We describe a simple test for the evaluation of phagocytosis and provide a chart of reference values to evaluate normal phagocytosis by age. We assessed the postnatal maturation of phagocytic function of neutrophils and monocytes. Phagocytosis was evaluated in newborn children delivered vaginally or by cesarean section, infants, preschool children, schoolchildren, and adult subjects. Two drops of blood were placed on a microscope slide and incubated with Saccharomyces cerevisiae yeasts, and phagocytosis was evaluated by microscopy. Our technique showed results comparable to or better than those obtained by other usual techniques. The neutrophils of newborn children delivered by cesarean section showed a phagocytic capacity 45% higher than those of neonates delivered vaginally, whereas neutrophils from children in the latter group showed the lowest phagocytic capacity of all age groups. Phagocytosis by neutrophils reached the levels seen in adults at about the first year of life, while there were no important variations in phagocytosis by monocytes in the different age groups. The technique described is reliable and fast, uses only a few drops of blood, and allows better preservation of cell function due to the minimal manipulation to which the cells are submitted. The delayed maturation of the phagocytic function by neutrophils may account for the high levels of susceptibility of newborn and infant children to bacterial infections. This practical method of assessment of phagocytosis may allow the diagnosis of primary or secondary phagocytic deficiencies to be made more easily and may allow better monitoring and treatment of those with dysfunctions of these cells.

Extracellular bacteria are the main agents of infections in neonates and infant children, and these pathogens depend on phagocytes for their elimination. Gram-negative enteric rods, Staphylococcus aureus, and Streptococcus spp. are the major causal agents of infections in newborn children, whereas Haemophilus influenzae and Streptococcus pneumoniae predominate in young infants (24, 27, 36). Antibodies and complement factors may act together with phagocytes in the defense against these infectious agents (4). Indeed, it has been shown that deficiencies in phagocytosis, humoral immunity, and complement factors during the first months of life increase susceptibility to infectious diseases and cause high rates of mortality in this period of life (4, 29).

The evaluation of phagocytic function in children has shown conflicting results (4, 16). Some investigators have found phagocytosis to be normal, whereas others have shown deficiencies. It has been observed that phagocytosis by neonatal leukocytes is abnormal when these cells are suspended in neonatal serum but not in adult serum, suggesting a role for a deficiency of opsonin components in the serum of newborn children. However, the phagocytic function in healthy children is not completely clarified (4, 16).

Although testing of phagocytic function has long been used for evaluation of the defense mechanisms of children, the available tests are not properly standardized. Moreover, they are laborious and time-consuming and often use large amounts of blood, which are difficult to obtain from children. Furthermore, they usually involve extensive manipulation of phagocytes, which reduces the reliability of the results (14, 26). Therefore, a chart of reference value that can be used to evaluate normal phagocytosis by age is still not available.

This work (i) describes the development of a novel simple, fast, reliable, and inexpensive microtechnique for the assessment of phagocytosis with a small amount of blood; (ii) aims to determine age-related reference values for phagocytosis by this novel microtechnique; and (iii) compares phagocytosis for different age groups, from healthy newborn children to adult individuals.

(The description of the technique for evaluation of phagocytosis was part of the M.Sc. thesis of Maria Cecília de Almeida Cardoso, supervised by Carlos Eduardo Tosta.)

**MATERIALS AND METHODS**

**Ethical issues.** The ethical rules of the Declaration of Helsinki and those of the Brazilian National Council of Health, Ministry of Health, for experimentation with humans were strictly observed throughout this investigation. This work received approval from the Ethics Committee of the Faculty of Medicine, University of Brasilia. All volunteers or their parents gave formal consent to participate in this study.

**Subjects and study groups.** For standardization of the test of phagocytic function, blood from 45 healthy individuals (28 males, 17 females; age range, 14 to 51 years) was assessed.

