

# A Single Electroporation Delivery of a DNA Vaccine Containing the Hemagglutinin Gene of Asian H5N1 Avian Influenza Virus Generated a Protective Antibody Response in Chickens against a North American Virus Strain

Oladele Ogunremi,<sup>a</sup> John Pasick,<sup>b</sup> Gary P. Kobinger,<sup>c</sup> Drew Hannaman,<sup>d</sup> Yohannes Berhane,<sup>b</sup> Alfonso Clavijo,<sup>a,b,\*</sup> Sylvia van Drunen Littel-van den Hurk<sup>e</sup>

Ottawa Laboratory (Fallowfield), Canadian Food Inspection Agency, Ottawa, Ontario, Canada<sup>a</sup>; National Centre for Foreign Animal Disease, Winnipeg (Arlington) Laboratory, Canadian Food Inspection Agency, Winnipeg, Manitoba, Canada<sup>b</sup>; National Microbiology Laboratory, Public Health Agency of Canada, Winnipeg, Manitoba, Canada<sup>c</sup>; Ichor Medical Systems, Inc., San Diego, California, USA<sup>d</sup>; Vaccine and Infectious Disease Organization and Department of Microbiology and Immunology, University of Saskatchewan, Saskatoon, Saskatchewan, Canada<sup>e</sup>

**Protection against the avian influenza (AI) H5N1 virus is suspected to be mainly conferred by the presence of antibodies directed against the hemagglutinin (HA) protein of the virus. A single electroporation delivery of 100 or 250 µg of a DNA vaccine construct, pCAG-HA, carrying the HA gene of strain A/Hanoi/30408/2005 (H5N1), in chickens led to the development of anti-HA antibody response in 16 of 17 immunized birds, as measured by a hemagglutination inhibition (HI) test, competitive enzyme-linked immunosorbent assay (cELISA), and an indirect ELISA. Birds vaccinated by electroporation ( $n = 11$ ) were protected from experimental AI challenge with strain A/chicken/Pennsylvania/1370/1/1983 (H5N2) as judged by low viral load, absence of clinical symptoms, and absence of mortality ( $n = 11$ ). In contrast, only two out of 10 birds vaccinated with the same vaccine dose (100 or 250 µg) but without electroporation developed antibodies. These birds showed high viral loads and significant morbidity and mortality after the challenge. Seroconversion was reduced in birds electroporated with a low vaccine dose (10 µg), but the antibody-positive birds were protected against virus challenge. Nonelectroporation delivery of a low-dose vaccine did not result in seroconversion, and the birds were as susceptible as those in the control groups that received the control pCAG vector. Electroporation delivery of the DNA vaccine led to enhanced antibody responses and to protection against the AI virus challenge. The HI test, cELISA, or indirect ELISA for anti-H5 antibodies might serve as a good predictor of the potency and efficacy of a DNA immunization strategy against AI in chickens.**

The Eurasian H5N1 strain of the avian influenza (AI) virus can be fatal in humans following infection and might result in a pandemic if viral genetic reassortment or mutation produces a virus with efficient human-to-human transmission properties. Vaccination has been proposed as a viable tool for controlling epizootic or panzootic influenza in poultry and has been used in a number of countries in Asia and Central America and in Mexico to afford protection against H5N1 and H5N2 AI (1, 2). Protection against AI viruses has been observed with little or undetectable anti-AI antibodies in chickens (3, 4), ducks (5, 6), and turkeys (7), suggesting some contribution to protection by cell-mediated immunity. In an overwhelming majority of cases, however, the production of hemagglutination inhibition (HI) antibodies directed against the hemagglutinin (HA) protein, which is a critical pathogenicity determinant for the AI virus, has been the most reliable indicator of immunity against the H5N1 AI virus among many avian and nonavian species (4, 8, 9, 10). In one study, an HI titer of 1:40 was found to be an excellent predictor of protection from death and of reduced viral shedding in chickens (11). Accordingly, the HI test, as a better correlate of host protection against influenza, has surpassed the former standard serological test for AI, the agar gel immunodiffusion test (10). In addition, the competitive enzyme-linked immunosorbent assay (cELISA) is beginning to gain acceptance. Recently, a cELISA for the hemagglutinin protein of H5N1 was developed using an insect cell-expressed recombinant antigen (12), obviating the need to handle live AI virus and removing the associated biocontainment constraints required for

HI testing. Potentially, the cELISA could have a wide usage in assessing the host response to vaccination. Thus, there is a need to compare available serological tests so as to understand their limitations and to assess their performance before they are used as tools for evaluating newly developed vaccine products.

The stability and ease of the production of DNA vaccines for mass distribution make them a suitable vaccine candidate as part of the strategy for pandemic preparedness. Unlike live vectors, where preexisting immunity might interfere with vaccine efficacy, multiple vaccinations can be done with a DNA vaccine if required. Similarly, DNA vaccines have been shown to overcome interference by maternal antibodies, which is a barrier to successful vaccination seen in some other types of vaccine platforms (13). Recent improvements to vaccine vector constructs and efficient delivery methodologies have been timely in addressing most of the

Received 28 September 2012 Returned for modification 5 November 2012

Accepted 21 January 2013

Published ahead of print 30 January 2013

Address correspondence to Oladele Ogunremi, dele.ogunremi@inspection.gc.ca.

\* Present address: Alfonso Clavijo, Pan American Health Organization/World Health Organization Regional Office for the Americas, Duque de Caxias, Rio de Janeiro, Brazil.

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doi:10.1128/CVI.00577-12

early concerns about DNA vaccine potency in species other than laboratory rodents (14, 15). Intramuscular injection of DNA followed by the application of electrical charges or stimuli, known as electroporation, has resulted in a marked improvement in the potency of DNA vaccines (16, 17). DNA vaccine technology is now seen as a next-generation vaccine platform for use in animals and humans (18). Commercial application of DNA vaccines was first used in the veterinary market, and this has resulted in the availability of at least three DNA-based vaccines, including vaccines for West Nile virus in horses, infectious hematopoietic necrosis virus in salmon, and melanoma in dogs.

The objectives of this study were to (i) measure the anti-H5 antibody response in chickens immunized with a DNA vaccine carrying the hemagglutinin protein of H5N1 delivered with or without electroporation, (ii) assess the correlation between three anti-H5 antibody tests, the indirect ELISA, cELISA, and the HI test, and (iii) assess the usefulness of the tests in predicting DNA vaccine potency and/or efficacy.

## MATERIALS AND METHODS

**Animals.** Three-week-old White Leghorn chickens were obtained from the animal care unit of the Ottawa Laboratory Fallowfield (OLF), Canadian Food Inspection Agency (CFIA). The OLF stock was developed from Charles River SPAFAS birds (Storrs, CT). Animal care and handling procedures were done according to the Canadian Council on Animal Care guidelines and as outlined in the protocols approved by the institute.

**Antibodies.** Antiserum against H5N2 AI virus was produced in a rabbit by immunization with binary ethylenimine-inactivated strain A/chicken/Pennsylvania/1370/1983 (H5N2) and bled 86 days after immunization. Monoclonal mouse IgG1 antibody M3095, produced using the hemagglutinin of the H5N1 AI virus (monoclonal antibody [MAB] anti-H5 antibody), a gift of Klaus Nielsen, was used in the affinity purification of the recombinant hemagglutinin protein of the A/turkey/Ontario/7732/1966 H5N9 virus that was used to coat indirect ELISA plates. The monoclonal anti-hemagglutinin antibody (IgG1 MAb no. 9) used in the cELISA was generated against the hemagglutinin protein of strain A/turkey/Ontario/6213/1966 (H5N1) at the CFIA National Centre for Foreign Animal Diseases (NCFAD), Winnipeg, Canada.

