, Danish strain 1331 (SSI,
145 Copenhagen, Denmark). The viability of the BCG vaccine given to the NHPs
146 was checked and found to be between $4.25 - 7.55 \times 10^6$ CFU/ml within the
147 expected range for the vaccine batch at $2 - 8 \times 10^6$ CFU. Twelve weeks after
148 immunisation with BCG, six animals (Group A) were immunised intradermally
149 in the upper right arm with 100 μ l (5×10^8 pfu) MVA85A. Vaccination sites
150 were monitored and assessed for local reactions after vaccination with BCG
151 and MVA85A.

152

153 *M. tuberculosis* challenge strain

154 The *M. tuberculosis* Erdman strain K 01 was kindly provided by Dr Amy Yang
155 (CBER/FDA). The stocks were provided as frozen suspensions at a stated
156 titre of $3.5 \pm 1.5 \times 10^8$ colony forming units (CFU)/ml. On the day of challenge,
157 five vials were thawed and diluted appropriately, as described below, in sterile
158 distilled water. Twenty one weeks after immunisation with BCG the twelve
159 immunised animals (Groups A and B) together with four unvaccinated animals
160 (Group C) were challenged by the aerosol route with *M. tuberculosis* (Figure
161 1).

162

163 Aerosol exposure

164 The process to deliver a known number of viable *M. tuberculosis* bacilli in a
165 target volume of inspired aerosol was performed as previously described (29).
166 In brief, mono-dispersed bacteria in particles were generated using a 3-jet
167 Collison nebuliser (BGI) and, in conjunction with a modified Henderson
168 apparatus (11), delivered to the nose of each sedated primate via a modified
169 veterinary anaesthesia mask. Challenge was performed on sedated animals
170 placed within a 'head-out', plethysmography chamber (Buxco, Wilmington,
171 North Carolina, USA) to enable the aerosol to be delivered simultaneously
172 with the measurement of respiration rate.

173

174 Challenge regime

175 Aerosol exposure was performed over two consecutive days; groups of eight
176 animals comprising two unvaccinated animals and three animals from each of
177 the vaccine groups were challenged on each day.

178 A series of *in-vitro* and *in-vivo* studies were conducted in order to establish the
179 feasibility of performing the challenge over two consecutive days, using the

180 same initial stock suspension. These studies are described in detail elsewhere
181 (Clark *et al*, submitted for publication) and essentially demonstrated that:
182 (i) *M. tuberculosis* could be stored overnight at 4°C in the dark without
183 significant loss in viability.
184 (ii) The process of generating aerosols of *M. tuberculosis* using a Collison
185 nebuliser resulted in an initial rapid loss in viability during the first 5 minutes
186 but, after 10 minutes, the viability remained stable for approximately 20
187 minutes continuous aerosolisation. To avoid the initial, highly variable
188 fluctuation in viability and to ensure that each individual exposure occurred
189 during the period of stable viability, a strategy was developed where a 10
190 minute 'pre-treatment' of the challenge suspension, was performed.
191 On the day of challenge, five vials of Erdmann strain K 01 were thawed,
192 pooled and diluted 1:60 in sterile distilled water to a total volume of 200 ml
193 which was split into two 100 ml aliquots. One aliquot was used immediately to
194 challenge eight animals, the second aliquot was stored at 2-8°C in the dark for
195 challenge of the remaining eight animals on the second day. At the start of
196 each challenge day, one 100 ml aliquot of the mycobacterial suspension was
197 'pre-treated' in the Collison nebuliser for 10 minutes, then divided into eight
198 aliquots each of 12.5 ml which were stored at room temperature in the dark
199 until use. A fresh aliquot of pre-treated Erdman was used to challenge each
200 animal. Animals were sedated with a combination of
201 ketamine/acepromazine/atropine (Ketaset, Fort Dodge Animal Health,
202 Southampton, UK (x 100mg/ml ketamine), ACP, Novartis (x 10mg/ml),
203 atropine sulphate, Martindale Pharmaceuticals, Romford, UK (x 0.6mg/ml)) in
204 a ratio of 5:1:1 and then exposed to the aerosol of *M. tuberculosis* for

205 sufficient time (4 – 10 minutes) for inhalation of an acquired volume of 7 L.
206 Macaques were challenged with estimated doses of 40-60 CFU retained in
207 the lungs (Table 1).

208

209 Clinical assessment post challenge

210 Animal behaviour was observed daily throughout the study for contra-
211 indicators such as depression, withdrawal from the group, aggression, food
212 and water intake, changes in respiration rate, or cough. Animals were sedated
213 every two weeks to measure weight, body temperature, blood haemoglobin
214 levels and erythrocyte sedimentation rate, (ESR) and to collect blood samples
215 for immunology. Blood cell haemoglobin was measured using a HaemaCue
216 haemoglobinometer (Haemacue Ltd, Dronfield, UK) and ESR was measured
217 using the Sediplast system (Guest Medical, Edenbridge, UK). The time of
218 necropsy, if prior to the end of the planned study period, was determined by
219 experienced primatology staff based on a combination of the following
220 adverse indicators: depressed or withdrawn behaviour, abnormal respiratory
221 rates (dyspnoea), loss of 20% of peak post-challenge weight, ESR levels
222 elevated above normal, haemoglobin level below normal limits, increased
223 temperature and severely abnormal chest X-ray.

224

225 Interferon-gamma (IFN- γ) ELISpot Assay

226 Peripheral blood mononuclear cells (PBMC) were isolated from heparin anti-
227 coagulated blood by Ficoll-Hypaque PLUS (GE Healthcare, Buckinghamshire,
228 UK) density gradient separation using standard procedures. Isolated cells
229 were washed in medium (R2) consisting of RPMI 1640 (Sigma-Aldrich,

230 Dorset, UK) supplemented with L-glutamine (2 mM) (Sigma-Aldrich, Dorset,
231 UK), penicillin (50 U/ml)/streptomycin (50 µg/ml) (Sigma-Aldrich, Dorset, UK),
232 25mM HEPES buffer (Sigma-Aldrich, Dorset, UK), 0.05mM 2-mercaptoethanol
233 (Invitrogen, Paisley, UK) and 2% heat-inactivated foetal bovine serum
234 (LabTech, Ringmer, England).

235 An IFN- γ ELISpot assay was used to estimate the numbers and IFN- γ
236 production capacity of mycobacteria-specific T cells in PBMC using a
237 human/monkey IFN- γ kit (MabTech, Nacka, Sweden). Cells were stimulated
238 with PPD (10 µg/ml, SSI, Copenhagen, Denmark) or pools of overlapping
239 15mer peptides spanning Ag85A, or ESAT6 (Peptide Protein Research Ltd,
240 Wickham, UK). Plates (Millipore, Watford, UK) were coated overnight at 4°C
241 with 10 µg/ml of IFN- γ antibody. 200,000, 100,000 or 5000 PBMC were plated
242 per well in 100 µl R5 medium (RPMI 1640 supplemented with L-glutamine (2
243 mM), penicillin (50 U/ml)/streptomycin (50 µg/ml) and 5% heat-inactivated
244 foetal bovine serum), with or without antigen, in triplicate, and incubated for 18
245 hours. Phorbol 12-myristate (Sigma-Aldrich Dorset, UK) (100 ng/ml) and
246 ionomycin (CN Biosciences, Nottingham, UK) (1 µg/ml) were used as a
247 positive control. After culture, plates were washed and incubated for 2 hours
248 with biotinylated anti-IFN- γ . Spots were developed by adding streptavidin-
249 alkaline phosphatase and freshly prepared 5-Bromo-4-Chloro-3-Indolyl
250 Phosphate/Nitro Blue Tetrazolium (BCIP/NBT) substrate. Determinations from
251 triplicate tests were averaged. Data were analysed by subtracting the mean
252 number of spots in the cells and medium-only control wells from the mean
253 counts of spots in wells with cells and antigen or peptide pools.