Different groups were studied to evaluate the influence of age on phagocytosis. Group A consisted of 31 healthy full-term newborn children (19 males, 12 females) who were delivered vaginally, whose weight and height were appropriate for gestational age (mean ± standard deviation [SD], 39.8 ± 1.3 weeks), and who were tested at 37.9 ± 27.3 h (mean ± SD) of life. Group B consisted of 32 healthy full-term newborn children (18 males, 14 females) who were delivered by cesarean section, whose weight and height were appropriate for gestational age (mean ± SD, 40.0 ± 1.3 weeks), and who were tested at 41.7 ± 28.5 h (mean ± SD) of life.
life. Group c consisted of 30 healthy infants (14 males, 16 females; ages, 1 to 11 months) who were tested at 4.9 \(\pm 3.1\) months (mean \(\pm SD\) of life). Group d consisted of 30 healthy children (15 males, 15 females; age range, 1 to 5 years, mean \(\pm SD\) age, 34.5 \(\pm 13.1\) months). Group e consisted of 29 healthy children (16 males, 13 females; age range, 6 to 12 years old; mean \(\pm SD\) age, 92.3 \(\pm 18.8\) months). Group f consisted of 32 healthy adult individuals (18 males, 14 females; mean \(\pm SD\) age, 27 \(\pm 5\) years).

All children presented with a normal weight for age, a normal height for age, and normal neurodevelopment; and all individuals evaluated were healthy at the time of examination. None of the newborn children selected for the investigation showed signs of fetal distress.

Separation of phagocytes. Phagocytes were separated by allowing them to adhere to microscope slides, which were prepared by marking 7-mm-diameter round fields with oil ink mixed with epoxy resin. Whole blood was collected without anticoagulant, and 40 \(\mu L\) (about 2 drops) was placed on each field. The slides were then incubated at 37°C for 45 min in a wet chamber to allow the phagocytes to adhere to the glass. The slides were then washed with 0.15 M phosphate-buffered saline (PBS; pH 7.2) at 37°C to eliminate nonadherent cells, fixed with absolute methanol, and stained with 10% Giemsa solution. The number of adherent phagocytes per marked area was assessed by microscopy, with 10% of the total area of the preparation evaluated in duplicate for each individual. Microscopic fields were randomly selected from throughout the preparation to avoid examination of the same field twice, a previously established order of observation was followed.

Previous standardization showed no difference in the numbers and functions of adherent cells when heparin or no anticoagulant was used. In this study, the clot was removed with a hook-tipped needle after 45 min of incubation. Furthermore, there was no difference in the number of cells that adhered to the slide between the blood from children and the blood from adult individuals.

The efficiency of the method of phagocyte collection was compared with that of two standardized techniques, dextran sedimentation (5) and Percoll density gradient centrifugation (13), by using blood from healthy adult individuals. Blood was obtained by finger puncture (40 \(\mu L\)) and placed directly on the slide by the technique described above, whereas the two other techniques used heparinized venous blood. Leukocytes separated by the dextran sedimentation or Percoll density gradient centrifugation technique were individually suspended to the initial volume, and 40 \(\mu L\) of the suspension was placed on the marked area of the slide. The slide was then incubated for 45 min in a wet chamber at 37°C and washed with 0.15 M PBS (pH 7.2) at 37°C, and the number of phagocytes that adhered to the 7-mm-diameter fields was assessed by microscopy, as described above.

Test of phagocytosis. Blood phagocytes were obtained by allowing the phagocytes to adhere to the microscope slide, as described above, and incubated in duplicate preparations with 2.5 \(\times 10^7\) *S. cerevisiae* yeasts in 20 \(\mu L\) of Hanks-Tris solution (pH 7.2; Sigma, St. Louis, Mo.) in a wet chamber at 37°C for 30 min. To evaluate the influence of opsonins (antibody and complement) on phagocytosis, the phagocytes were incubated at 37°C for 30 min with 10% fresh serum from the donor in Hanks-Tris solution, and the level of phagocytosis of the yeast was compared with that of yeast treated with heat-inactivated fetal calf serum (Cultilab, Campinas, Brazil). After the preparations were rinsed with PBS at 37°C to eliminate nonphagocytosed yeasts, they were treated with 30% normal human serum in Hanks-Tris solution, dried with hot air, fixed with absolute methanol, and stained with 10% Giemsa solution.