**Antigen.** A 927-bp fragment (bases 55 to 981) of the hemagglutinin gene of strain A/turkey/Ontario/7732/1966 (H5N9), encoding a 40-kDa protein, was cloned into the BamHI-HindIII site of the pMelBac transfer vector (Invitrogen, CA) and was cotransfected with the linearized baculovirus *Autographa californica* multiple nuclear polyhedrosis virus into the insect cell line *Spodoptera frugiperda* (Sf9). The baculovirus stock containing the cloned H5 gene was released into the medium over a period of 72 to 168 h, and was harvested and plaque purified based on a 5-bromo-4-chloro-3-indolyl- $\beta$ -D-galactopyranoside (X-Gal)-mediated color selection procedure. The presence of an insert in the baculovirus stock was confirmed by PCR analysis using the primers flanking the polyhedrin gene present in the backbone of the pMEL vector (forward primer, 5'-TTTAC TGTTCGTAACAGTTCG-3', and reverse primer, 5'-CAACAACGC ACAGAATCTAGC-3'). Plaque-purified baculovirus stock containing a PCR-confirmed insert was used to generate a high-titer viral stock by infecting Sf9 cells in a suspension flask. The viral titer of the supernatant was determined to be  $6.9 \times 10^7$  CFU. The cloned H5 protein was expressed in the insect cell line (High Five; Invitrogen, Carlsbad, CA) at a multiplicity of infection (MOI) of 10. Culture supernatants were harvested at 72 h postculture and used for downstream applications as described below, sometimes without further processing. At other times, the recombinant hemagglutinin protein was purified by sequential ammonium sulfate precipitation and affinity chromatography developed with monoclonal IgG1 anti-hemagglutinin antibody M3095, conjugated to CNBr-activated Sepharose (GE Healthcare, Baie d'Urfe, Quebec, Canada).

**Construction of pCAG-HA DNA vaccine.** The HA gene of A/Hanoi/30408/2005 (H5N1) was inserted into the pCAG $\alpha$  vector under the control of a chicken  $\beta$ -actin promoter as described previously (19). The plasmid was grown in *Escherichia coli* and purified using endotoxin-free GigaPreps (Qiagen, Mississauga, Ontario, Canada). The control plasmid consisted only of the pCAG $\alpha$  vector. The DNA content of the purified plasmid preparation was determined using a UV spectrophotometer (Beckman Coulter Canada, Mississauga, Ontario, Canada). The endotoxin level of each batch of DNA was determined by the *Limulus* amoebocyte lysate test (Lonza, Walkersville, MD) and found to be sufficiently low (2.62 to 13.53 endotoxin units [EU]/mg DNA).

**Hemagglutination inhibition assay.** An HI assay was performed following an established protocol (20). Briefly, sera were prescreened for the presence of natural hemagglutinins and all were found to be negative. Four HA units of strain A/chicken/Vietnam/14/2005 (H5N1) was then combined with 2-fold serial dilutions of each serum sample beginning with 1:2. Chicken red blood cells (0.5% [vol/vol] suspension) were then added and HI endpoints read. Chicken antiserum to strain A/duck/British Columbia/26-2/2005 (H5N2) was used as a positive control, producing endpoint titers of 1:80 to 1:160, while the negative-control serum did not inhibit the virus-induced hemagglutination (titer, <1:4). A serum HI titer of  $\geq 1:4$  was considered positive.

**cELISA.** The cELISA was performed as described recently (12), with minor modifications. Briefly, microtiter plates (Nunc-Immuno plates, Roskilde, Denmark) were coated with 1  $\mu$ g of recombinant baculovirus H5 protein (A/turkey/Ontario/7732/1966 [H5N9]) in carbonate buffer (pH 9.6) at 100  $\mu$ l/well and plates were incubated overnight at 4°C. After washing, equal volumes (50  $\mu$ l) of diluted test serum (1:5) and hybridoma culture supernatants containing monoclonal anti-hemagglutinin (H5N1) IgG1 MAb no. 9 (1:200) were added to the plates and incubated at 37°C for 1 h with agitation. Then horseradish peroxidase (HRP)-conjugated anti-mouse IgG was added and incubated for 1 h at 37°C with subsequent washing. Afterwards, the enzyme substrate 3,3',5,5'-tetramethylbenzidine (TMB) (Sigma-Aldrich) was added and color development was stopped after 15 min with 50  $\mu$ l/well of 2.0 M sulfuric acid. The optical density (OD) at 450 nm was determined on an automated plate reader (ThermoMax, Molecular Devices, Sunnyvale, CA). Results were expressed as a percentage of inhibition as derived using the following formula: percentage of inhibition (PI) = [(negative reference serum OD - test sample OD)/(negative reference serum OD - positive reference serum OD)]  $\times$  100%. A test serum sample conferring  $\geq 50\%$  inhibition of the hemagglutinin protein-mono-clonal antibody binding was considered positive.

**Indirect enzyme-linked immunosorbent assay.** Supernatant from baculovirus-infected High Five cells containing recombinant HA protein derived from A/turkey/Ontario/7733/1966 H5N9 (see "Antigen") was suspended in 50 mM carbonate buffer (pH 9.6) at a 1:25 dilution, applied to the wells of a microtiter plate (0.2  $\mu$ g/well), and incubated overnight at 4°C. Unbound antigen was discarded and wells were washed (10 mM Tris-HCl [pH 8.0] containing 150 mM NaCl and 0.05% Tween 20). The microtiter wells were blocked by the addition of 2% milk in sample diluent (10 mM Tris-HCl [pH 8.0] containing 150 mM NaCl, 0.05% Tween 20, and 0.02% Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub>). A 2-fold dilution of each serum sample was carried out starting with 1:25 until a dilution of 1:200, applied to the wells, and incubated. For the conjugate binding step, alkaline phosphatase rabbit anti-chicken IgG (heavy and light chains) (Jackson ImmunoResearch Laboratories, West Grove, PA) was diluted in assay buffer to 1:5,000 and incubated. Unless specified, all incubations were carried out at room temperature for 60 min, followed by a wash step using an automated washer (BioTek ELx405, Winooski, VT). The binding of chicken anti-influenza virus IgG to the 40-kDa HA protein was revealed by the addition of *p*-nitrophenyl phosphate (Sigma, St. Louis, MO) during a final incubation step at room temperature for 10 min. Optical density values were determined using an ELISA reader (ThermoMax, Molecular Devices, Menlo Park, CA) and analyzed by determining the ELISA index against a single

**TABLE 1** HI<sup>a</sup> antibody titers in chickens immunized with pCAG-HA DNA vaccine carrying the hemagglutinin gene of avian influenza virus strain A/Hanoi/30408/2005 (H5N1)

+ Electroporation							– Electroporation					
Vaccinated birds at 56 dpv <sup>b,c</sup>							Vaccinated birds at day 56 dpv					
Vaccine dose (μg)	Total no.		Range of endpoint titer	Seroconversion during course of expt		Antibody persistence (avg no. of days) <sup>d</sup>	Total no.		Range of endpoint titer	Seroconversion during the course of expt		Antibody persistence (avg no. of days)
	no.	GMT		No. of seropositive birds/total no. of immunized	Earliest detection (avg dpv)		No. of seropositive birds/total no. of immunized	Earliest detection (avg dpv)				
0	5	1	1	0/5	Not detected <sup>e</sup>	0	10	1	1	0/10	Not detected <sup>e</sup>	0
10	13	9.2	1–128	7/13	36.4	13.4	13	1	1	2/13	32.5	1
100	12	48.5	1–128	11/12	29.1	27.9	12	1	1–8	1/12	56	1
250	5	42.2	16–128	5/5	20.2	23.4	5	1	1–8	1/5	44	1