254

255 Quantification of secreted IFN- γ

256 IFN- γ production was measured using a whole blood ELISA as described by
257 Black *et al.*, (5). In brief, heparinised blood was diluted 1 in 10 with serum-free
258 medium (RPMI supplemented with L-glutamine, penicillin and streptomycin)
259 and cultured with purified protein derivative from *M. tuberculosis* (PPD; 5
260 $\mu\text{g/ml}$, SSI, Copenhagen, Denmark) or mitogen Phytohaemagglutinin (PHA;
261 Sigma-Aldrich, Dorset, UK) (5 $\mu\text{g/l}$), or in medium alone for 6 days.
262 Supernatants were harvested at day 6 and stored at -20°C . The quantity of
263 IFN- γ in the supernatants was estimated using a commercially available
264 human/monkey IFN- γ ELISA kit (MabTech, Nacka, Sweden). A purified
265 human IFN- γ was used for the standard curve on each plate. The ELISA was
266 developed using streptavidin and 3,3',5,5'-tetramethylbenzidine (TMB) liquid
267 substrate system (Sigma-Aldrich, Dorset, UK) and the reaction stopped with
268 2M sulphuric acid (May & Baker Ltd, Dagenham, UK). ELISA plates were read
269 at 450nm. A standard curve was plotted for each plate and used to calculate
270 the concentrations of IFN- γ in each sample.

271 Intracellular cytokine staining

272 Freshly isolated PBMCs were stimulated with either PBS (negative control),
273 PPD or staphylococcus enterotoxin B (SEB) (Sigma, Poole, UK) at 10 $\mu\text{g/ml}$
274 with 0.1 $\mu\text{g/ml}$ of purified $\alpha\text{CD}28$ and 0.1 $\mu\text{g/ml}$ of purified $\alpha\text{CD}49\text{d}$ (both BD
275 Biosciences, Oxford, UK). Cells were incubated at 37°C for 5 hours.
276 Golgiplug, (BD Biosciences, Oxford, UK) was added and cells incubated for a
277 further 12 hours at 37°C . Cells were stained for expression of $\text{CD}8^{+}$, $\text{CD}3^{+}$,
278 $\text{CD}4^{+}$ and IFN- γ using standard commercially available fluorochrome
279 conjugated reagents (BD Biosciences, Oxford, UK and Caltag Invitrogen,

280 Paisley, UK) acquired on a FC500 Cytomics flow cytometer (Beckman
281 Coulter, High Wycombe, UK) and analysed using CXP V2.1 software
282 (Beckman Coulter, High Wycombe, UK).

283

284 Polyfunctional intracellular cytokine staining

285 PBMCs were thawed, washed, re-suspended in medium (R10) consisting of
286 RPMI 1640 supplemented with L-glutamine (2 mM), penicillin (50
287 U/ml)/streptomycin (50 µg/ml) and 10% heat-inactivated foetal bovine serum
288 with 1 U/ml of DNase (Sigma, Poole, UK) and incubated at 37°C. After 2
289 hours, the cell concentration was adjusted to 1×10^6 cells/ml in R10
290 containing 1 µg/ml of αCD28 (BD Biosciences, Oxford, UK) 1 µg/ml of
291 αCD49d (BD Biosciences, Oxford, UK), 10 µg/ml of Brefeldin A (Sigma,
292 Poole, UK). PBMC were stimulated with one of the following: 10 µg/ml PPD
293 (SSI, Copenhagen, Denmark), 2 µg/ml antigen 85A complete peptide pool (66
294 x 15 mer peptides overlapping by 10 amino acids, 2 µg/ml final concentration
295 for each amino acid), BCG at 1×10^6 CFU or 5 µg/ml of SEB (Sigma, Poole,
296 UK). Un-stimulated PBMCs were used to assess non-specific cytokine
297 production. Cells were incubated at 37°C with 5% CO₂ in air for 10 hours.
298 Following stimulation, PBMCs were washed in PBS containing 0.1% BSA and
299 0.01% sodium azide (both Sigma, Poole, UK) by centrifuging at 400g for 5
300 minutes. Following a second wash step, cells were stained with the amine
301 reactive LIVE/DEAD fixable red dead cell stain kit (Molecular Probes,
302 Invitrogen, Paisley, UK) and labelled with the following antibodies at optimally
303 diluted concentrations: CD4+ PE, CD8+ PE-Cy7 (BD Biosciences, Oxford,
304 UK), CD14+ECD and CD20+ECD (Beckman Coulter, High Wycombe, UK).

305 After labelling for 30 minutes with light excluded, cells were washed by
306 centrifuging before permeabilisation by incubation at room temperature for 15
307 minutes with Fix/Perm (BD Biosciences, Oxford, UK). PBMC were washed by
308 centrifuging at 400g for 5 min with permwash (BD Biosciences, Oxford, UK)
309 then labelled with CD3 APC-C7 (to account for down regulation after
310 stimulation), and also for the following cytokines: IFN- γ FITC (both BD
311 Biosciences, Oxford, UK), TNF α pacific blue (eBiosciences, Hatfield, UK) and
312 IL-2 APC (Miltenyi Biotech, Bisley, UK).

313

314 Flow cytometric acquisition of polyfunctional intracellular cytokine staining
315 samples.

316 Cells were analysed using a 3 laser standard LSRII (BD Biosciences, Oxford,
317 UK). Cytokine-secreting T cells were identified using a forward scatter-height
318 (FSC-H) versus side scatter-area (SSC-A) dot plot to identify the lymphocyte
319 population, to which appropriate gating strategies were applied to exclude
320 doublet events, non-viable cells, monocytes (CD14⁺) and B cells (CD20⁺) prior
321 to sequential gating through CD3⁺, CD8⁻ and CD4⁺ versus IFN γ , and CD3⁺,
322 CD8⁺ and CD4⁻ versus IFN γ histograms. The Boolean gate platform was used
323 with individual function gates to create all response pattern combinations.
324 Data for subsequent analysis were prepared using Pestle version 1.6.1 Mario
325 Roederer, Vaccine Research Centre, NIAID, NIH), and SPICE version 4
326 (Mario Roederer, Vaccine Research Centre, NIAID, NIH) was used to
327 calculate threshold and present experimental results.

328

329 Lymphoproliferation assay.

330 A conventional tritiated thymidine proliferation assay was performed. Briefly,
331 PBMC were cultured in triplicate at 1×10^5 cells/well in 96-well plates with
332 medium alone or in the presence of PPD at a concentration range of 1, 5 and
333 $10 \mu\text{g/ml}$ for 7 days or Concanavalin A (Sigma, $10 \mu\text{g/ml}$) for 3 days.
334 [^3H]thymidine (GE Healthcare, Little Chalfont, UK) was added at $1 \mu\text{Ci/well}$ for
335 6 hours, cells were harvested and thymidine uptake measured using a β -
336 counter (Tri-carb 2900TR, Liquid Scintillation Analyzer, Packard Biosciences
337 Company, Perkin Elmer, Milano, Italy). Proliferative capacity was expressed
338 as stimulation index (SI) (mean [^3H] thymidine incorporation of cells stimulated
339 with antigen/mean incorporation in absence of stimulation i.e. medium alone).

340

341 Necropsy

342 Before necropsy, animals were sedated with ketamine (15 mg/ml , i.m.),
343 weighed, photographed, chest X-rays taken, clinical data collected and ex-
344 sanguination was effected via the heart, before termination by injection of a
345 lethal dose of anaesthetic (Dolelethal, Vétoquinol UK Ltd, 140 mg/kg). A full
346 necropsy was performed and gross pathology was scored using a relative
347 scoring system based on the number and extent of lesions present in lungs,
348 spleen, liver, kidney and lymph nodes as previously described (29). Samples
349 of spleen, liver, kidneys and hilar, inguinal and axillary lymph nodes were
350 removed, dissected on sterile trays and placed in weighed tubes for
351 quantitative bacteriology and into formalin buffered saline for histology. The
352 whole lungs were carefully dissected to retain their integrity. Following
353 examination, the left bronchus of lung was clamped off using artery forceps,
354 and the left upper and left lower lung lobes dissected away from lung. The

355 upper and lower left lobes were collected for quantitative bacteriology. The left
356 bronchus was tied off with string to the left of the clamp and right hand side of
357 lung gently infused with 10% neutral buffered formalin using a 10 ml syringe
358 attached to a 14 CH Notalon catheter (J.A.K. Marketing, York, UK) whilst
359 observing closely for inflation. The trachea was tied off and the lungs
360 immersed in formalin to complete fixation.