The number of yeasts that attached to or that were ingested by 200 monocytes or 200 neutrophils in individual preparations was assessed by microscopy, and the source of the individual preparation was revealed only at the end of the evaluation. Microscopic fields were randomly selected from throughout the slide, and all monocytes and neutrophils in each particular microscopic field were examined. The phagocytic index was calculated as the average number of attached plus ingested yeasts per phagocytosing monocyte or neutrophil multiplied by the percentage of these cells engaged in phagocytosis (25). It was previously observed (12, 21) that 93.4% of the yeasts were ingested by phagocytes when the preparations were treated with 1% tannic acid, with only 6.6% of them appearing to be attached to these cells.

Baker’s yeast (S. cerevisiae) was prepared by the technique of Lachmann and Hobart (18). In short, 50 g of fresh live yeast (Fleischmann, Jundiaí, SP, Brazil) were suspended in 220 ml of PBS (pH 7.2), autoclaved at 120°C for 30 min, and washed with PBS until a clear supernatant was obtained. The sediment was suspended in 28 ml of 0.1 M 2-mercaptoethanol solution in PBS. After 2 h of incubation with stirring, the yeasts were washed again and suspended in 35 ml of 0.02% 2-mercaptoethanol solution before 2 h of incubation at room temperature with stirring, the yeasts were washed three times and suspended in 220 ml of PBS (pH 7.2). The yeasts were again autoclaved, washed, and suspended in 110 ml of Veronal-buffered saline (pH 7.2) containing sodium azide, and stored at 4°C until use. In each experiment, the yeast suspensions were washed in PBS, quantified, and suspended in Hanks-Tris solution.

The yeasts were sensitized with fresh human serum at 37°C for 30 min, and the phagocytes were tested for phagocytosis by using serum before or after inactivation of complement at 50°C for 30 min to evaluate the influence of complement molecules on phagocytosis. The presence of human immunoglobulins adsorbed to yeast cells was detected by immunofluorescence with fluorescein-conjugated anti-human immunoglobulin (Sigma).

Statistical analysis. The results were analyzed by analysis of variance (ANOVA) followed by the Student-Newman-Keuls method to compare multiple normal unrelated samples. The Kruskal-Wallis test followed by Dunn’s method was used to compare multiple unrelated nonnormal samples. The Student t test was used to compare two normal unrelated samples. A P value of <0.05 was considered significant. SigmaStat software (Jandel Scientific, San Rafael, Calif.) was used for the statistical tests.

RESULTS

Separation of phagocytes and phagocytosis test. The cells that adhered to the slides were mainly neutrophils, monocytes, and eosinophils. They remained present on the slides at approximately the same proportions observed in whole blood. No statistically significant differences between the numbers of adherent cells were observed when phagocytes were recovered from whole blood by the technique described here (n = 19 adult individuals; median, 24,157 adherent cells), dextran sedimentation (n = 10 adult individuals; median, 32,881 adherent cells), Percoll 1.077 density gradient centrifugation for mononuclear cells (n = 8 adult individuals; median, 15,882 adherent cells), or Percoll 1.094 density gradient centrifugation for polymorphonuclear cells (n = 8 adult individuals; median, 17,253 adherent cells) (P > 0.05, Kruskal-Wallis test). Phagocytes isolated by adherence by our technique showed phagocytic indices comparable to or higher (154 ± 54) than those separated by dextran sedimentation (19.5 ± 15.4) or Percoll gradient centrifugation (for Percoll 1.077 density gradient, 105.5 ± 53; for Percoll 1.094 density gradient, 65 ± 64) (P < 0.05, ANOVA).