<sup>a</sup> HI, hemagglutination inhibition.<sup>b</sup> dpv, days postvaccination; GMT, geometric mean titer.<sup>c</sup> Samples testing negative by the HI test were ascribed a titer of 1 for the purpose of calculating the GMT. An HI titer of ≥4 (i.e., 1:4) was considered positive. Endpoint dilution values were converted to log<sub>2</sub>, and the GMT was determined and expressed as the endpoint mean titer after a reversion.<sup>d</sup> The study design allowed only for measuring antibody persistence to a maximum of 43 days before termination of the experiment.<sup>e</sup> Not detected indicates that none of the chickens tested positive at any of the sampling times.

negative and a single positive serum as described previously (22). To determine the cutoff ELISA index value for the samples tested in this study, another set of experimental chicken serum samples shown previously to be positive ( $n = 33$ ) or negative ( $n = 66$ ) for anti-hemagglutinin (H5N1) antibodies by cELISA and/or HI assays was tested in the indirect ELISA. The negative group consisted of chickens that were electroporated with saline ( $n = 35$ ), electroporated with pCAG (empty vector) at 10 μg per chicken ( $n = 9$ ) or 100 μg per chicken ( $n = 15$ ), or electroporated with 100 μg pSLKIA empty vector ( $n = 7$ ). The positive group consisted of chickens immunized with a commercially available H5N3 AI killed virus vaccine following the manufacturer's recommendations (FluFend; Fort Dodge Animal Health, Fort Dodge, IA). The cutoff value was determined to be 10% by means of a two-graph receiver operating characteristic curve (23).

**Electroporation delivery of DNA vaccine in chickens and postvaccination bleeding.** Chickens were anesthetized with isoflurane delivered using an anesthetic machine (Matrix Medical, Inc., Minneapolis, MN) and were vaccinated with different doses of pCAG-HA DNA vaccine or the empty pCAG vector (0, 10, 100, or 250 μg plasmid DNA). Vaccine or control DNA was aspirated into a 0.3-ml syringe (Becton, Dickinson, Franklin Lakes, NJ) and fitted into the center of the TriGrid electrode (Ichor Medical Systems, San Diego, CA), comprised of four electrodes arranged in two adjacent equilateral triangles with 4.5-mm spacing between the apexes. Vaccine or control DNA was delivered intramuscularly

into the anterior region of the pectoral muscle, about 1 cm anterior-lateral to the cranial apex of the sternum, and was immediately followed by five electric pulses of 112 V delivered around the immunization sites of the anesthetized chickens at intervals of 100 ms, each lasting 20 ms (Gene Pulser Xcell electroporation system; Bio-Rad, Mississauga, Ontario, Canada). Serum samples were obtained on 14, 28, 42, and 56 days postvaccination.

**Highly pathogenic avian influenza (HPAI) H5N2 virus challenge.** Chickens immunized with the pCAG-HA DNA vaccine, as well as their age-matched controls vaccinated with the empty pCAGα control vector, were shipped from OLF, Ottawa, Canada, to the National Centre for Foreign Animal Diseases Laboratory, Winnipeg, Canada, and housed in biological safety level 3 (BSL3+) animal cubicles where they were allowed to acclimate for 7 days. Seventy days postvaccination, the birds were inoculated via the oronasal route with  $1 \times 10^6$  ELD<sub>50</sub> (50% egg lethal dose) of the highly pathogenic A/chicken/Pennsylvania/1370/1/1983 H5N2 AI strain (24). Following a virus challenge, the birds were monitored twice daily for clinical signs of AI. Oropharyngeal and cloacal swab specimens were collected from all animals at predetermined intervals postinoculation. To avoid death as an endpoint, birds that became moribund were humanely euthanized. In all, a total of 28 pCAG-HA-immunized birds (19 electroporated and 9 nonelectroporated, 10 to 250 μg plasmid DNA) were challenged out of a total of 50 vaccinated birds (Tables 1, 2, and 3). The remaining birds were sacrificed and their spleens and bursas were har-

**TABLE 2** Serum anti-hemagglutinin antibody in chickens immunized with pCAG-HA DNA vaccine carrying the hemagglutinin gene of avian influenza virus strain A/Hanoi/30408/2005 (H5N1) as measured by a competitive ELISA

+ Electroporation							– Electroporation					
Vaccinated birds							Vaccinated birds					
Vaccine dose (μg)	Total no.		Range of inhibition (%)	Seroconversion during the course of expt		Antibody persistence (avg no. of days) <sup>b</sup>	Total no.		Range of inhibition (%)	Seroconversion during the course of expt		Antibody persistence (avg no. of days)
	no.	Median inhibition		No. of seropositive birds/total no. of immunized	Earliest detection, (avg dpv) <sup>a</sup>		No. of seropositive birds/total no. of immunized	Earliest detection, (avg dpv) <sup>a</sup>				
0	5	3	0–9	0/5	Not detected	0	10	0	0	0/10	Not detected	0
10	13	48	3–99	6/13	42	10	13	9	0–36	0/13	Not detected	0
100	12	85	29–101	11/12	31	26	12	12	0–47	0/12	Not detected	0
250	5	96	58–99	5/5	29	26	5	17	1–24	0/5	Not detected	0

<sup>a</sup> Not detected: none of the chickens tested positive at any of the sampling times. Serum with a cELISA inhibition value of ≥50% was considered positive.<sup>b</sup> The study design allowed only for measuring antibody persistence to a maximum of 43 days before termination of experiment.

**TABLE 3** Serum anti-hemagglutinin IgG antibody in chickens immunized with pCAG-HA DNA vaccine carrying the hemagglutinin gene of avian influenza virus strain A/Hanoi/30408/2005 (H5N1) as measured by an indirect ELISA

+ Electroporation							– Electroporation					
Vaccinated birds at 56 dpv			Seroconversion during the course of expt				Vaccinated birds at 56 dpv			Seroconversion during the course of expt		
Vaccine dose (µg)	Median ELISA index (%)	Range of ELISA index (%)	No. of seropositive birds/total immunized	Earliest detection, (avg dpv) <sup>a</sup>	Antibody persistence (avg no. of days) <sup>b</sup>	Total no.	Median ELISA index (%)	Range of ELISA index (%)	No. of seropositive birds/total immunized	Earliest detection, (avg dpv) <sup>a</sup>	Antibody persistence (avg no. of days)	
0	3	1–6	0/5	Not detected	0	10	3	2–8	0/10	Not detected	0	
10	10	1–61	10/13	30.4	24.8	13	4	0–15	2/13	42	1	
100	63	1–105	11/12	27.2	31.2	12	4	1–8	0/12	Not detected	0	
250	36	29–79	5/5	28.6	35.4	5	59	1–81	0/5	Not detected	0	

<sup>a</sup> Not detected: none of the chickens tested positive at any of the sampling times. Serum with an indirect ELISA score of  $\geq 10\%$  was considered positive.

<sup>b</sup> The study design allowed only for measuring antibody persistence to a maximum of 43 days before termination of the experiment.

vested for cell culture and cytokine determination, the results of which will be the subject of another report.

**Reverse transcriptase PCR (RT-PCR) for influenza A virus.** Total RNA was extracted from 0.5 ml of swab specimen from the oropharynx and cloaca of H5N2 AI virus-inoculated chickens by means of an RNeasy mini kit (Qiagen, Mississauga, Ontario, Canada). A semiquantitative real-time RT-PCR assay (RRT-PCR), which targets the M1 gene of influenza A virus segment 7, was carried out as described previously (25). Full-length *in vitro*-transcribed segment 7 RNA, serially diluted in buffer, was run with each assay in order to give a semiquantitative estimate of the viral load in the sample, expressed as the cycle threshold ( $C_T$ ).