361

362 Lung imaging

363 Thoracic radiographs (SP VET 3.2, Xograph Ltd) were acquired using
364 mammography film (Xograph Imaging Systems Ltd, Tetbury, UK) before and
365 every 2 weeks after exposure to *M. tuberculosis*. Evaluation of disease was
366 performed by an experienced consultant thoracic radiologist blinded to the
367 animal group and clinical status, using a pre-determined scoring system
368 based on the amount and distribution of infiltrate (29).

369

370 Magnetic resonance imaging (MRI)

371 The inflated lungs that had been immersed in formalin were set in agarose for
372 MRI scanning. Images were acquired on a 1.5 T Twinspeed HDX MRI
373 Scanner (General Electric Healthcare, Milwaukee, WI, USA). The sample was
374 placed in a general purpose flexible coil. T1 weighted 3D Fast Spoiled
375 Gradient Echo (FSPGR) images were acquired with the following parameters:
376 TE: 7.7, TE: 4.2, 16 cm field of view, 1 mm slice thickness, -0.5 mm slice gap,
377 192x192 matrix, 15 degree Flip Angle, 3NEX. Each acquisition took
378 approximately 3.5 minutes.

379

380 Lesion analysis / quantification (Stereology)

381 Lung lesions were identified on MR images based on their signal intensity and
382 nodular morphology relative to more normal lung parenchyma. The total lung
383 and lesion volume relative to the fixed tissue was determined using the
384 Cavalieri method applied to MRI image stacks, and then expressed as a ratio
385 to provide a measure of disease burden in each animal as previously
386 described (29). Analyses of lesion volume on magnetic resonance (MR)
387 images were performed with the investigators reading the images blind to
388 treatment groups.

389

390 Pathology / Histology

391 On return from scanning, lung lobes were removed from agar and stored in
392 pots of 10% neutral buffered formalin. Lung lobes that had been fixed in
393 buffered formalin were sliced at approximately 5-10 mm intervals and discrete
394 lesions measuring 1-5 mm in diameter were counted. Lesions that had
395 coalesced and measured more than 5 mm in diameter were counted and the
396 dimensions of the sectioned coalesced lesions were measured and recorded.
397 Total lesion count was the sum of the discrete and coalesced lesions. Lesions
398 were classified according to the scheme used by Lin *et al* (16).

399

400 Bacteriology

401 The left lung lobes were sampled for the presence of viable *M. tuberculosis*
402 post-mortem. The weights of all samples were determined. Lung lobes (1.97
403 to 16.25) were homogenised in 10 ml and sections of spleen (0.072 to 2.54g),
404 liver (0.38 to 2.61g), kidney (0.011 to 2.39g) and hilar lymph nodes (0.317g)
405 were sampled and homogenised in 2 ml of sterile water. All samples were

406 either serially diluted in sterile water prior to being plated or plated directly
407 onto Middlebrook 7H11 OADC selective agar. All plates were incubated for 3
408 weeks at 37°C and resultant colonies were counted. The result from each
409 sample was expressed

410

411 Statistical analyses

412 To compare the immune response profiles induced in animals by vaccination
413 or challenge, the area under the curve (AUC) for each response was
414 calculated using Sigmaplot version 10 (Systat Software Inc, Hounslow, UK)
415 for each animal. The area under the curves calculated for the animals in each
416 test group were compared to those for the animals in other test groups with a
417 Mann Whitney test using Minitab, version 15 (Minitab Ltd, Coventry, UK).
418 Differences in the survival rates of animals in each test group were compared
419 with a log rank test using Minitab version 15. A Cox regression analysis was
420 performed in order to estimate the hazard ratios and 95% confidence intervals
421 using STATA Statistical Software 2007 Release 9.2. (StataCorp LP, Texas,
422 USA).
423 The Spearman correlation test was used to determine the level of correlation
424 between study parameters using GraphPad Prism, version 5.01 (GraphPad
425 Software Inc, La Jolla, California, USA).

426 **RESULTS**427 IFN- γ response to vaccination

428 The mycobacterium-specific IFN- γ response was measured by ELISpot
429 (Figure 2 A and B), whole blood ELISA (Figure 2C) and intracellular cytokine
430 staining (Figure 2D). All three assays (Figure 2A, 2C, 2D) revealed similar
431 response profiles with low levels of PPD-specific IFN- γ responses after
432 vaccination with BCG. Statistical analysis using AUC and Mann Whitney tests
433 confirmed significant differences in PPD-specific responses between BCG
434 vaccinated animals in groups A and B and unvaccinated animals in Group C
435 (ELISpot: Group A versus Group C: $p = 0.01$ Group B versus Group C: $p =$
436 0.01 ; ELISA: Group A versus Group C: $p = 0.025$, Group B versus Group C: $p =$
437 0.013).

438 Although an increase in the PPD-specific response was seen in two of the six
439 animals (K65, K86) which received the MVA85A booster vaccine, one week
440 after immunisation, comparison of the AUC of the PPD response between
441 week 12 (time of MVA85A vaccination) and week 20 (just prior to challenge)
442 using Mann Whitney tests, did not reveal significant differences in the immune
443 profiles induced in the whole group of animals which received BCG alone
444 (Group B), or those which received BCG followed by MVA85A (Group A)
445 (Figure 2A and C). However, the level of response in both Group A and Group
446 B were significantly greater than in the unvaccinated control group (ELISpot:
447 Group A versus Group C: $p = 0.04$, Group B versus Group C: $p = 0.04$; ELISA:
448 Group A versus Group C: $p = 0.011$, Group B versus Group c: $p = 0.014$), by
449 performing Mann Whitney tests on AUC analysis of the same time period.

450 There were no significant differences in the response profiles made by
451 vaccinated and unvaccinated animals to Ag85A after vaccination with BCG
452 (Figure 2A). After vaccination with MVA85A, the response profile in Group A
453 which received the booster vaccination was found to be significantly different
454 from profiles in both the unvaccinated group, Group C ($p = 0.04$) and the
455 group vaccinated with BCG alone, Group B ($p = 0.02$), using AUC analysis
456 and Mann Whitney tests from week 12 to 20.

457 Polyfunctional T cell responses following vaccination.

458 The cytokine responses made by antigen-specific T cell populations isolated
459 from animals in the period following vaccination were further investigated
460 using multi-parameter flow cytometry. The CD4⁺ and CD8⁺ T cell populations
461 were evaluated for their capability to produce IFN- γ , IL-2 and TNF α , either
462 individually (single positive cells) or in combination (double and triple positive
463 cells) prior to vaccination with BCG, on the day of MVA85A vaccination, then
464 one, two and eight weeks after MVA85A vaccination.

465 Ag85A-specific single, double and triple positive CD4⁺ T cells were only
466 detected in animals that received vaccination with MVA85A (Figure 3C).

467 There was considerable heterogeneity between animals within each group.
468 Raised levels of single, double and triple positive IFN- γ secreting cells were
469 seen in K65 and K86 one week after vaccination with MVA85A. Ag85A-
470 specific IFN- γ secreting CD4⁺ T cells were not detected in animals K20, K61
471 or K79 after vaccination with MVA85A (Figure 3A). IL-2⁺IFN γ ⁺ and IL-2⁺TNF α ⁺
472 double positive Ag85A-specific CD4⁺T cell responses were not seen at levels
473 above those measured prior to vaccination in any of the animals in the study
474 (data not shown). Through all experimental groups, production of IL-2 was
475 either low relative to IFN- γ or TNF α , or not detected.