Factors influencing phagocytosis. The levels of phagocytosis by neutrophils and monocytes varied by age group, the mode of delivery of the child, the type of phagocyte, and the presence or absence of phagocytosis-enhancing factors in serum. Using nonsensitized yeasts, the phagocytic indices of neutrophils and monocytes from children in all age groups, except for monocytes from children delivered by cesarean section, were lower than those of phagocytes from the adult population (Fig. 1C and 2C) due to the lower levels of engagement of those cells in phagocytosis (Fig. 1B and 2B) and/or to their intrinsic inability to ingest particles (Fig. 1A and 2A). The mode of delivery influenced phagocytosis: monocytes, but not neutrophils, from neonates delivered by cesarean section showed phagocytic indices comparable to those from adult individuals, while for neonates delivered vaginally, phagocytosis was significantly below the levels for adults (Fig. 1C and 2C).

The influence of phagocytosis-enhancing factors (immunoglobulin and complement) in serum was assessed by using *S. cerevisiae* yeasts sensitized with fresh serum from the tested individual in the in vitro system. This procedure significantly increased the levels of phagocytosis by neutrophils and monocytes and allowed a better characterization of the influence of the mode of delivery on phagocytosis. Cesarean delivery significantly enhanced the phagocytic indices of both neutrophils
and monocytes, whereas phagocytosis by neutrophils, but not by monocytes, was significantly lower in children delivered vaginally (Fig. 3C and 4C) \((P < 0.001;\) Kruskal-Wallis test). The enhancing effect of delivery by cesarean section on phagocytosis by neutrophils affected both the proportion of cells engaged in phagocytosis and the ability of these cells to phagocytose (Fig. 3A and B). The latter feature was critical for the increased phagocytic indices of monocytes from children delivered by cesarean section (Fig. 4A to C). This enhancing effect of cesarean delivery on phagocytosis by monocytes disappeared during the first month of life, since the mean ± SD phagocytic index for children ages 1 to 11 months who had
been delivered by cesarean section was 129 ± 30, comparable to the mean ± SD phagocytic index of 112 ± 26 for children ages 1 to 11 months who had been delivered vaginally (P > 0.05, the Student t test). The same was observed for neutrophils: the mean ± SD phagocytic index for children ages 1 to 11 months who had been delivered by cesarean section (164 ± 46) was comparable to that for children ages 1 to 11 months who had been delivered vaginally (180 ± 55) (P > 0.05, the
Phagocytosis was evaluated with fresh serum from each subject tested. The phagocytic index was calculated as the average number of attached plus ingested S. cerevisiae yeasts per phagocytosing neutrophil multiplied by the percentage of these cells engaged in phagocytosis.

**TABLE 1. Percentiles of phagocytic indices for neutrophils, by age group, obtained by the described technique**

<table>
<thead>
<tr>
<th>Group</th>
<th>No. of individuals</th>
<th>Phagocytic index at the following percentiles:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>1</td>
</tr>
<tr>
<td>Neonates delivered by cesarean section</td>
<td>31</td>
<td>121</td>
</tr>
<tr>
<td>Neonates delivered vaginally</td>
<td>29</td>
<td>66</td>
</tr>
<tr>
<td>Infants</td>
<td>30</td>
<td>87</td>
</tr>
<tr>
<td>Preschool children</td>
<td>29</td>
<td>121</td>
</tr>
<tr>
<td>Schoolchildren</td>
<td>30</td>
<td>64</td>
</tr>
<tr>
<td>Adults</td>
<td>30</td>
<td>100</td>
</tr>
</tbody>
</table>

* Phagocytosis was evaluated with fresh serum from each subject tested. The phagocytic index was calculated as the average number of attached plus ingested S. cerevisiae yeasts per phagocytosing neutrophil multiplied by the percentage of these cells engaged in phagocytosis.
We found that phagocytosis was influenced by the age of the individual, the type of phagocyte evaluated, the presence of opsonins, and the way in which the child was delivered. Both neutrophils and monocytes from those delivered by cesarean section showed increased levels of phagocytosis, while phagocytes from those delivered vaginally had phagocytic indices below those for phagocytes from adults. Our results are in accordance with those of Szymanska-Tozek and colleagues (32), who observed an increased phagocytic capacity of neutrophils from newborn children delivered by cesarean section.