**Relatedness of the amino acid sequences of hemagglutinin proteins used in this study.** The four different H5 hemagglutinins used in this study (i.e., DNA vaccine, challenge virus, ELISA antigen, and HI assay virus) provide a means of assessing both heterologous protection of H5N1 vaccines and the cross-reactive antibody responses among different clades of H5 AI types. To that end, we assessed the degrees of identity among the HA amino acid sequences of all four virus isolates employed in this study using sequence alignment software (Clone Manager v9; Scientific & Educational Software, Cary, NC). To permit a broader interpretation of our experimental results (i.e., serological and challenge experiments), these four HA sequences were included in a larger sequence analysis involving another 50 isolates of both H5N1 and H5N2 types that were selected based on the following criteria: (i) a wide geographical representation of many continents, including Asia, North America, Africa, and Europe, (ii) a mix of older and newer isolates spanning a considerable period of time (1959–2012), (iii) a variety of clades, including those currently in circulation and those that have been detected previously but are currently noncirculating, and (iv) different hosts, including humans, domestic birds, wild birds, domestic animals (a cat), and livestock (a horse). The amino acid residues of the H5 protein of 54 AI isolates were compared by assessing the percent match of the other proteins with the A/Hanoi vaccine strain (Clone Manager software) and by constructing a phylogenetic tree employing the neighbor-joining method (Geneious software version 6.04; Biomatters, Auckland, New Zealand). By aligning the amino acid residues, we carried out a detailed comparison of the amino acid residues present at the antigenic sites A (amino acid positions 136 to 141) and B (124 to 129 and 152 to 153), which are considered to be critical sites for antibody-mediated virus neutralization (26–28). Amino acid sequences of the HA proteins were obtained from GenBank or at [http://H5N1.flugenome.org/show\\_subtypes.php](http://H5N1.flugenome.org/show_subtypes.php). The vaccine strain was used as the reference sequence for the alignment analysis.

**Statistical and arithmetic analysis.** The geometric mean of the HI titers and the correlation coefficient of antibody test results were determined with the aid of a statistical software program (MedCalc software; Mariakerke, Belgium). In assessing the geometric mean of each HI titer, a

serum sample testing negative at the highest concentration tested (i.e., dilution of 1:2) was assessed to be  $< 2$  HI units, and an arbitrary value of 1 unit was assigned. Significant differences between mean values ( $P < 0.05$ ) were determined by *t* test on the data shown to follow a normal distribution as analyzed by the Kolmogorov-Smirnov test (MedCalc software).

The 2-week interval between the samplings of vaccinated chickens (see “Electroporation delivery of DNA vaccine in chickens and postvaccination bleeding”) inherently imposed a limitation on the estimates of the period of seroconversion and of antibody persistence in the vaccinated chickens. The study design allowed only for measuring antibody persistence at a maximum of 43 days. Agreement between the results of two serological tests was determined (MedCalc software) by calculating the weighted kappa value ( $\kappa$ ) on the results scored as positive or negative based on the cutoff value of each test.

## RESULTS

**The effect of electroporation on antibody responses.** Sixteen of 17 birds (94%) receiving 100 µg or 250 µg of DNA vaccine carrying the HA gene of H5N1 AI virus (strain Hanoi 2005) delivered by electroporation had positive antibody titers at day 56 as measured by three serological tests, namely, HI, cELISA, and indirect ELISA (Tables 1 to 3). In contrast, only two of 17 birds (12%) that received identical doses of vaccine without electroporation responded by day 56 with a very transient and weak antibody response that was positive only by HI test. Of the 13 birds that received 10 µg of the DNA vaccine by electroporation, six showed a sustained positive antibody response in all three tests; however, as many as 10 birds actually mounted an antibody response. Among the 13 birds that received 10 µg of the DNA vaccine without electroporation, two had very weak and transient antibody responses detected only by the indirect ELISA. Birds injected with 10 µg ( $n = 12$ ), 100 µg ( $n = 12$ ), or 250 µg ( $n = 5$ ) of the empty pCAG vector delivered by electroporation did not develop an anti-HA antibody response in any of the three serological tests.

**The effect of vaccine dose on antibody responses as measured by three serological tests.** Among birds that received the highest DNA vaccine dose, i.e., 250 µg, delivered by electroporation, HI and cELISA antibody responses developed more quickly than in those receiving lower doses under identical conditions (Tables 1 and 2). The magnitude and persistence of antibody responses in birds receiving 100 µg or 250 µg of electroporation-delivered vaccine were generally similar (Mann-Whitney test for differences in the magnitude of antibody response between the two groups at

**TABLE 4** Test agreement between serological assays for detecting anti-hemagglutinin antibodies in chickens following electroporation and nonelectroporation delivery of pCAG-HA DNA vaccine constructed using the hemagglutinin gene of strain A/Hanoi/30408/2005 (H5N1)<sup>a</sup>

Tests compared <sup>b</sup>	$\kappa$	SE	Agreement
HI test vs cELISA	0.86	0.13	Very good
HI test vs indirect ELISA	0.87	0.12	Very good
cELISA vs indirect ELISA	0.86	0.13	Very good

<sup>a</sup> The serological test results of electroporated ( $n = 30$ ) and nonelectroporated chickens ( $n = 30$ ) were used in the analysis after determining whether the serum sample tested positive or negative on day 56 postvaccination (Tables 1 to 3). The strength of test agreement was judged as poor ( $\kappa < 0.2$ ), fair ( $\kappa = 0.21$  to  $0.40$ ), moderate ( $\kappa = 0.41$  to  $0.61$ ), good ( $\kappa = 0.61$  to  $0.80$ ), or very good ( $\kappa = 0.81$  to  $1$ ).

<sup>b</sup> HI, hemagglutination inhibition test; cELISA, competitive enzyme-linked immunosorbent assay.

day 56: HI,  $P = 0.49$ ; cELISA,  $P = 0.24$ ; indirect ELISA,  $P = 0.95$ ; Mann-Whitney test for antibody persistence: HI,  $P = 0.55$ ; cELISA,  $P = 0.69$ ; indirect ELISA,  $P = 0.42$ ). In general, DNA vaccines induced an antibody response in birds starting 3 to 6 weeks after vaccination and tended to persist for a longer period in chickens that received the higher vaccine doses. The longer persistence of antibodies in chickens electroporated with  $\geq 100 \mu\text{g}$  DNA vaccine over chickens electroporated with  $10 \mu\text{g}$  DNA vaccine attained statistical significance for HI and cELISA ( $t$  test,  $P = 0.02$  for both serological tests) but not for the indirect ELISA ( $P = 0.07$ ). When chickens receiving different doses of the electroporated-delivered vaccine were considered separately, the antibody profiles obtained using the different tests showed the greatest disparities in the group that received the lowest dose of vaccine, i.e.,  $10 \mu\text{g}$  ( $n = 13$ ). Ten of the birds (77%) in this group had antibody titers that were positive by indirect ELISA, in comparison to 54% and 46% that were positive by cELISA and HI, respectively. Nev-

ertheless, the weighted kappa values obtained by comparing test results from any pair of serological tests on the DNA-vaccinated chickens, electroporated and nonelectroporated, were  $>0.8$  (Table 4), which indicated that the serological tests showed very good agreement.