476 A number of PPD-specific cytokine producing CD4⁺ T cell populations were
477 detected in vaccinated animals at levels above those seen prior to vaccination
478 in the period between MVA85A vaccination and challenge. However, the
479 functional profiles did not differentiate between animals vaccinated with BCG
480 alone, or the BCG - MVA85A regimen. Single positive PPD-specific, TNF α -
481 secreting, PPD-specific CD4⁺ T cells were seen at levels above those seen
482 before immunisation, during the period after vaccination with MVA85A (study
483 weeks 13 to 20) in three animals that received the BCG-MVA85A vaccine
484 regimen (K79, K61, K86) and two animals that received the BCG vaccine
485 alone (K52, K43) but not in any of the unvaccinated animals (Figure 3E).
486 Similarly, an increase in PPD-specific IFN- γ producing CD4⁺ T cells was
487 detected in K69, K86, and K79 from the BCG - MVA85A vaccinated group and
488 K43 of the BCG-only vaccinated group (Figure 3D). An increase in levels of
489 double positive (IL-2⁺TNF α ⁺) PPD-specific CD4⁺ T cells was detected in two
490 animals (K79, K86) one weeks after MVA85A vaccination and in one animal
491 (K43) from the group vaccinated with BCG alone during the same period
492 (Figure 3G) at week 20. Increases in double positive IFN- γ ⁺TNF α ⁺ (Figure 3E)
493 and triple positive PPD-specific CD4⁺ T cells (Figure 3H) were seen one week
494 after MVA vaccination in three animals (K65, K79, K86). Increased levels of
495 single positive IL-2⁺, and double positive IFN- γ ⁺IL-2⁺ secreting PPD-specific
496 CD4⁺ T cell responses did not increase in either vaccinated or unvaccinated
497 animals (data not shown). Neither double, nor triple positive PPD, nor Ag85A-
498 specific CD8⁺ T cell were detected in animals in this study although some IFN-
499 γ ⁺ and IL-2⁺ single positive responses were seen (IFN- γ : BCG-MVA group,

500 K86, K79, K61; BCG group K43; IL-2: BCG-MVA group, K20; BCG group K43,
501 K44, K59, Unvaccinated group K62 (Figure 3I and J).

502

503 Proliferative responses following vaccination

504 T cell recognition and response to PPD stimulation was investigated using a
505 standard tritiated thymidine incorporation assay. In the group that received the
506 BCG-MVA85A vaccination regimen, all animals made low PPD-specific
507 proliferative responses ($SI < 20$) in the period after BCG vaccination and
508 before vaccination with MVA85A (weeks 0 to 12). Response levels increased
509 after vaccination with MVA85A (SI range = 40 – 60) in two of the six animals
510 (K65 and K69). All six animals in the group that only received the BCG
511 vaccine made PPD-specific proliferative responses during the period before
512 challenge. The largest responses were seen in K44 (SI range = 20 - 80); in
513 contrast responses in the other five animals were much lower ($SI < 10$), (data
514 not shown). Statistical analysis using AUC and Mann Whitney tests did not
515 demonstrate a significant difference in PPD-specific responses made by
516 animals vaccinated with BCG and MVA85A (Group A) and those vaccinated
517 with BCG alone (Group B), either after vaccination with BCG (weeks 0-12), or
518 during the period after MVA85A vaccination and challenge (weeks 12 to 20).

519

520 Clinical status following vaccination

521 All animals in the study showed the weight gain profiles expected in normal
522 healthy animals during the period prior to challenge. Temperature, erythrocyte
523 sedimentation rate (ESR) and haemoglobin levels all remained within the
524 normal range during the vaccination phase of the study. Local skin reactions
525 at the site of BCG immunisation were seen in all vaccinated animals.

526 Reactions appeared 2 to 4 weeks after BCG vaccination in 10 of 12
527 immunised animals, but resolved in all animals 22 weeks after immunisation.
528 A local skin reaction at the site of immunisation was seen in all six animals
529 vaccinated with MVA85A. Reactions peaked one week after vaccination and
530 had resolved eight weeks after immunisation in all animals. Vaccination with
531 MVA85A also induced a increase in size of axillary lymph nodes draining the
532 site of vaccination in all animals following vaccination with MVA85A. Maximum
533 lymph node size was seen one to two weeks after immunisation and returned
534 to normal in all animals six to eight weeks after vaccination.

535

536 Changes in clinical parameters following aerosol challenge with *M.*

537 *tuberculosis*

538 Animals in all three study groups showed changes in behaviour and clinical
539 parameters consistent with progression of tuberculosis-induced disease and
540 were euthanized when set humane endpoints were reached ahead of the
541 planned end of the study. Disease progressed to reach humane end-points in
542 all four animals in the naïve control group C (K32, K47, K54, K62) , two of six
543 BCG-vaccinated animals in group B (K44, K52) and five of six BCG-MVA85A
544 vaccinated animals in group A (K20, K61, K69, K79, K86) (Figure 4).

545 All animals that met humane endpoints showed a range of clinical signs in the
546 five days before euthanasia. These included depression, and withdrawal from
547 the group. Abnormal rapid respiration rates and shallow, laboured breathing
548 were also observed in this group, and coughing by all animals except K32.

549 Ruffled fur was seen in four animals (K20, K32, K54, K61). In the period prior
550 to termination, all the animals which met humane endpoint criteria showed
551 progressive weight loss and elevated ESR levels (Figure 5F and G). At

552 termination, all the unvaccinated animals (K32, K47, K54, K62), one of six
553 BCG-vaccinated animal (K52) and two of six BCG-MVA85A animals (K61,
554 K69) showed erythrocyte haemoglobin concentrations below the normal
555 range. No adverse clinical, or behavioural indicators were exhibited by BCG-
556 vaccinated animals K43, K50, K59, or BCG-MVA85A-vaccinated animal K65
557 at the time of termination 52 weeks after challenge.

558 Pulmonary abnormalities were identified on X-radiographs from all animals
559 after challenge and remained until the end of the study period. Abnormalities
560 were not detected until 4 weeks after challenge in any of the animals, at which
561 point, infiltrates were detected on both sides of the lung (score 3) in all except
562 two, K86 and K59, where detection of bilateral infiltration was delayed until
563 week 12 and 28, respectively. Infiltrates were extensive (score 4) by the time
564 of euthanasia in three of the four control animals (K32, K47, K62,), two BCG-
565 vaccinated animals (K52, K80) and four of the BCG-MVA85A vaccinated
566 animals (K20, K61, K69, K79) (Figure 5E). Calcified nodules were observed
567 on radiographs taken from week 24 after challenge onwards in all the animals
568 which remained clinically healthy during the study. Calcified nodules were first
569 identified at week 24 in K65, week 30 in K43 and K80, week 34 in K50, and
570 week 38 in K59.

571

572 Survival post-challenge

573 The length of time that animals in each group survived before disease
574 progressed to reach clinical end-points was compared using two statistical
575 approaches, the Log Rank Test and Cox regression analysis. Both

576 approaches showed no overall difference in survival time post-challenge
577 between the 3 groups (Log rank test, $p = 0.13$, Cox regression, $p = 0.093$).
578 Survival times were then compared between each of the vaccination groups A
579 and B and the unvaccinated control group C. Survival of BCG-vaccinated
580 animals was found to be significantly different to that of the unvaccinated
581 control animals using the Kaplan Meier Log Rank test ($p = 0.048$), but not
582 significantly different using Cox regression ($p = 0.07$). No difference in the
583 survival time was seen between the group vaccinated with BCG alone and the
584 group vaccinated with BCG followed by MVA85A by either test (Log rank test,
585 $p = 0.1$, Cox regression, $p = 0.17$).