A lack of consideration of the way in which the neonate is delivered may explain some contrasting results observed when the phagocytic function of newborn children was evaluated (4, 6, 11, 17, 22). It is not yet clear why the phagocytic function of newborn children was evaluated (4, 6, 11, 17, 22). The reasons for the reduced levels of phagocytosis found in neonates delivered vaginally have not yet been established. A possible factor is the low concentrations of opsonic factors capable of enhancing phagocytosis, such as immunoglobulins (2) and complement components (9), in children from the time they are born to age 11 months. However, our data indicate that the functions of both neutrophils and monocytes from this age group were intrinsically affected, since the level of phagocytosis was low even in the absence of the child’s serum, as observed in Fig. 1 and 2. Therefore, it is possible that the finding of alterations of phagocytosis associated with the type of delivery was due to the influence of the different molecules acting in each situation. In fact, it was previously shown that phagocytosis by monocytes is influenced by the local cytokine concentration (26).

The reasons for the reduced levels of phagocytosis found in neonates delivered vaginally have not yet been established. A possible factor is the low concentrations of opsonic factors capable of enhancing phagocytosis, such as immunoglobulins (2) and complement components (9), in children from the time they are born to age 11 months. However, our data indicate that the functions of both neutrophils and monocytes from this age group were intrinsically affected, since the level of phagocytosis was low even in the absence of the child’s serum, as observed in Fig. 1 and 2. Therefore, it is possible that a degree of immaturity of phagocytes and the reduced levels of expression of opsonin receptors (1) also play a part in the deficiency of phagocytosis in neonates and infants.

Many unfavorable consequences, such as a predisposition to infections, may result from an inadequate function of phagocytes in newborns delivered vaginally (24, 36). However, it should be stressed that the consequences of the enhanced phagocytic capacities of neutrophils and monocytes observed in neonates delivered by cesarean section have still not been clarified; and possible benefits seem to be unlikely, since these phagocytes appear to be working close to their highest capacity and neonates do not have a good pool of phagocytes stored in their bone marrow (17). It is possible that in these children a superimposed infection may not be accompanied by an equivalent increase in the function of phagocytes. In fact, it has been suggested that during infections in these children even adequate numbers of leukocytes may be insufficient since their function may be altered (17), and cesarean delivery following an uncomplicated pregnancy is a risk factor for an adverse neonatal outcome (3).

Although phagocytosis by neutrophils and monocytes represents the first line of defense against the major pathogens that affect children (17), its evaluation has been greatly neglected. The main reason for this appears to be the limitations of the presently available tests, which involve laborious and time-consuming techniques, with extensive manipulation of the phagocytes, which is capable of affecting their functionality. The simple, reliable, inexpensive, and fast test of phagocytic function described here allows the more frequent evaluation of the functions of monocytes and neutrophils in patients with several diseases with inadequate phagocyte function, such as protein energy malnutrition, diabetes mellitus, nephrotic syndrome, and Down’s syndrome, among others (28), in children and adult individuals, with just a few drops of capillary or venous blood and virtually no cell manipulation. Our data broaden the understanding of the function of phagocytes and their maturation among different age groups. The delayed maturation of phagocytosis by neutrophils may explain the high degrees of susceptibility of newborn and infant children to bacterial infections. This practical method of assessment of phagocytosis with only a minute amount of blood may ease the diagnosis of primary or secondary phagocytic deficiencies and allows better monitoring and treatment of those with dysfunctions of these cells.

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