**Protective effect of DNA vaccine against H5N2 virus challenge.** Following challenge with the A/chicken/PA/1370/1983 (H5N2) virus, chickens that were immunized previously with pCAG-HA vaccine delivered by electroporation exhibited significant protection against infection as assessed by viral burden on day 6 postinoculation, presence of clinical signs of the virus, and mortality (Table 5). All 11 chickens challenged with the AI virus following electroporation with  $100 \mu\text{g}$  ( $n = 8$ ) or  $250 \mu\text{g}$  ( $n = 3$ ) of the DNA vaccine survived infection (0% mortality). None showed a clinical sign (0% morbidity), and all of them, with the exception of one bird electroporated with  $100 \mu\text{g}$ , failed to excrete detectable amounts of the virus in their feces by day 6. Although most of the birds still harbored the virus in the oropharynx by day 6, in time, they eliminated their viral load, with the exception of two birds (given  $100 \mu\text{g}$  of DNA vaccine) that retained very small amounts in their oral cavities by day 9 ( $C_T$  values, 35.6 and 37.8). In contrast, three of the five chickens injected with the  $100 \mu\text{g}$  of pCAG-empty vector delivered by electroporation showed clinical signs (60% morbidity), two died (40% mortality), and all had considerable amounts of the virus in both the oropharynx and cloaca ( $C_T$  values, 20.7 and 32.1), with the exception of one bird that did not shed virus in feces. Furthermore, birds receiving pCAG-HA vaccine without electroporation showed a similar pattern of susceptibility as those injected with the control plasmid. Three birds immunized with  $100 \mu\text{g}$  of pCAG-HA without electroporation harbored the virus until day 6 and beyond, two of them showed clinical signs, and one had to be euthanized. Simi-

**TABLE 5** Effect of DNA vaccination on the pathogenicity of H5N2 avian influenza (strain A/chicken/Pennsylvania/1370/1/1983) in chickens

Vaccine delivery and type	Vaccine dose ( $\mu\text{g}/\text{bird}$ )	H5N2 viral challenge		No. affected/total no. challenged with virus	
		Viral load assessed by RT-PCR ( $C_T$ value in individual birds 6 days postinoculation) <sup>a</sup>		Morbidity <sup>b</sup>	Mortality
		Oropharynx	Cloaca		
Control <sup>c</sup>	10	27.3, 30.0, 31.0, 22.6, * <sup>d</sup>	23.5, 25.7, 26.9, 29.2, * <sup>d</sup>	5/5	1/5
Control	100	26.6, 29.4, 25.5, 20.7, 33.4	25.4, 27.4, 28.9, 32.1, ND	3/5	1/5
Control	250	Not tested	Not tested	Not tested	Not tested
DNA vaccine	10	30.0, 30.0, 35.3, ▲ <sup>e</sup> , 34.8, 30.9, 29.9, 31.7	37.2, ND, ND, ▲ <sup>e</sup> , 32, ND, ND, 25.1	2/8	2/8
DNA vaccine	100	31.3, 37.4, 36.2, 36.3, 29.9, 34.3, 37.8, 39.1	ND, ND, ND, ND, 24.2, ND, ND, ND	0/8	0/8
DNA vaccine	250	35.6, 30.9, 20.8	ND, ND, ND	0/3	0/3
Nonelectroporation delivery of vaccine					
DNA vaccine	10	23.4, 25.4, 26.2	26.9, 27.1, 28.9	3/3	1/3
DNA vaccine	100	39.3, 22.3, 34.1	39.8, 24.3, 30.0	2/3	1/3
DNA vaccine	250	28.6, 27.6, not tested	27.6, 28.9, not tested	2/3	0/3

<sup>a</sup> A  $C_T$  value of  $\geq 40$  was considered negative and reported as ND (virus not detected). The  $C_T$  values are inversely correlated with viral load. Amount of virus present in the oropharyngeal and cloacal swabs was determined for some of the birds ( $n = 18$ ) using the  $C_T$  values presented above analyzed against a linear curve, and the results are presented as median virus copy number (range of virus copy number; number of birds). For oropharynx swabs: control,  $10 \mu\text{g}$ :  $8.5 \times 10^6$  ( $1 \times 10^5$  to  $1.8 \times 10^7$ ;  $n = 4$ ); control,  $100 \mu\text{g}$ :  $2.6 \times 10^5$  ( $2.2 \times 10^4$  to  $6.2 \times 10^7$ ;  $n = 5$ ); DNA vaccine,  $10 \mu\text{g}$ :  $9.5 \times 10^4$  ( $6.7 \times 10^3$  to  $1.8 \times 10^5$ ;  $n = 4$ ); DNA vaccine,  $100 \mu\text{g}$ :  $3.8 \times 10^3$  ( $1.8 \times 10^3$  to  $8.1 \times 10^4$ ;  $n = 5$ ); for cloaca swabs: control,  $10 \mu\text{g}$ :  $2.0 \times 10^6$  ( $2.9 \times 10^5$  to  $1.0 \times 10^7$ ;  $n = 4$ ); control,  $100 \mu\text{g}$ :  $3.8 \times 10^5$  (0 to  $3.2 \times 10^6$ ;  $n = 5$ ); DNA vaccine,  $10 \mu\text{g}$ :  $1.1 \times 10^3$  (0 to  $5.2 \times 10^4$ ;  $n = 4$ ); vaccine,  $100 \mu\text{g}$ : 0 (0 to  $7.1 \times 10^6$ ;  $n = 5$ ).

<sup>b</sup> Clinical signs (morbidity) observed included depression, swollen hock, lameness, cyanosis of comb, and petechial hemorrhages on feet, joint, and comb.

<sup>c</sup> Control DNA: empty pCAG- $\alpha$  vector.

<sup>d</sup> An asterisk indicates that the bird died on day 5 and viral load was significant on day 3 in the oropharynx ( $C_T = 27.2$ ) and cloaca ( $C_T = 26.1$ ).

<sup>e</sup> A ▲ indicates that the bird died on day 5 and virus was detected on day 3 in the oropharynx ( $C_T = 28.5$ ) and cloaca ( $C_T = 37.7$ ).

**TABLE 6** Relationship between anti-hemagglutinin seropositivity in chickens immunized with pCAG-HA DNA vaccine and protection against avian influenza (strain A/chicken/Pennsylvania/1370/1/1983 [H5N2])<sup>a</sup>

AI protection status	HI result		cELISA result		Indirect ELISA result	
	Positive	Negative	Positive	Negative	Positive	Negative
Protected	18	1	14	5	17	2
Not protected	1	8	1	8	1	8
Total	19	9	15	13	18	10

<sup>a</sup> Chickens were immunized with pCAG-HA vaccine (10 to 250  $\mu$ g) delivered with electroporation ( $n = 19$ ) or without ( $n = 9$ ). Antibody data are shown in Tables 1 to 3, and protection data are shown in Table 5.

larly, two of three birds injected with 250  $\mu$ g of the vaccine without electroporation developed clinical signs following viral challenge and they all harbored the virus, albeit in limited amounts, in the oropharynx (range of  $C_T$  values, 35.6 to 39.1) and shed even more virus from the cloaca (range of  $C_T$  values, 27.6 to 28.8). Without exception, all the birds inoculated with the virus became infected, as measured by the presence of virus in the oropharynx at day 3 postinoculation (range of  $C_T$  values, 20.3 to 30.3,  $n = 35$ ), but the spread of the virus was slowed or often abrogated in DNA-electroporated chickens to the extent that only a minority shed the virus in their feces. Along the same vein, morbidity was remarkably reduced and mortality was prevented in chickens that received electroporation-delivered DNA vaccine prior to the AI virus challenge.