586

587 Measures of tuberculosis-induced pulmonary and extra pulmonary disease
588 burden

589 At the end of the study the level of tuberculosis-induced pulmonary and
590 clinical disease was investigated using quantitative and qualitative
591 approaches (Figure 5). Pulmonary disease burden was quantified using
592 stereology on MR images to determine the total lesion volume and the total
593 lung volume, then expressed as a ratio of lesion to lung volume. A significant
594 difference in lesion volume to lung volume ratio was seen between the
595 unvaccinated control animals and animals vaccinated with BCG alone, or
596 BCG boosted with MVA85A (Figure 5A; Table 2), with both groups of
597 vaccinated animals having a lower ratio than the controls. The lesion volume
598 to lung volume ratio was not significantly different between BCG and BCG-
599 MVA85A groups. Lesion volume to lung volume ratio was lower in animals
600 which did not show adverse clinical indicators at termination (K65, K43, K50,
601 K59).

602 Pulmonary disease burden was also quantified by serial sectioning and
603 manual counting of both discrete and coalesced lesions (Figure 5B). Lesion
604 numbers were not significantly different between the unvaccinated and the
605 BCG-MVA85A vaccinated animals, or the BCG vaccinated and the BCG-
606 MVA85A vaccinated groups. However, a significantly higher number of lesions
607 were seen in the BCG vaccinated animals compared to the unvaccinated
608 animals (Table 2).

609 The distribution and type of pulmonary granulomas (classified according to the
610 scheme of Lin *et al.*, 2006 (16) identified in sections of lung did not distinguish
611 between the different groups, nor did this predict survival; neither did disease
612 spread to extra-pulmonary tissues measured by lesion presence in hilar lymph
613 node, liver, kidney and spleen. Histological examination revealed granulomas
614 in extra-pulmonary tissues in all four of the unvaccinated animals, three of the
615 six BCG vaccinated animals (K43, K50, K59) all of which remained clinically
616 well until the end of study, and four of the six BCG-MVA85A-vaccinated
617 animals (K86, K61, K69, K20) all of which succumbed to disease during the
618 study.

619 Pulmonary disease burden was also measured using qualitative approaches
620 conventionally used for the evaluation of TB vaccine efficacy in NHPs
621 including pulmonary pathology score (Figure 5C), total gross pathology score
622 (Figure 5D), and chest X-ray (Figure 5E). The level of pulmonary disease
623 measured by each of these approaches generally agreed and reflected the
624 trends identified using the quantitative measures. The gross pathology scoring
625 system was able to distinguish between groups of BCG vaccinated and
626 unvaccinated animals ($p = 0.017$) (Table 2), but there was no difference

627 between BCG-MVA85A vaccinated and BCG vaccinated and unvaccinated
628 controls using this measure. The pathology scoring system did not predict
629 survival in the BCG vaccinated group. No significant differences between
630 study groups were seen in terms of chest X-ray score, lung pathology score,
631 bacterial load, or clinical disease measures, weight loss, or ESR (Table 2).
632 The level of pulmonary disease by all scoring systems also agreed with the
633 level of changes determined in the clinical measures of disease severity at
634 termination, namely, weight loss, decreased erythrocyte haemoglobin
635 concentration and increase in ESR, with most changes occurring in the
636 animals with the greatest level of pulmonary disease. Across all animals in the
637 study regardless of treatment grouping, lesion volume to lung volume ratio,
638 ESR and mean cell haemoglobin concentration for animals correlated with
639 survival time after challenge (lesion:lung volume ratio : $p = 0.0267$; ESR: $p =$
640 0.0038 , haemoglobin concentration: $p = 0.0120$).
641 Lung bacterial load tended to be lower in animals which did not show adverse
642 clinical indicators at termination (K65, K43, K50, K59) (Figure 5H). Extra-
643 pulmonary dissemination occurred in all animals in the study and the pattern
644 of spread to spleen, kidneys and / or liver did not distinguish between test
645 groups.

646
647 IFN- γ response following aerosol challenge with *M. tuberculosis*

648 The *Mycobacterium*-specific IFN- γ response was measured by ELISpot
649 (Figure 6A, B, C) and ELISA (Figure 6D). Comparison of the responses made
650 by animals in each test group during the first six weeks after challenge
651 suggested a trend for an increased frequency of Ag85A-specific and PPD-
652 specific IFN- γ producing cells in BCG-MVA85A vaccinated animals (Group A)

653 than in the BCG vaccinated (Group B) and the unvaccinated animals (Group
654 C) (Figure 6, B, C). The difference in the PPD-specific response was found to
655 be statistically significant ($p = 0.03$) between the BCG-MVA85A vaccinated
656 and the unvaccinated animals, but not statistically different between the BCG
657 and the BCG-MVA85A animals. The frequency of ESAT6-specific IFN- γ
658 producing cells and the quantity of IFN- γ secreted following stimulation with
659 PPD was similar in all the test groups during this initial six week period.
660 Comparison of responses made by animals in the different test groups was
661 only valid in the early phase after infection while all animals remained in the
662 study.

663

664 Proliferative response following aerosol challenge with *M. tuberculosis*

665 PPD-specific proliferative responses were detected in five of the six BCG
666 vaccinated animals (K43, K44, K50, K59, K80), five of the six BCG-MVA85A
667 vaccinated animals (K61, K65, K69, K79, K86) and one of unvaccinated
668 animals (K32) following infection with *M. tuberculosis*. Responses were
669 generally low ($SI < 10$), inconsistent over time, and did not differentiate
670 between vaccine groups, or predict progression with disease.

671

672 **DISCUSSION**

673 There is a growing need for improved preclinical models for vaccine
674 assessment with sensitive and discriminatory readouts that would act as
675 correlates of protection as work continues to develop a new more effective TB
676 vaccine. Ideally, models should be highly reproducible, include the same
677 endpoints as those used in human clinical trials, and be validated to predict
678 efficacy in humans. This study developed and characterised an aerosol
679 challenge model of *M. tuberculosis* in rhesus macaques and assessed
680 immunological and clinical read-outs for utility in vaccine evaluation studies.
681 Aerosol challenge models have been used previously to evaluate the efficacy
682 of TB vaccines in NHP (2, 9, 14, 27, 30) but no standardised or validated
683 protocol has emerged. However, it is clear that the disease which develops
684 following low-dose aerosol challenge shows marked animal to animal
685 variation, particularly when the read-out of disease severity is survival, and
686 much larger group sizes will be required if survival is used as an end-point in
687 future vaccine evaluation studies. Even more pronounced heterogeneity in
688 progression to disease was observed when very low doses were administered
689 by the intra-tracheal route suggesting that the lower the initial inoculum, the
690 less predictable is the outcome of the host-pathogen interaction from one
691 animal to the next (8).

692 Our study is the first example of TB vaccine evaluation in rhesus macaques
693 where long term survival was one of the primary endpoints. The study was
694 taken to a point where disease progressed in all unvaccinated control animals
695 to allow outcome in vaccinated animals to be compared using a variety of
696 pathological and clinical readouts. Previous studies in which TB vaccine
697 candidates have been evaluated for efficacy in the rhesus macaque have

698 been ended at a fixed point, 8-17 weeks after either aerosol (1, 2, 3, 14, 27) or
699 intratracheal (15, 32) challenge. Longer term studies of vaccine efficacy with
700 post-challenge investigation periods in excess of 16 weeks have been
701 conducted in the cynomolgus macaque (23, 24, 26). In these latter studies,
702 survival after challenge has provided a read-out of vaccine efficacy, although
703 only one of the four efficacy studies reported (22) was sufficiently long to allow
704 the entire unvaccinated group to progress to end-stage disease i.e. not
705 survive the challenge. Our study has shown that the heterogeneity in long-
706 term disease progression following relatively low-dose aerosol challenge is
707 not compatible with survival as an end-point of vaccine efficacy in rhesus
708 macaques.

709 In NHP vaccine efficacy studies with group sizes of about six, as used here,
710 survival appears not to be a reliable endpoint. In this study, the limitation by
711 cost of group size meant that a survival difference between the BCG
712 vaccinated group and the naïve control group was of borderline statistical
713 significance and dependent on the statistical analysis used. Comparison of
714 the survival time after challenge of BCG-MVA85A vaccinated animals with
715 that of BCG vaccinated animals and unvaccinated animals using the Cox
716 regression, or the Kaplan Meier Log Rank statistical tests, did not show a
717 statistically significant difference between survival in any of the three
718 experimental groups. The conclusion to be drawn from the current study is
719 that survival does not discriminate between different groups when small
720 numbers of animals are used and this is supported by a retrospective analysis
721 of numerous guinea pig studies, even though larger group sizes can be more
722 easily achieved for guinea-pigs (36). Furthermore, survival is a complex

723 endpoint with many contributing factors implicated. In human efficacy trials,
724 death would not be an endpoint; rather, disease defined by positive culture or
725 presentation of clinical symptoms, signs or abnormal investigations such as a
726 chest radiograph would be used. Whilst animal models provide excellent test
727 systems for the evaluation of new vaccines, there are constraints which
728 prevent these models simulating every aspect of the natural disease in
729 humans. For example, only a small percentage of humans who are exposed
730 to tuberculosis will get disease. In animal models, the uniform development of
731 disease within a reasonable time frame after challenge in unvaccinated
732 control groups is essential as the process of vaccine evaluation relies on the
733 definition of differences in disease burden between vaccinated and
734 unvaccinated animals. These requirements necessitate the use of challenge
735 doses sufficient to ensure uniform disease induction as well as the use of
736 complex readouts.

737 The evaluation of vaccine efficacy was also shown to be complex and not
738 clear cut. Other clinical-, pathological- and bacteriology-based measures were
739 confounded by groups having a mixture of survivor and non-survivor animals.
740 The impact of this is that the animals that reach their humane endpoint will
741 have CFU counts and pathology scores at the maximum for the readouts due
742 to the late stage accelerated bacterial growth and inflammation whereas
743 survivors will have lower levels. Some read-outs (total pathology score, chest
744 radiograph and bacterial load) showed a reduction in surviving animals, but
745 lacked sufficient power to differentiate between study groups. A significantly
746 higher number of lesions were counted in the BCG vaccinated animals
747 compared to the unvaccinated. This finding likely reflects difficulties

748 associated with manual counting of both discrete and coalesced lesions as a
749 readout of disease burden, particularly in animals with more severe disease,
750 where the individual lesions became difficult to distinguish, and eventually too
751 numerous to count. The disease in the unvaccinated animals was more
752 severe than in BCG vaccinated animals and a greater proportion of coalescing
753 lesions and consolidated lobes led to an apparently low total lesion count. In
754 contrast, the lesions in the BCG vaccinated animals tended to be smaller and
755 remained discrete, and so were more easily countable.

756 The level of PPD-specific proliferative responses induced by vaccination did
757 not correlate with protection. For example, K44 from the BCG-vaccinated
758 group made the largest and most consistent proliferative responses after
759 vaccination and yet was the first animal in this group to reach the humane
760 endpoint criteria following challenge. Similarly, PPD specific proliferative
761 responses of a similar magnitude were detected in two animals (K65 and K69)
762 in the BCG -MVA85A vaccinated group two weeks after vaccination with
763 MVA85A, but the outcome of *M. tuberculosis* infection was very different. The
764 disease in K69 progressed rapidly and met humane end-point criteria within
765 ten weeks of challenge, whereas K65 successfully controlled disease for the
766 duration of the study.

767 IFN- γ is considered to be an essential cytokine for the control of TB. Three
768 assays were used to assess the responses made to vaccination and
769 challenge. These were the ELISpot assay used in the clinical trials with
770 MVA85A (20) to measure the frequency of IFN- γ secreting cells, an ELISA to
771 quantify of IFN- γ secreted in response to the antigenic stimulation of whole
772 blood (5), and intracellular cytokine staining followed by flow cytometry. The

773 response profiles defined by the three approaches in each animal showed
774 similar trends but none of the IFN- γ profiles following vaccination correlated
775 with protection. Interestingly, the animal (K65) that showed the highest IFN- γ
776 response as measured both by ELISpot and ELISA, and a high level of Ag-
777 85A specific polyfunctional CD4⁺T cells, one week after vaccination with
778 MVA85A, controlled infection most effectively after challenge, showed fewer
779 clinical symptoms during the post-challenge phase of the study, and had the
780 lowest disease burden when euthanized at the end of study. During the period
781 after MVA85A boost and prior to challenge, the PPD-specific responses in the
782 group vaccinated with BCG-MVA85A were not significantly different to those
783 seen in the group vaccinated with BCG alone. This is in contrast with BCG
784 vaccinated mice that were boosted with MVA85A) and this vaccine regimen
785 was shown to protect mice against aerosol challenge with *M. tuberculosis*
786 (13). In our study the lack of a post-MVA85A boost response in the other five
787 animals in the BCG-MVA85A group perhaps explains why survival in this
788 group was not improved as reported by Verreck *et al.*, (32). However, ELISpot
789 responses induced immediately after the MVA85A boost in the animals in the
790 Verreck study were not measured, and so this cannot be confirmed. Further
791 work is required to understand why the immune response to MVA85A in five
792 of the animals in this study are considerably lower than those seen in humans,
793 despite the NHPs in this experiment having received a higher dose of vaccine
794 (20). Whilst a trend for a larger IFN- γ early response in the BCG-MVA85A
795 group was suggested, the validity of continued comparison of responses later
796 than eight weeks after challenge was confounded by the loss of some animals
797 from the study. The only readout to reliably distinguish vaccinated and

798 unvaccinated NHPs was MR stereology at the end of the study. The even
799 distribution of pulmonary disease following aerosol challenge reduced
800 sampling error associated with readouts reliant on sampling less than the
801 entire lung, and allowed the successful application of the MR stereology
802 technique without compromising the use of other histopathology-based read-
803 outs.

804 Any long term study that relies on end-of-study readouts may suffer when
805 combining data from survivors and non-survivors. Therefore, longitudinal ‘in
806 life’ measurements that can include all study subjects are required. ‘In life’
807 longitudinal measures of the immune response were used in this study;
808 however, the currently proposed key markers were not shown to correlate with
809 protection. We conclude that, given the lack of statistical power, it is generally
810 not warranted to conduct long-term survival studies on NHPs for ethical and
811 financial reasons, and shorter experiments with defined endpoints are
812 recommended. Radiological imaging offered a reliable correlate even at the
813 end of study and work is in progress to investigate the potential of ‘in life’
814 imaging as a readout of vaccine efficacy.

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823

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- 975 **37. WHO.** 2008. Global tuberculosis control: surveillance, planning, financing:
976 WHO Report. WHO/htm/TB/2008.393

977 Table 1. Aerosol challenge doses of *M. tuberculosis* delivered to rhesus
 978 macaques.
 979

Vaccine Group	Animal Identification number	Presented Dose (cfu)	Estimated Retained Dose (cfu)
Group A BCG + MVA85A	K20	1293	60
	K61	898	40
	K65	1271	60
	K69	858	40
	K79	1299	60
	K86	812	40
Group B BCG	K43	1263	60
	K44	1368	65
	K50	932	45
	K52	1275	60
	K59	884	40
	K80	817	40
Group C No vaccine	K32	1286	60
	K47	1237	60
	K54	821	40
	K62	821	40

980

981 Table 2
 982 Mann Whitney test results: statistical analysis of the differences between test
 983 groups using different vaccine efficacy readouts

Readout	P value		
	Unvaccinated v BCG	Unvaccinated v BCG / MVA85A	BCG v BCG / MVA85A
Lesion volume to Lung volume ratio	0.011	0.011	0.631
Lesion number	0.024	0.199	0.337
Total pathology score	0.017	0.198	0.33
Lung pathology score	0.079	0.083	0.466
Chest X-ray	0.174	0.285	0.371
Bacterial load (CFU/g)	0.136	0.522	0.297
% weight loss	0.199	0.055	0.747
ESR	0.087	0.157	0.515
Vaccinated significantly lower than unvaccinated. P< 0.05		Vaccinated significantly higher than unvaccinated P< 0.05	

984

985

986 Figure 1 Experimental study design.

987

988 Figure 2 Immune response to vaccination:

989 Panel A shows the frequency of PPD-specific IFN- γ secreting cells measured
990 by ELISpot. Panel B shows the frequency of Ag85A-specific IFN- γ secreting
991 cells measured by ELISpot; panel C shows the quantity of IFN- γ secreted by
992 PBMC during 6 day culture of PBMC with PPD measured by ELISA; panel D
993 shows the frequency of CD3⁺ T cells that secrete IFN- γ following stimulation
994 with PPD. Vaccination with BCG at week 0 is indicated by the dotted line and
995 vaccination with MVA85A indicated by the dashed line at week 12.