**Correlation between anti-HA antibody seroconversion and protection against avian influenza virus.** All 11 chickens that survived the challenge with the H5N2 virus and had a history of electroporation with 100  $\mu$ g ( $n = 8$ ) or 250  $\mu$ g ( $n = 3$ ) of the DNA vaccine had anti-HA antibodies that were positive in all 3 serological tests at day 56 postelectroporation (Tables 1 and 5). Six of eight chickens that received 10  $\mu$ g by electroporation and had positive antibody responses did not show any clinical signs upon virus challenge and survived (Tables 1 and 5). One chicken that succumbed to virus challenge was negative by HI but tested positive by both the cELISA and indirect ELISA. The last of the eight chickens, i.e., among those electroporated with 10  $\mu$ g DNA vaccine and subsequently challenged with the virus, failed to develop an antibody response following vaccination and was not protected upon challenge (Tables 1, 2, 3, and 5). Chickens injected with the DNA vaccine but without electroporation were usually ill following virus challenge (10  $\mu$ g, 3 sick out of 3; 100  $\mu$ g, 2 sick out of 3; 250  $\mu$ g, 2 sick out of 3) (Table 5). All the sick birds were negative by all three antibody tests, while one protected bird was positive by HI but negative by the other two serological tests. The other protected bird (250  $\mu$ g) was negative by all three serological tests. Odds ratio (OR) analysis showed that positive anti-HA test results in each of the three serological tests significantly predicted immunity against AI (HI, OR = 144,  $P = 0.0008$ ; cELISA, OR = 22.4,  $P = 0.0085$ ; indirect ELISA, OR = 68,  $P = 0.0011$ ) (Table 6).

**Similarities and differences among the HA (H5) sequences of AI virus strains, including the DNA vaccine and challenge virus.** Comparison of the full HA (H5) protein sequence of the vaccine strain, A/Hanoi/30408/2005, with that of the challenge virus strain, A/chicken/Pennsylvania/1370/1/1983, indicated an iden-

tity of 86% at the amino acid level (Table 7 and Fig. 1). The vaccine HA amino acid sequence showed 87% identity with the A/turkey/ON/7732/1966 HA used as the ELISA coating antigen and 95% identity with A/chicken/Vietnam/14/2005 HA, which was used to assess the HI antibodies in immunized chickens (Table 7 and Fig. 1). A comparison of an additional 50 full H5 protein sequences with the vaccine strain indicates a high similarity among diverse H5 isolates (87 to 99% identity), although the North American strains were predictably less similar (87 to 89% identity) to the vaccine strain (A/Hanoi) compared to isolates from Asia and the rest of the world (90 to 99% identity). All the Asian isolates were similar to each other and to isolates from the other geographical locations, apart from North America. For example, A/Hanoi (Asian) showed 96% identity with A/chicken/Nigeria/1047-30/2006 (Africa) and 95% identity with A/turkey/Turkey/1/2005. Examination of all 54 aligned hemagglutinin protein sequences revealed considerable amino acid diversity at the recognized antigenic sites A and B of the H5 protein (10); we found significant variations at positions 136, 139, and 140 in the antigenic site A, and at position 124 in the antigenic site B. The residue S occupied position 136 in a vast majority of the isolates (48 out of 54), while the remaining sequences had N (4 isolates) or D (2 isolates) in that position. Similarly, position 139 was occupied by an S in most of the isolates (45 out of 54), while P was present in 6 isolates, and A, T, and L were present in 1 isolate each. The residue S was also commonly encountered at position 140 (10 isolates) but was not as frequent as D (24 isolates) or N (19 isolates) in that position. The residue E was present at position 140 only in the earliest isolate from North America (A/turkey/Ontario/7732/1966), which we used for the indirect and competitive ELISAs, whereas all the remaining 8 isolates from North America had N at position 140. The diversity at antigenic site B showed a remarkable contrast from the observations described above for antigenic site A in that there was a clear-cut dichotomy between Eurasian and North American isolates: residue I was consistently observed at position 124 of the antigenic site B among the Eurasian isolates, while the North American isolates had T at the same position. The preceding residue at position 123, which has not been previously linked with an antigenic site, also followed a similar pattern, where R was found in the Eurasian isolates compared to S in the North American isolates. The residues at positions 154 and 156, which are immediately distal to the recognized antigenic site B (152 and 153), also showed noticeable diversity: all the North American isolates had N at position 154, with the exception of A/chicken/Pennsylvania/1370/1983, our challenge virus, which had L in that position. The Eurasian isolates showed considerable diversity at position 154, as a majority had Q (33 of 43 isolates), some had L (9 of 43 isolates), and a single isolate had H (A/goose/Guangdong/1/1996). At position 156, N was present in all North American isolates studied, and although it also was the most frequently occurring residue among the Eurasian isolates (19 of 43), K was also fairly common at this position (15 of 43 isolates). The recognized antigenic sites and the adjoining positions explored in this analysis show obvious, and sometimes defining, differences between the North American and Eurasian strains, including those examined during this study. Despite these differences, the antibodies generated as a result of immunization with an HA DNA sequence of Eurasian origin generated serological cross-reactivity with North American HA proteins, as measured by the indirect and compet-

TABLE 7 Relationship among a wide selection of hemagglutinin proteins of H5N1 and H5N2 avian influenza virus strains, including four used in this study<sup>a</sup>

Strain	Amino acid residues	Match	Nonmatch	% Match <sup>b</sup>
A/Hanoi/30408/2005 (H5N1)	567			
A/blue-winged teal/Saskatchewan/22542/2007 (H5N2)	564	503	64	89
A/Cambodia/R0405050/2007 (H5N1)	565	555	13	98
A/Cambodia/V0606311/2011 (H5N1)	568	549	19	97
A/Cambodia/W0112303/2012 (H5N1)	568	553	15	97
A/cat/Germany/R606/06 (H5N1)	568	547	21	96
A/chicken/Cambodia/7/2004 (H5N1)	553	549	19	99
A/chicken/Egypt/1/2008 (H5N1)	568	537	31	95
A/chicken/Hebei/326/2005 (H5N1)	548	515	53	94
A/Ck/HK/61.9/02 (H5N1)	548	535	33	98
A/Chicken/Hong Kong/220/97 (H5N1)	568	542	26	95
A/chicken/Nigeria/08VIR4337-343/2008 (H5N1)	542	517	51	95
A/chicken/Indonesia/D10015/2010 (H5N1)	568	541	27	95
A/chicken/Mexico/31381-7/1994 (H5N2)	564	492	75	87
A/chicken/Nigeria/1047-30/2006 (H5N1)	568	547	21	96
A/chicken/Pennsylvania/1370/1983 (H5N2)	547	470	97	86
A/chicken/Rostov/22-1/2007 (H5N1)	568	544	24	96
A/chicken/Scotland/1959 (H5N1)	564	513	54	91
A/chicken/Vietnam/14/2005 (H5N1)	567	540	27	95
A-chicken-Vietnam-NCVD-swab276/2009	550	495	76	90
A/chicken/Xinjiang/28/2006 (H5N1)	552	525	43	95
A/clouded leopard/Chonburi/AI-1216A/2004 (H5N1)	564	559	9	99
A/common coot/Switzerland/V544/2006 (H5N1)	568	545	23	96
A/common magpie/Hong Kong/5052/2007 (H5N1)	555	527	40	95
A/domestic duck/Bucharest/RO-AI-482/2006 (H5N1)	546	524	44	96
A/duck/Badung-Bali/05/2005 (H5N1)	529	505	63	95
A/duck/PA/454069/2005 (H5N1)	564	502	65	89
A/duck/Cambodia/D1KP/2006 (H5N1)	568	559	9	98
A/duck/Hunan/795/2002 (H5N1)	565	548	20	97
A/duck/Laos/3295/2006 (H5N1)	567	544	24	96
A/duck/Minnesota/1525/1981 (H5N1)	564	504	63	89
A/Egypt/2321-NAMRU3/2007 (H5N1)	568	544	24	96
A/Egypt/2514-NAMRU3/2008 (H5N1)	549	527	41	96
A/equine/Egypt/av1/2009 (H5N1)	567	536	32	95
A/goose/Crimea/615/05 (H5N1)	568	547	21	96
A/domestic goose/Germany/R1400/07 (H5N1)	568	542	26	95
A/Goose/Guangdong/1/96 (H5N1)	568	544	24	96
A/goose/Guiyang/337/2006 (H5N1)	556	527	41	95
A/goose/Hungary/14756/2006 (H5N1)	568	546	22	96
A/Guangdong/2/2006 (H5N1)	567	542	25	96
A/Hong Kong/156/97 (H5N1)	568	542	26	95
A/Hong Kong/6841/2010 (H5N1)	528	488	79	92
A/Indonesia/CDC1032/2007 (H5N1)	552	527	41	95
A/mallard/British Columbia/18311/2006 (H5N2)	564	503	64	89
A/mallard/Ontario/26078/2007 (H5N1)	564	501	66	89
A/mallard/Quebec/11200/2006 (H5N2)	551	493	74	89
A/quail/Vietnam/177/2004 (H5N1)	565	557	11	99
A/swine/Fujian/2001 (H5N1)	568	550	18	97
A/swine/Guangxi/wz/2004 (H5N1)	568	553	15	97
A/tree sparrow/Indonesia/D10013/2010 (H5N1)	568	536	32	94
A/Canada goose/Wyoming/473197-10/2006 (H5N2)	564	500	64	89
A/turkey/Ontario/6213/1966 (H5N9)	564	499	68	88
A/turkey/Ontario/7732/1966 (H5N9)	565	491	76	87
A/turkey/Turkey/1/2005 (H5N1)	568	545	23	96

<sup>a</sup> A total of 54 hemagglutinin sequences obtained from sources indicated below were analyzed for similarities with the hemagglutinin sequence used for constructing the pCAG-HA DNA vaccine, A/Hanoi/30408/2005 (H5N1), as the reference sequence. Global sequence alignment analysis was performed using the BLOSUM 62 scoring matrix (Clone Manager Professional v9.2, Scientific & Educational Software, Cary, NC). Sources of hemagglutinin sequences: <http://www.fludb.org/brc/home.do?decorator=influenza> and <http://www.ncbi.nlm.nih.gov/genomes/FLU/>.

<sup>b</sup> The % match is the proportion of matching amino acids compared to the total number of amino acid residues.

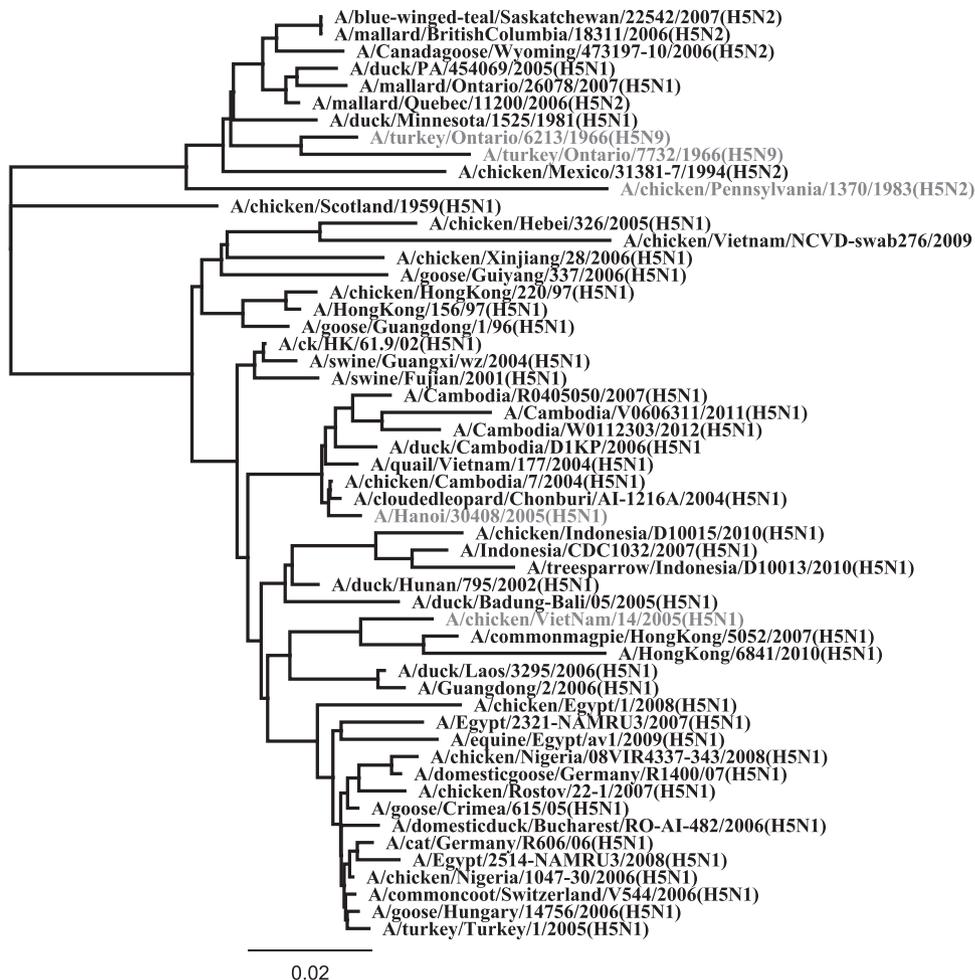


FIG 1 Phylogenetic relationships among 54 H5N1-type avian influenza virus hemagglutinins, including those used in this study. Shown in light grey font are AI virus strains used as sources of DNA vaccine (A/Hanoi/30408/2005), challenge virus (A/chicken/Pennsylvania/1370/1983), hemagglutination inhibition assay virus (A/chicken/Vietnam/14/2005), and recombinant protein used in ELISA or cELISA (A/turkey/Ontario/7732/1966), as well as the virus used to produce the cELISA monoclonal antibody (A/turkey/Ontario/6213/1966) (see details in Materials and Methods).

itive ELISAs (Tables 2 to 4). The Eurasian HA sequence used in this study cross-protected against a North American-sourced H5N2 virus (Table 5).

## DISCUSSION

Apart from the recent use of vaccines in commercial turkey operations, vaccination against AI is not widely practiced by the poultry industry in Canada. In other areas of the world, however, vaccination campaigns employing killed or inactivated virus preparations have been successfully mounted against outbreaks caused by the AI virus (29). Strategic vaccination against H7N1 was carried out in Italy to deal with the reemergence of a low pathogenic H7N1 virus (30). In the United States, vaccination of turkeys against low pathogenic strains has been done using commercially available vaccines under special licenses (31–34). Many authorities worldwide have now established an AI vaccine bank in anticipation of the use of vaccination to control the next AI pandemic.

It is difficult to predict which AI strain will cause the next epizootic or panzootic. As the recent experience with the pH1N1 2009 virus in humans has reinforced, it is imperative that scientists

investigate vaccine platforms that are conducive to rapid production and deployment in adequate quantities in response to the emergence of a novel influenza virus strain with panzootic potential. A key advantage of the DNA vaccine platform is that candidate vaccine development can commence immediately following the sequencing of the viral genome, even if viral stocks are not yet available. In addition, initiation and scale-up of production can be rapidly and predictably carried out because manufacturing processes developed for one DNA vaccine candidate can be swiftly adapted to subsequent vaccine candidates. Our investigation revealed a significant degree of HA cross-reactivity among our isolates based on two sets of evidence. First, there is broad recognition of anti-HA antibodies developed by chickens immunized using the DNA vaccine construct from A/Hanoi in assays utilizing another Asian strain of a different clade (A/chicken/Vietnam) or a North American strain. Second, we demonstrated cross-protection between an Asian and a North American strain (86% identity) of HPAI. Based on this study, a wide and broad cross-reactivity might be inferred among the HA proteins based on their amino acid sequence profiles (82 to 98% identity). In the face of an H5N1 AI pandemic, early vaccination using an existing DNA vac-

cine delivered properly might be of some benefit by producing significant protection against H5N1 viruses in chickens, pending the availability, perhaps in no more than a few weeks, of a homologous DNA vaccine. The degree of divergence seen among the 54 isolates analyzed in this study as shown by the percent identity (Table 7) and a phylogenetic tree (Fig. 1) is similar to that observed for a tree drawn from 2,947 HA sequences of isolates believed to represent all currently circulating viral clades ([http://www.who.int/influenza/gisrs\\_laboratory/201101\\_h5fulltree.pdf](http://www.who.int/influenza/gisrs_laboratory/201101_h5fulltree.pdf)). We observed diversity among the amino acids at positions 123, 124, 154, and 156, all overlapping or adjoining the antigenic sites A and B. The differences in positions 123 and 124 were predictive of the geographical origin of the isolates (North America or Eurasia/Africa). In contrast, the differences in the other positions could not be used to determine the lineage of the AI viruses. Importantly, differences in the HA protein at all sites were not sufficient to affect the ability of a DNA vaccine developed with an HA gene sourced from Asia to protect birds infected with virus of a North American strain. We have now also demonstrated that our vaccination protocol, which is based on the HA gene of a clade 1 strain, produced 100% protection in chickens ( $n = 15$ ) challenged with a Eurasian strain of the H5N1 virus, A/chicken/Vietnam/14/2005, belonging to clade 2.3.2 (unpublished data).

The HI test is widely used to assess the protection of birds. Our data show that birds with HI titers that are  $\geq 1:8$  consistently controlled AI viral replication following challenge with the HPAI H5N2 isolate. A disadvantage to using the HI test for assessing the protection of chickens vaccinated against HPAI is the requirement to handle the virus under strict biocontainment procedures (i.e., level 3) for routine serological testing. For that reason, we have developed two new tests based on the use of recombinant H5 antigen, which does not require a high biocontainment environment. The data show a significant correlation between the results from any pair of these tests. It appears that any of the tests might be used to detect general trends in the protection of birds against AI. When protection was assessed by a combination of viral load, clinical signs, and mortality, the induction of antibodies following DNA vaccination generally led to protection. All birds with HI titers that were  $\geq 1:8$ , cELISA results of  $\geq 50\%$ , and indirect ELISA results of  $\geq 10\%$  prior to challenge did not show any significant clinical signs and eliminated their viral loads during the course of AI infection. One bird immunized with 10  $\mu\text{g}$  of the DNA vaccine was positive by cELISA (59%) and indirect ELISA (26%), but was negative by HI before challenge and died on day 5 postinoculation. We also observed that out of the 3 birds injected with 100  $\mu\text{g}$  of DNA vaccine without electroporation, the only one protected from virus challenge (i.e., no clinical signs postinoculation and no detectable viral load on day 6 postinoculation) had a positive HI titer (1:8) on day 56 postvaccination but was negative by cELISA (19%) and indirect ELISA (8%). Our data show that the HI test may be superior to the other tests as a predictor of vaccine efficacy (Table 6), which suggests that a functional test might be more instructive than one that is wholly reliant on a chemical binding of antigen and antibody.

To date, the major drawback of the DNA vaccine platform has been the suboptimal potency of the available candidate vaccines for veterinary species and humans. However, recent advances in DNA vaccine vector design and novel delivery methods, such as electroporation, have led to a substantial improvement in DNA vaccine efficacy in different animal species. The present study also

demonstrated that electroporation resulted in positive antibody responses in chickens following a single-dose delivery of the DNA vaccine carrying the HA gene for the H5N1 AI virus. Compared to chickens in a previous study that were exposed to 500  $\mu\text{g}$  of the DNA vaccine (unpublished data), chickens immunized with 10  $\mu\text{g}$  of the DNA vaccine by electroporation (Tables 1 to 3) developed a superior antibody response, indicating that electroporation increased the potency of the DNA vaccine by over 50-fold. Electroporation transiently increases the permeability of cell membranes, resulting in an enhanced uptake of DNA vaccines and an increased and prolonged expression of the DNA vaccine transgene. The events at the local site of vaccine deposition are complemented by a low-level inflammation resulting from the killing of some of the cells affected by the electrical field; this results in the influx of antigen-presenting cells to the injection site (35). In addition, there is new evidence that injected free plasmid DNA finds its way into lymphoid organs where it is associated with antigen-presenting cells within 24 h of injection and a subsequent accumulation of antigen-specific CD4 T cells within a few days (36). Collectively, these events lead to the generation of a balanced immune response characterized by both potent and sustained humoral and cell-mediated immunity (37, 38).

It is not clear why one of the 17 birds given a high-dose vaccine by electroporation failed to produce a positive antibody response. Our recent unpublished observations indicate that a minority of birds that were immunized with DNA vaccine, but failed to mount an antibody response, developed potent cytokine responses (interleukin 4 and gamma interferon) and were protected from virus challenge. However, in this study, we did not challenge the antibody-negative (high-dose electroporated) bird, which leaves unanswered the question of whether the bird would have been protected. Here, the use of a single-vaccine delivery by electroporation to induce a protective antibody response is significant, given that other studies required two or more electroporation procedures (1). From this study and those of others (13, 33), we can infer that electroporation clearly leads to an enhanced efficacy of DNA vaccines in some veterinary species and allays the previously expressed concerns about the potency of the DNA vaccine platform in veterinary species. Of note, we were able to induce similar levels of protective antibody responses using two intramuscular injections of  $\geq 100 \mu\text{g}$  of the DNA vaccine without electroporation, 3 weeks apart (data not shown), which suggests that DNA vaccination remains a promising option for poultry even if electroporation equipment is unavailable or its use is impractical. An ideal method of delivery will be one where mass vaccination can be done effectively and in a relatively short time. If optimally formulated DNA vaccines could be delivered using needle-free automated equipment (21, 39), this could facilitate the use of the DNA vaccine platform to confer herd immunity in the face of an AI epidemic/epizootic or panzootic. We are currently investigating an *in ovo* vaccination procedure that is quick and obviates the need for specialized equipment to handle live birds, but which may be ideally suited for AI-endemic areas of the world where routine vaccination is practical and advisable.

#### ACKNOWLEDGMENTS

We acknowledge the contributions of the following staff at the different locations where the study was conducted: J. Robert Duncan (retired),

Cyril Lutze-Wallace, Hilary Kelly, Andrée Ann Dupras, Qigao Fu, Leith Kealey, Peter Neave, Sandra McIntosh, Diane Ayres (deceased), Brian Cathcart, Jim Algire, Doug Gowenluck, Gerry Dixon, Akther Farid, and Dave Deering (CFIA Ottawa Laboratory); Tim Salo, Helen Kehler, Marsha Leith, Margaret Forbes, Kevin Tierney, Sandra Radons, and Melanie VanderLoop (CFIA National Centre for Foreign Animal Diseases, Winnipeg); Ami Patel (Public Health Agency of Canada, Winnipeg); and Zoe Lawman (VIDO, Saskatoon).

Funding was provided by the CFIA through the Partnership Research Strategy and by the Canadian Poultry Research Council in collaboration with the Agriculture and AgriFood Canada's Growing Forward Initiative.

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