996

997 Figure 3 Polyfunctional T cell analysis after vaccination. Panels A, B, C show
998 the responding CD4⁺ T cell populations following stimulation with Ag85A
999 peptide pools Panels D, E, F, G, H show the responding CD4⁺ T cell
1000 populations following stimulation with PPD and panels I and J show the
1001 responding CD8⁺ T cells following stimulation with PPD.

1002

1003 Figure 4 Kaplan Meier plot of survival of vaccinated and unvaccinated NHPs
1004 after challenge with *M. tuberculosis*.

1005

1006 Figure 5 Measures of tuberculosis-induced pulmonary and clinical disease
1007 burden. Panel A: the lung to lesion volume ratio determined using MR
1008 stereology; Panel B: number of lesions in the lung enumerated by serial
1009 sectioning and manual counting; Panel C: the total pathology score
1010 determined using a qualitative scoring system; Panel D: the scores attributed
1011 to the pulmonary component as part of the total pathology score; Panel E:
1012 score attributed to the chest radiogram on the day of euthanasia; Panel F: %
1013 weight loss from peak post-challenge weight on the day of euthanasia; Panel
1014 G: Erythrocyte sedimentation rate (ESR) on the day of euthanasia; Panel H:
1015 bacterial load in the lung.

1016

1017 Figure 6 Immune responses after aerosol challenge with *M. tuberculosis*.

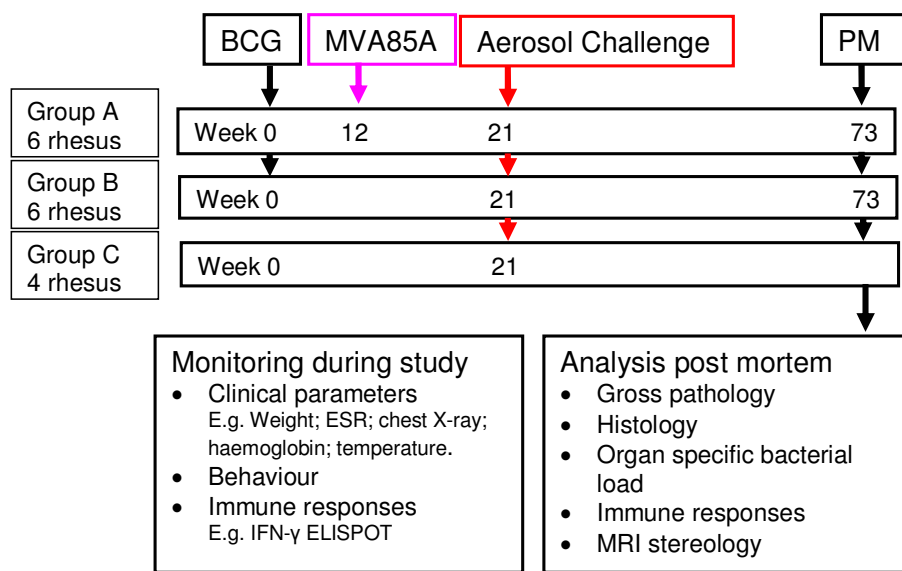
1018 The frequency of mycobacterium-specific IFN- γ secreting cells measured after
1019 challenge measured by ELISpot is shown in panels A (ESAT6), B (Ag85A)

1020 and C (PPD). Panel D shows the profile of IFN- γ secretion by PPD-stimulated
1021 whole blood measured by ELISA.

1022 Figure 1:

1023

1024



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Figure 2:

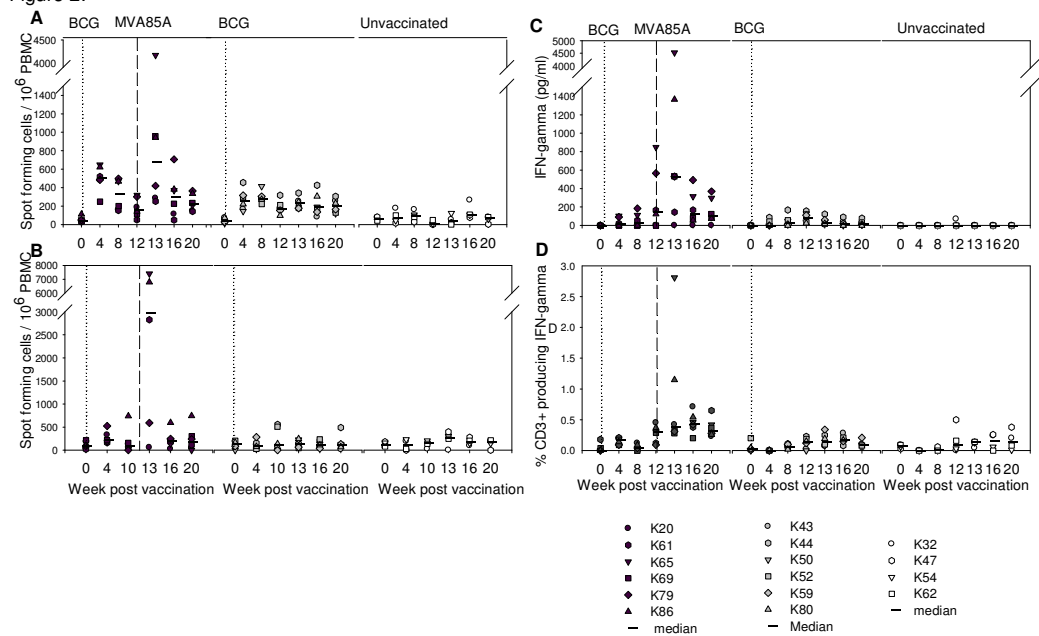


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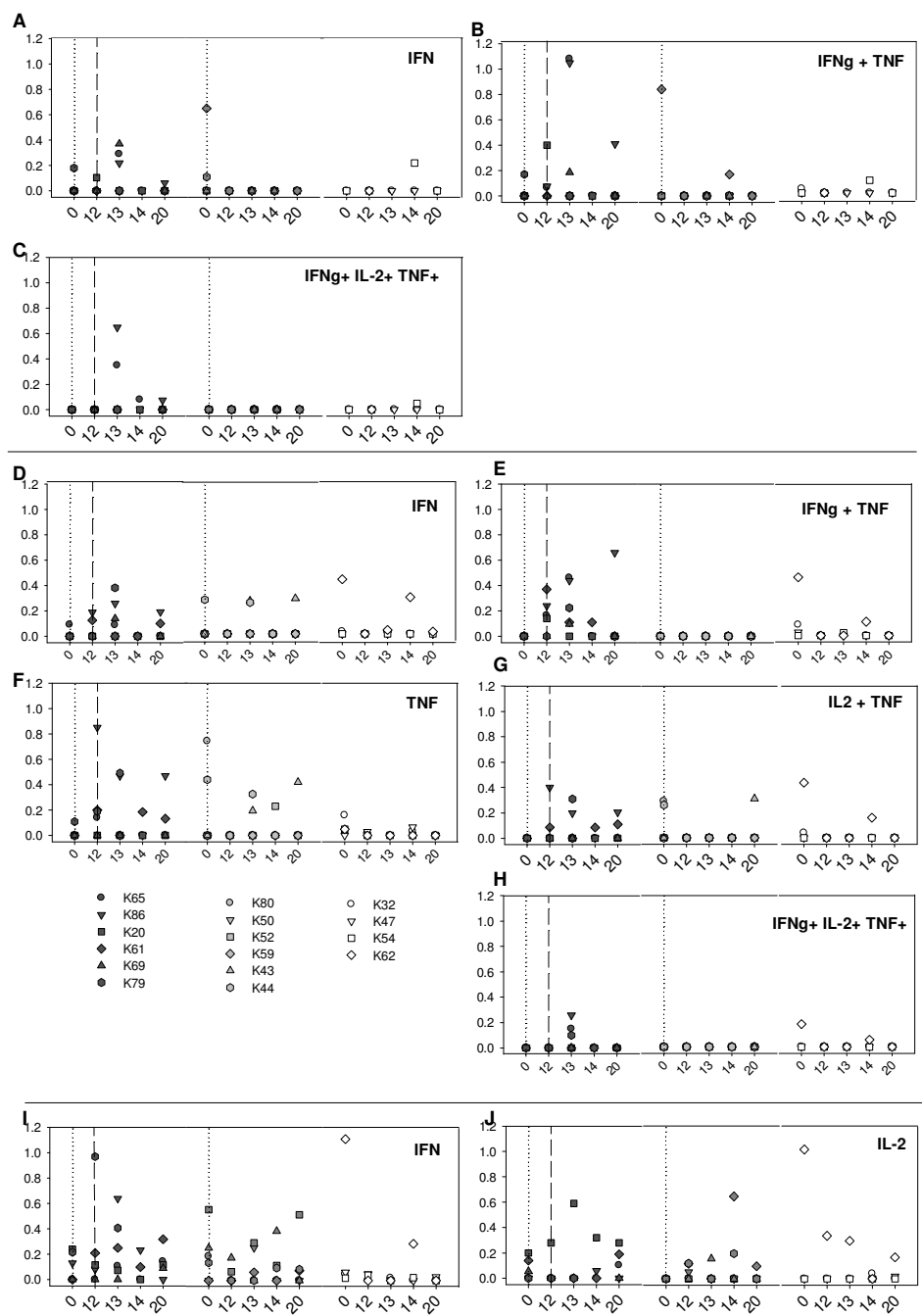


Figure 4

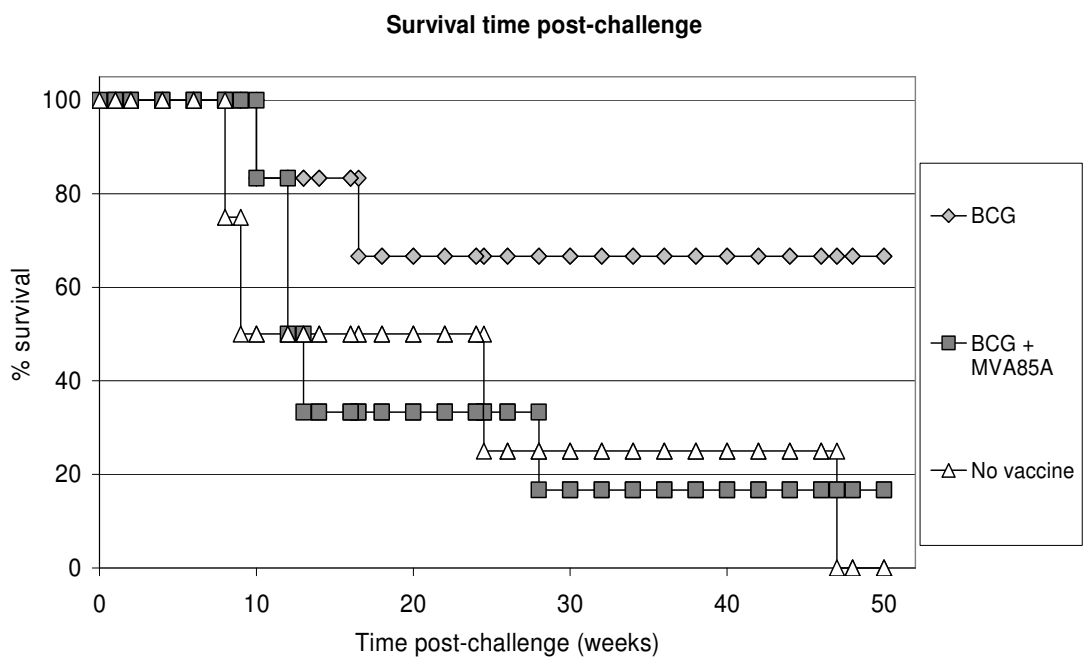
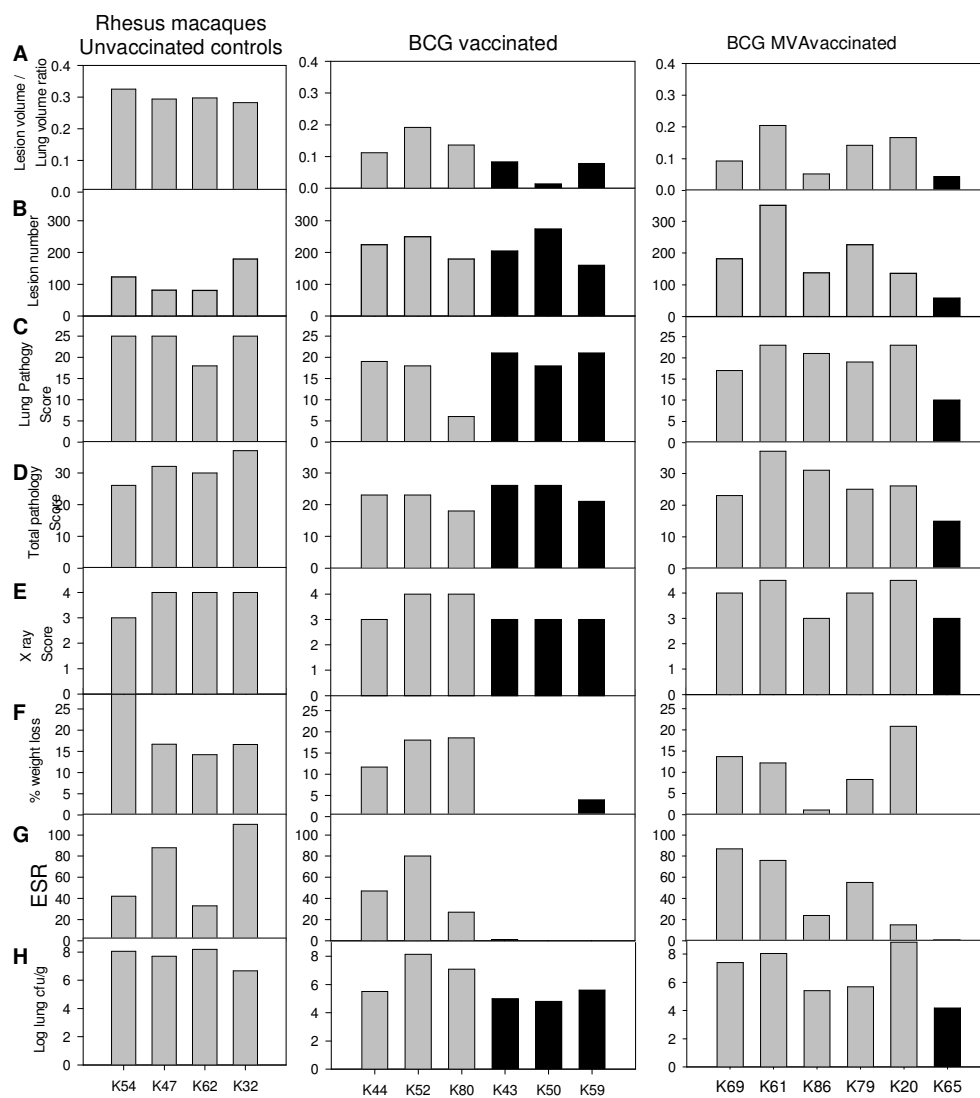


Figure 5



Black fills represent animals not showing adverse clinical or behavioural indicators at termination.

Figure 6:

